



Glen Eagle Wealth, LLC

Client Relationship Summary – April 2023

Glen Eagle Wealth, LLC (“GEW” or “we”) is a broker-dealer registered with the U.S. Securities and Exchange Commission (the “SEC”) and a member of the Financial Industry Regulatory Authority (“FINRA”). We are an affiliate of Glen Eagle Advisors (“GEA”), a Registered Investment Adviser. GEW provides services independent of, as well as in connection with GEA’s investment product offerings of investment products, separately managed accounts, and the buying and selling of securities.

Free and simple tools are available to research firms and financial professionals at www.investor.gov/CRS which also provides educational materials about broker-dealers, investment advisers, and investing.

What investment services and advice can you provide me?

GEW offers brokerage services to a range of both institutional and retail investors. Our offerings to investors are limited to the Products mentioned below that can be managed by or independent of GEA. We may discuss the Products offered by GEA, and discuss our views and convictions regarding market trends, the investing environment, strategies, and products. We collect information necessary to determine or engage in any determinations regarding, whether an investment in any Product is suitable for, or in the best interests of, any particular investor given that investor’s broader investment goals, financial needs, other investments, or other needs. Investors must make the ultimate decision whether to invest in any Product that we offer. Once GEW places an investor in a Product, we provide ongoing monitoring of the investor’s investment in such Product.

Corporate Equity Securities

Mutual Funds/ETFs

US Government Securities

Variable Annuities¹

Certificate of Deposits (CDs)³

Corporate Debt Securities

Put and Call Options

Municipal Securities

Agency Trading Desk²

Alooola⁴

What fees will I pay?

Investors will pay commissions on equity securities transactions that are generally based on a cents per share according to the below commission schedule. All other transactions including trades conducted on our Agency Trading Desk are negotiable at or prior to the transaction being executed either verbally or in writing and in all cases will be disclosed in writing on transaction confirmation reports and account statements.

¹ Variable annuities are offered on an application and or fully disclosed basis

² The Agency Trading Desk is available to Institutions and High Net Worth Individuals.

³ CDs are offered by GEW’s clearing agent, Pershing LLC.

⁴ www.alooola.com



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Equity (Minimum Commissions \$38)

Transaction Amount Commission Rate

\$0 - \$5,000	\$	35.00	+ 1.5%	of Principal
\$5,000.01 - \$20,000	\$	60.00	+ 1.0%	of Principal
\$20,000.01 - \$50,000	\$	110.00	+ .75%	of Principal
\$50,000.01 - \$500,000	\$	235.00	+ .50%	of Principal
\$500,000.01 +	\$	1,485.00	+ .25%	of Principal

Minimum Charge: \$.12 per share on first 1,000 shares + \$.06 per share thereafter

Maximum Charge: \$100 for the first 100 shares + \$1.00 per share thereafter or one-half the principal amount (whichever is less).

Stocks costing less than \$1: The lesser of the commission schedule or 3% of the principal.

Fixed Income

1 – 25 Bonds.....\$36 + \$4 per bond

26 + Bonds.....\$36 + \$4 per bond on first 25 bonds, \$3 per bond thereafter, or 1% of principal, whichever is less.

Treasury Bills, Notes and Bonds.....\$50 per transaction

Mutual Funds / ETFs.....As per mutual fund prospectus

Options.....As per the Equity Schedule above

What are your legal obligations to me? How else does your firm make money and what conflicts of interest do you have?

GEW is affiliated with GEA, an SEC registered investment advisor. This affiliation may create conflicts of interest. You should understand and ask us about these conflicts because they can impact the services we provide.

Registered personnel of GEW, including senior management, compliance, back-office operations, and brokers, may also engage in the activities on behalf of GEA, and such work may be provided at the expense of GEW. In addition, registered personnel of GEW may recommend services offered by GEA that directly or indirectly benefit the recommending registered person. Clients of GEW have the option to become clients of GEA and vice versa. Available investment products and vehicles offered by both firms will be discussed with each client on an individual basis and recommendations will be made strictly with the client's personal and financial goals in mind. For an additional discussion of potential conflicts of interest please see GEA's Form ADV Part 2a available on our website www.gleneagleadv.com and/or contact your representative or GEW's Chief Compliance Officer at 609-631-8231.



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How might your conflicts of interest affect me?

How do your financial representatives, (registered brokers) make money?

Each of our registered brokers may receive, a salary and other regular annual compensation, an annual bonus, as determined by senior management, or a percentage of commissions earned on transactions in accounts they service which could incentivize such brokers to sell as much as possible.

Do you or your registered representatives have a legal or disciplinary history?

No. Visit www.investor.gov/CRS for a free and simple search tool to research us and your registered representative.