

Elmwood Wealth Management, Inc. Privacy Notice

EWM has adopted and implements a privacy policy that complies with applicable law. We acknowledge the importance of client privacy and security of the information relating to clients and their accounts. EWM collects non-public information about clients from the following sources:

- Information we receive about clients on applications and other forms
- Information given to us in writing, by email and orally; and
- Information about client transactions with us or others

Information We Collect

We acknowledge the importance of client privacy and security of the information relating to clients and their accounts. As a trusted investment advisor, EWM collects, retains and uses nonpublic personal information about individual clients to provide products and services to them. The firm may collect nonpublic personal information from such sources such as:

- Information about clients from applications or other forms
- Information about client transactions with EWM
- Information shared with us through Third Party Service Providers
- Information given to us in writing, by email and orally

Who Receives The Information And Why

Most of the information EWM collects is used for one main purpose; to easily and efficiently deliver our products and services to our clients in a more efficient and timely manner. As an advisor for our clients, the information we collect also allows us to act as a liaison between our clients and their service providers. We do not disclose any non-public personal information about our existing or former clients without the client's authorization, except as required by law or in response to inquiries from governmental or regulatory authorities.

Service Providers

EWM will enter into agreements with companies or firms whose expertise is essential for the services that we provide to our clients to function efficiently, or to execute transactions on behalf of our clients. For example, EWM works with custodians that execute client trades, generate monthly statements and provide money movement features for client accounts. EWM may also work with service providers to reconcile our client data, generate our quarterly reports and gather information that assists with our financial planning. As permitted by law, EWM discloses to our service providers the necessary client information to perform these functions. EWM's service providers are required to safeguard client information and use it only for authorized purposes.

How Elmwood Wealth Management Protects Client Information

EWM understands that the protection of client nonpublic personal information is of the utmost importance. Guarding client privacy is the firm's obligation. EWM and its employees are committed to these privacy policies and practices. We maintain physical, electronic, and procedural safeguards to protect client non-public personal information. EWM also restricts access to information for those individuals or service providers who have a business reason to know such information, and EWM educates our employees regarding the importance of confidentiality and client privacy.

Learn More

For questions about Elmwood's privacy program or for more detail on how client information is maintained and used, please call (510) 858-2722 or write:

Compliance Department
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