



## VIEW YOUR ENTIRE FINANCIAL LIFE ONLINE, ALL IN ONE PLACE

INVESTOR360® GIVES YOU THE POWER TO SEE AN EXECUTIVE SUMMARY OF YOUR FINANCIAL LIFE, WHENEVER YOU WANT, FROM ANY INTERNET CONNECTION.

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### SEE EVERYTHING IN A SINGLE PLACE WITH ONE EASY-TO-USE CONNECTION

Investor360® lets you view much of the same information we do when managing your accounts. You can:

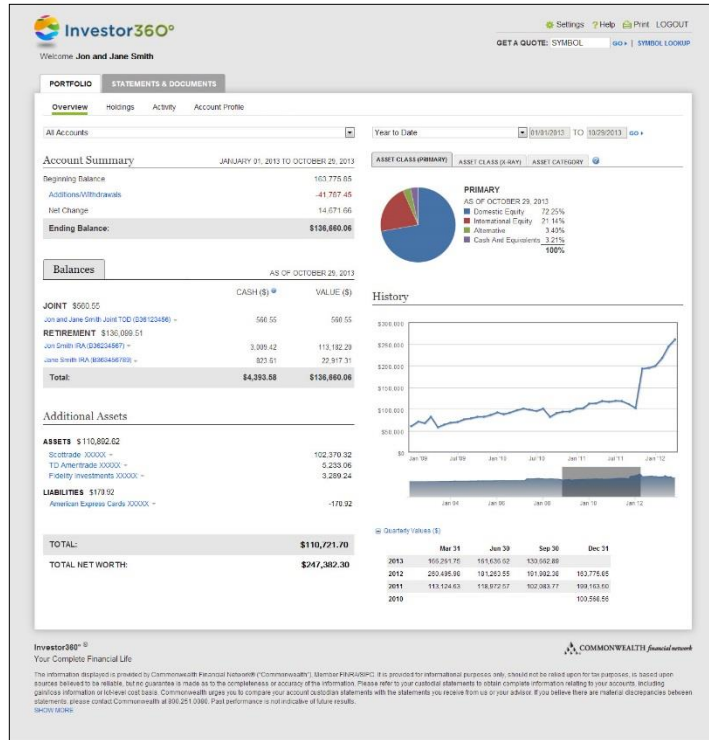
- **See your account balances** and a detailed position breakdown for each account as of any date range, **including accounts not held with us.**
- View balances and positions, **as well as performance**, for all your accounts held with us.
- **Check on recent transactions** within your accounts.
- **Link to other accounts**, such as your spouse's or children's, held with us. (Restrictions may apply; **contact us for details.**)
- **Review important facts**, such as your account setup and contact information, listed beneficiaries, and other named individuals.
- View important paperwork our office has shared with you in a **secure document vault**.
- **Eliminate paper statements** and view or download current and historical account statements and trade confirms online.
- **Get real-time price quotes** and charts for traded securities.
- **Run in-depth reports to get even more information on your accounts.**
- **Import account information to TurboTax** for easier, more efficient tax filing.

