

Privacy Policy Notice

Rev. May 2026

FACTS	WHAT DOES RISE ADVISORS, LLC (“the Firm”) DO WITH YOUR PERSONAL INFORMATION?	
Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.	
What?	<p>The types of personal information we collect, and share depend on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none"> • Social Security number and income • Account balances and account transactions • Risk Tolerance and assets 	
How?	All financial companies need to share customers’ personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers’ personal information; the reasons we choose to share; and whether you can limit this sharing.	
Reasons we can share your personal information	Do we share?	Can you limit this sharing?
For our everyday business purposes – such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes - to offer our products and services to you	Yes	No
For joint marketing with other financial companies	No	Not Applicable
For our affiliates’ everyday business purposes – information about your transactions and experiences	No	Not Applicable
For our affiliates’ everyday business purposes – information about your creditworthiness	No	Not Applicable
For our affiliates to market to you	No	Not Applicable
For non-affiliates to market to you	No	Not Applicable

To limit our sharing	<p>*Mail the form below.</p> <p>Please note: If you are a new customer, we can begin sharing your information from the date you received this notice. When you are no longer our customer, we continue to share your information as described in this notice. However, you can contact us at any time to limit our sharing.</p>
Questions?	Call (585) 565-3444 or go to www.riseadvisorsgroup.com
Who we are	
Who is providing this notice?	RISE ADVISORS, LLC
What we do	
How does Rise Advisors, LLC protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and building.
How does Rise Advisors, LLC collect my personal information?	<p>We collect your personal information, for example, when you:</p> <ul style="list-style-type: none"> • Open an account • Deposit money • Seek advice about your investments • Enter into an investment advisory contract • Tell us about your investment or retirement portfolio or earnings • We also collect your personal information from other companies.
Why can't I limit all sharing?	<p>Federal law gives you the right to limit only.</p> <ul style="list-style-type: none"> • Sharing for affiliates' everyday business purposes—information about your creditworthiness • Affiliates from using your information to market to you. • Sharing for non-affiliates to market to you <p>State laws and individual companies may give you additional rights to limit sharing. SMS opt-in or phone numbers obtained for the purpose of SMS are not being shared.</p>
What happens when I limit sharing for an account I hold jointly?	Your choices will apply to everyone on your account—unless you tell us otherwise.
Definitions	
Affiliates	Companies related by common ownership or control. They can be financial and nonfinancial companies.
Non-affiliates	Companies not related by common ownership or control. They can be financial and nonfinancial companies.
Joint marketing	A formal agreement between nonaffiliated financial companies that together market financial products or services to you.



SMS Terms & Conditions

In addition to the uses of information described above, the Firm may communicate with customers via SMS/text messaging using a third-party communications platform. This section explains how we collect, use, and protect information related to SMS communications.

SMS Communications & Consent

Phone numbers and information obtained as part of the SMS consent process will not be shared with third parties or affiliates for marketing purposes.

Types of SMS Communications

If you have consented to receive text messages from the Firm, you may receive messages related to appointment reminders and other business-related communications.

Message Frequency

Message frequency may vary depending on the nature of your interaction with the Firm.

Potential Fees for SMS Messaging

Please note that standard message and data rates may apply, depending on your carrier's pricing plan. These fees may vary if the message is sent domestically or internationally.

Opt-In Method

You may opt-in to receive SMS messages from the Firm by confirming via text.

Opt-Out Method

You can opt out of receiving SMS messages at any time. To do so, simply reply "STOP", "QUIT", "END", "REVOKE", "OPT OUT", "CANCEL", or "UNSUBSCRIBE" to any SMS message you receive. Alternatively, you can contact us directly to request removal from our messaging list.

Help

If you are experiencing any issues, you can reply with the keyword "HELP". Or, you can get help directly from us by calling (585) 565-3444.