

## DOUGLAS E. GREENBERG

CS PLANNING CORP DBA PACIFIC NORTHWEST ADVISORY

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This Brochure Supplement provides information about Douglas E. Greenberg, an Independent Contractor and Advisor Affiliate with CS Planning Corp. (“CSP”) and doing business as Pacific Northwest Advisory. You should have received a copy of CSP’s Brochure. Please contact us at (503) 880-1828 or [doug@pnwadvisory.com](mailto:doug@pnwadvisory.com) if you did not receive a Brochure, or if you have any questions about the contents of this Brochure Supplement.

CSP requires any Advisory Affiliates involved in the practice of providing investment advice to Clients to have at a minimum, a bachelor’s degree from an accredited university, preferably with a major in finance, economics, or business, etc. These Advisory Affiliates must also pass appropriate licensing examinations and are strongly encouraged to seek continuing education opportunities available in the industry, including appropriate certifications or designations.

Additional information about CSP and/or Douglas E. Greenberg is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **Item 2 Educational Background and Business Experience**

**Douglas E. Greenberg**

Year of Birth: 1964

### **Education**

B.A. University of Texas at Dallas, 1989

### **Business Background**

10/2019 to Present CS Planning Corp., *Investment Advisor Representative (Independent Contractor)*  
05/2019 to Present Pacific Northwest Advisory, LLC, *Managing Member*  
02/1994 to 04/2018 Morgan Stanley, *Financial Advisor*

## **Item 3 Disciplinary Information**

During the period that Mr. Greenberg was employed by Morgan Stanley, a client opened a brokerage account in which Mr. Greenberg followed a strategy that was discussed with and agreed to by the client. However, Mr. Greenberg failed to obtain written consent to treat the account as discretionary. Therefore, Mr. Greenberg accepted a sanction from FINRA in the amount of \$10,000 in 2013.

## **Item 4 Other Business Activities**

Other than the information disclosed above, Mr. Greenberg currently has no other business activities.

## **Item 5 Additional Compensation**

Mr. Greenberg does not receive additional compensation or economic benefit from third parties for providing advisory services to clients of CSP.

## **Item 6 Supervision**

Christopher K. Hicks, Chief Compliance Officer, is involved in supervising the overall activities of Mr. Greenberg. Oversight is conducted through a review of activities that are captured on the CSP management systems which incorporate documentation of client interactions, communication workflows and trading activities. Mr. Hicks may be reached at (503) 445-1957.