### EASY TO USE, EASY TO UNDERSTAND

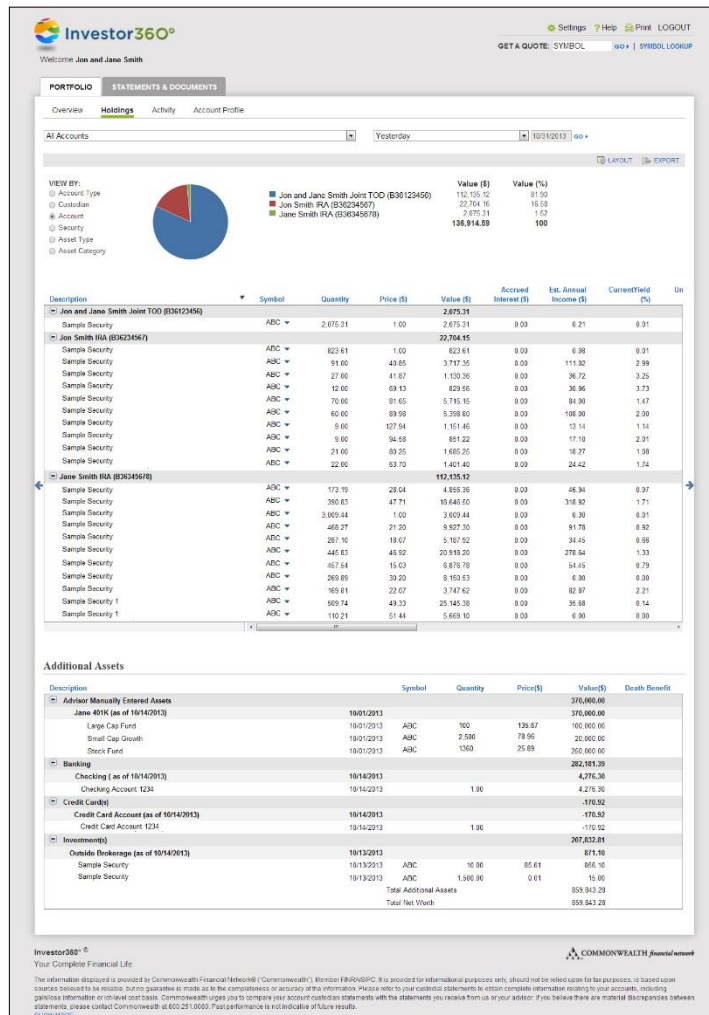
Investor360® works with today's most popular Internet browsers, including Internet Explorer, Firefox, Safari (for Macintosh), and Chrome. Just log on and point and click to manage, monitor, and navigate within your accounts however you like.

Also, our mobile app makes it easier for you to view your account information on the go. You can download the app directly from the Apple App Store (for Apple devices) or Google Play (for Android devices). Just search for "Investor360" and follow the prompts to add the app to your smartphone or tablet.

**Overview** displays your accounts and their current values, as well as asset allocation, **performance**, and benchmark information, and provides charts of your current net worth and historical values.



**Holdings** shows you all your holdings (even those held elsewhere) at various levels (i.e., by asset and by asset category) with the option to group them either by account or by securities held across all accounts. It also allows you to export data directly to Excel. Choose from up to 20 columns of data that are most important to you.



**Additional Assets** lets you add account information from outside sources and view it all through Investor360®

Additional Assets		+ Add/Edit Additional Assets
<b>ASSETS</b>	<b>\$859,843.28</b>	
Savings		68.38
Checking		4,276.30
Brokerage Account A		-170.92
Ben's 529 Plan		0.00
Jane IRA Account		5,233.06
Outside Account		3,289.24
Joint Account		102,370.32
Brokerage Account B		871.10
Checking		277,836.71
Money Market		0.00
Insurance Policy		96,069.09
Brokerage Account B		0.00
Brokerage Account B		370,000.00


**Activity** details all your account activity, such as buys and sells, dividends, and adjustments, and allows you to view realized gain/loss information. Smart filters make it easy to drill down into an activity to find what you are looking for.

The screenshot shows the Investor360 interface with the 'Activity' tab selected. The table displays the following data:

Date	Account	Activity Type	Description	Quantity	Price (\$)	Amount (\$)
10/01/2013	B09122456	Reinvestment	Sample Security	1.15	21.26	-24.39
10/01/2013	B09123067	Dividend Received	Sample Security	6.28	0.00	-20.29
10/01/2013	B09123456	Sell	Sample Security	333.21	1.00	333.21
10/06/2013	B09124567	Contribution to Asset	Sample Security	1,514.75	1.00	1,514.76
10/06/2013	B09124568	Buy	Sample Security	1,514.75	1.00	-1,514.76
10/31/2013	B09123067	Reinvestment	Sample Security	0.02	1.00	-0.02
10/31/2013	B09123456	Interest Income	Sample Security	0.00	0.00	0.02

**Account Profile** lists the addresses on file for your account, holding instructions, and any listed beneficiaries.

**Reports** provides you with access to many of the same reports we use, so you can take a more in-depth look at your accounts.



