

Table of Fees for Services
Neiman & Associates Financial Services, LLC (CRD# 123641)
Effective Date: January 1, 2020

Neiman & Associates Financial Services, LLC (the "Advisor") provides this Table of Fees for Services as a supplemental disclosure to its Form ADV Part 2A ("Disclosure Brochure"). Please reference Items 4 and 5 of the Disclosure Brochure, which contains important details about the Advisor's services and fees. Fees are negotiable at the sole discretion of the Advisor. The fees below will only apply to you when you request the services listed.

Fees Charged by Advisor	Fee Amount	Frequency Fee is Charged	Services
A percentage of assets under management	Up to \$500,000	1.50%	Quarterly in advance Portfolio management for individuals and/or small businesses Financial Planning Services for Clients with Portfolios of \$500,000 or more
	\$500,001 to \$1,000,000	1.25%	
	\$1,000,001 to \$3,000,000	1.00%	
	\$3,000,001 to \$5,000,000	.75%	
	Over \$5,000,000	.50%	
Hourly charges	\$325	Either monthly in arrears or invoiced up to fifty percent (50%) of the expected total fee and the balance shall be invoiced upon completion of the agreed	Holistic Comprehensive Financial Planning Services and Hourly Consultation Projects
Subscription fees	n/a	n/a	n/a
Fixed fees	\$2,000 or \$2,500	Fee paid at consultation	One-Time Financial Planning Services
Commissions	n/a	n/a	n/a
Performance-based fees	n/a	n/a	n/a
Other: Retainer	\$5,000 to \$50,000	Quarterly payments in advance	Ongoing portfolio management and financial planning services.
Fees Charged by Third Parties	Fee Amount	Frequency Fee is Charged	Services
Independent Manager Fees	0.10% to 1.05%*	Quarterly in advance	Portfolio management by Institutional Money Managers for individuals and/or small businesses
Robo-Advisor Fee	N/A	N/A	N/A
Please talk to the Advisor about fees and costs applicable to you			

Additional fees and costs to discuss with the Advisor

Additional Fees/Costs	Yes/No	Paid To
Securities Transaction Fees	Yes	Fidelity
Commissions	No	n/a
Custodian Fees**	Yes	Fidelity
Mark-ups	No	n/a
Mutual Fund/ETF Fees and Expenses	Yes	Fidelity

* Third Party Manager fees are tiered and charged at a tiered rate based on the strategy and manager engaged.

** No transaction fees will be assessed for Exchange -traded funds or domestic equity securities traded online. Other types of securities subject to fees.