DOCUMENT CHECKLIST

In preparation for your visit with the Granite Bridge Wealth Management, LLC team, we ask that you gather the documents and information outlined below.

Please note that not all items on the checklist will be applicable to your situation.

Investment and savings statements

- ☐ Bank account statements
- ☐ Account statements for any investments you own (IRA and NON-IRA)
- ☐ Employment benefits statement
- ☐ Retirement / 401 (k) plan statements/Deferred Comp, etc
- ☐ Your most recent Stock Option Plan Documents
- ☐ Your most recent Stock Option Statements of Award and Vesting
- ☐ Your most recent Employee Stock Purchase Plan Statements

Income and cash flow information

- ☐ Most recent federal and state income tax returns
- ☐ A list of future funding requirements: second home, college expenses, etc.
- ☐ Social security income estimates: https://www.ssa.gov/myaccount/

Documents related to your debts (amounts you owe)

- ☐ Mortgage information
- ☐ Credit card statements
- ☐ Student / other loan statements
- ☐ Auto loan statements

Estate planning information

- ☐ Wills
- ☐ Durable Power of Attorney documentation
- ☐ Health Care Proxy or Living Wills
- ☐ Most recent Trust Documents or Statements

Insurance policies

- ☐ Life insurance policies
- ☐ Disability insurance policies
- ☐ Long Term Care insurance

Family Information

- ☐ Account statements for children's investments, if applicable
- ☐ Care information for parents if applicable

Prepare to discuss

- ☐ Please come prepared to identify your short and long term financial goals and income needs.

Granite Bridge Wealth Management, LLC

973-559-5995

Please note: None of the information herein is intended as tax, accounting or legal advice. If you need assistance in these matters to complete the questionnaire, please contact your legal counsel or tax advisor. Neither this letter nor the accompanying questionnaire should be construed as a solicitation or offer for any sale or purchase. Please also note: Insurance and investment products are not insured by the FDIC or other government agency. Investing involves risk, including the potential loss of the invested principal amount.

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