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Welcome Jon and Jane Smith

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PORTFOLIO
STATEMENTS & DOCUMENTS

Overview
Holdings
Activity
Account Profile

836123456 Jon Smith IRA NFS

**Account Summary**

Account Number: 836123456	Contract Date: 08/32/06	Initial Funding: \$0.00
Account Reg: RI SMITH IRA (BOJON SMITH 1 MAIN ST. WALTHAM MA 02453)	Open Date: 06/13/2006	Business Line: PFS Custom
Account Name: JON SMITH IRA (836123456)	Close Date:	PFS Strategy:
Reg Type: IRA	Managed Account: Yes	Advisory Investment:
Sponsor: RFS	Fee Authorization:	Objective: Primarily Equity
Advisor ID: 001 (Joe Advisor)		
Executing IRA: COMMONWEALTH		
Status Code: Open		
Gov Entity: RI		

**Client Information**

Number: 836123456	Application: ON FILE	Trading Authority: Joe Advisor
Reg Type: IRA	Margin Agreement: Not on file	Interested Parties: None
Tax ID: xxx-xx-1234	Option Status: Not on file	
ID Type: S/SR	Option Level: Not provided	
Short Name: SMITH	Standing Distribution: Business Standing Distribution on File - Level 2	
Established: 06/13/2006	Proceeds:	
Updated: 09/21/2013	Instructions: HOLD PROCEEDS	
Last Reg Date: N/A	Securities:	
Advisor ID: 001	Instructions: HOLD IN STREET NAME	
Restrictions: N/A	Dividend Instructions: PAY ALL TO CASH AS PROCEEDS	

**GENERAL INFORMATION**

Name: Alex Smith  
Designation: PRIMARY  
Percentage: 25  
Date of Birth: 03/09/1956 [F]  
SSN: xxx-xx-1234  
Relationship: Non-Spouse  
Status: V  
Address: None  
Name: Sarah Smith  
Designation: PRIMARY  
Percentage: 25  
Date of Birth: 10/10/1957 [F]  
SSN: xx-xx-1234  
Relationship: Non-Spouse  
Status: V  
Address: None  
Name: Stephen Smith  
Designation: PRIMARY  
Percentage: 25  
Date of Birth: 04/29/1962 [F]  
SSN: xx-xx-1234  
Relationship: Non-Spouse  
Status: V  
Address: None  
Name: Lir Smith  
Designation: PRIMARY  
Percentage: 25  
Date of Birth: 02/03/1966 [F]  
SSN: xx-xx-1234  
Relationship: Non-Spouse  
Status: V  
Address: None

**ACCOUNT PAPERWORK**

Application: ON FILE  
Margin Agreement: Not on file  
Option Status: Not on file  
Option Level: Not provided  
Standing Distribution: Business Standing Distribution on File - Level 2  
Proceeds:  
Instructions: HOLD PROCEEDS  
Securities:  
Instructions: HOLD IN STREET NAME  
Dividend Instructions: PAY ALL TO CASH AS PROCEEDS

**RELATED PARTIES**

Trading Authority: Joe Advisor  
Interested Parties: None

**BENEFICIARY INFORMATION**

Name: Jon Smith (Primary)  
SSN: xxx-xx-1234  
Birth Date: 01/06/1932 [F]  
Affiliated: No  
Mailing Address: 1 Main Street Waltham, MA 02453  
Legal Address: 1 Main Street Waltham, MA 02453  
Home Phone: 781.255.1234  
Occupation: RETIRED

**NAMES ON THIS ACCOUNT**

Jon Smith (Primary)  
SSN: xxx-xx-1234  
Birth Date: 01/06/1932 [F]  
Affiliated: No  
Mailing Address: 1 Main Street Waltham, MA 02453  
Legal Address: 1 Main Street Waltham, MA 02453  
Home Phone: 781.255.1234  
Occupation: RETIRED

**ACCOUNT ADDRESS INFORMATION**

Mailing Address: 1 Main Street Waltham, MA 02453  
Legal Address: 1 Main Street Waltham, MA 02453

**OTHER INFORMATION**

Agency Code: N/A  
Product Level: N/A  
Management: Y  
Sweep Money  
Market: ABCDF

**SUITABILITY**

Annual Income: 100K+  
Tax: Not Provided  
Investable/Liquid Assets: 500K - 1M  
Federal Tax Bracket: 27.5% OR ABOVE  
Annual Expenses:  
Special Expenses:  
Time Frame:  
Investment Purpose: Capital Appreciation -  
Investment Objective: Moderate  
Risk Tolerance: Moderate  
Investment Time Horizon: Intermediate  
Assets Held Away:  
Marital Status: SINGLE  
Number of Dependents: 0  
Date of Birth: 01/06/1932 [F]  
General Investment Knowledge: Good  
Investment: Stocks - Not Answered  
Bonds - Not Answered  
Short Term - Not Answered  
Mutual Funds - Not Answered  
Options - Not Answered  
Limited Partnerships - Not Answered  
Variable Contracts - Not Answered  
Futures - Not Answered  
Annuities - Not Answered  
Alternative Investments - Not Answered  
Margins - Not Answered  
Foreign Currency - Not Answered  
Foreign Securities - Not Answered

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COMMONWEALTH Financial network

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**Statements & Confirms** lets you download consolidated statements, brokerage statements, and trade confirms for your accounts with one click.

**Documents** allows you to view materials we've shared with you.

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PORTFOLIO STATEMENTS & DOCUMENTS MESSAGES

Statements & Confirms Documents Collapse All

Consolidated Statement  
 AS OF: Yesterday GO

GO PAPERLESS

Brokerage Statements

Open Accounts  
 John Smith IRA NFS (837123456) 05/31/2014 04/30/2014 03/31/2014 02/29/2014 01/31/2014 More  
 Janice Smith Roth IRA NFS (83711222) 05/31/2014 04/30/2014 03/31/2014 02/29/2014 01/31/2014 More

Statement Inserts  
 06/30/2013 06/30/2012 06/30/2012 11/30/2011 09/30/2011 06/30/2011 More

Please note: Brokerage statements are generally posted within 5 business days of month-end.

Tax Reporting VIEW TAX RESOURCES

Open Accounts  
 Janice Smith Roth IRA NFS - (83711222) Most Recent 2018 - \$488 -  
 John Smith IRA NFS - (837123456) Most Recent 2018 - \$488 -

Customer Correspondence

Open Accounts  
 Janice Smith Roth IRA NFS - (83711222) NFS Correspondence  
 John Smith IRA NFS - (837123456) NFS Correspondence

Confirms

Date Range: 10/29/2018 to 11/29/2018 GO

Trade Date	Trade Account #	Registration
10/26/2018	837-123456	NFS/PMTIC IRA FBO JOHN SMITH
10/26/2018	837-112222	NFS/PMTIC ROTH IRA FBO JANICE SMITH

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 COMMONWEALTH financial network  
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PORTFOLIO STATEMENTS & DOCUMENTS MESSAGES

Overview

COMPOSE

Inbox Sent

Welcome to Messages!

You now have a secure environment to communicate with your financial advisor. You haven't received any messages yet. If you want to send one to your advisor, click on Compose to get started.

Learn More

**Settings** lets you update your password, name, e-mail address, paperless preferences, and security information.

	March 31	June 30	September 30
TOTAL NET WORTH:	\$2,820,649	\$2,820,649	\$2,820,649
March 31	\$57,868.92	\$97,184.13	\$113,124.63
June 30	\$70,022.57	\$95,297.00	\$118,972.57
September 30	\$82,026.83	\$99,205.02	\$102,063.77

### ENHANCED PRIVACY HELPS BRING PEACE OF MIND

Investor360° uses security protocols in an effort to ensure that your account information stays between you and our firm. You update your own password; if you forget your login information, Investor360° will provide unique security questions to allow you, and only you, to regain access to your accounts. For added security, your complete social security number is not displayed within Investor360°.

### SIGN UP NOW TO SEE YOUR PORTFOLIO ONLINE

Call us and we'll get you set up over the phone. You can start viewing your accounts today. That's all it takes to start seeing your complete financial picture from every angle, whenever and wherever it's convenient for you.