

FORM ADV PART 2B BROCHURE SUPPLEMENT

Nicholas S. Wold

Item 1 – Cover Page

Nicholas S. Wold
Triad Investment Management, LLC
195 NE Gilman Blvd., Suite 101
Issaquah, WA 98027
425-392-2200

Located At:

1919 N. Pearl Street Unit B-2
Tacoma, WA 98406
253-272-7058

Date of Supplement: April 2020

This brochure supplement provides information about Nicholas S. Wold that supplements the Triad Investment Management disclosure brochure. You should have received a copy of that brochure. Please contact Tait C. Lane at 425-392-2200 if you did not receive Triad Investment Management’s brochure or if you have any questions about the contents of this supplement.

Additional information about Nicholas S. Wold is available on the SEC’s website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Nicholas S. Wold

Born: 1983
CRD # 7235139

Post-Secondary Educational Background:

University of Washington, Bachelor of Arts in Business Administration: 2005
University of Washington, Master of Professional Accounting: 2006

Business Background:

Triad Investment Management, LLC, Solicitor, 02/2020 to Present;
Suttom-McCann & Company, CPA and Shareholder, 01/2014 to Present;
Valley Business Services, CPA, 09/2012 to 11/2013;
Tote, Inc, Accounting Manager, 11/2008 to 09/2012.

Professional Designations:

Certified Public Accountant (CPA)

A Certified Public Accountant (CPA) primarily provides financial audit services, including attesting to the reasonableness of disclosures, freedom from material misstatements and adherence to applicable generally accepted accounting principles. To become a CPA, a candidate must pass the Uniform Certified

Public Accountant Examination set by the American Institute of Certified Public Accountants and administered by the National Association of State Boards of Accountancy. Typically, eligibility to sit for the examination requires a candidate to have a bachelor's degree that includes a minimum number of qualifying credit hours in accounting and business administration plus an additional one-year study. Candidates are also required to have public accounting work experience, with minimum requirements varying from state to state. Applicants for CPA status must also complete a special examination on ethics, including a review of state specific rules for professional practice. All CPAs are required to take continuing education courses. The requirements vary by state but usually require 120 hours of continuing education every three years with at least 20 hours taken every year. Many states require CPAs to take an ethics course during every renewal period, with courses ranging from 2-8 hours.

Personal Financial Specialist (PFS)

Candidates must meet all of the following requirements: be a member of The American Institute of Certified Public Accountants (AICPA), Hold an unrevoked CPA certificate issued by a state authority, Have at least two years of full-time teaching or business experience (or 3000 hours equivalent) in personal financial planning within the five-year period preceding the date of the CPA/PFS application. Candidates must complete a minimum of 75 hours of personal financial planning education within the five-year period preceding the date of the PFS application. Candidates must complete and pass the final certification exam. Candidates must also complete 60 hours (or its equivalent) of continuing professional education every three years.

Item 3 – Disciplinary Information

Nicholas S. Wold has no legal or disciplinary events to report.

Item 4 – Other Business Activities

Accountant

Nicholas S. Wold, an investment adviser representative of TIM, is also a Certified Public Accountant (CPA) for Sutton-McCann & Company since January 2014. If TIM or its investment adviser representatives determine that a client is in need of tax or accounting services, the client may be referred to Nicholas S. Wold accounting firm, Sutton-McCann & Company. In addition, if accounting or tax clients of Nicholas S. Wold are in need of financial planning or other advisory services, Nicholas S. Wold, acting in his separate capacity as an accountant, may refer or recommend investment advisory services available through TIM. No referral fees are paid when clients are referred to or from the accounting firm. Clients are not obligated in any manner to use the services or an accounting firm recommended or owned by Nicholas S. Wold.

Item 5 – Additional Compensation

Nicholas S. Wold in addition to the description of additional compensation provided in Item 4, Nicholas S. Wold can receive additional benefits.

Certain product sponsors may provide Nicholas S. Wold with other economic benefits as a result of his recommendation or sale of the product sponsors' investments. The economic benefits received by Nicholas S. Wold from product sponsors can include but are not limited to, financial assistance or the sponsorship of conferences and educational sessions, marketing support, incentive awards, payment of travel expenses, and tools to assist Nicholas S. Wold in providing various services to clients.

Although Triad Investment Management and Nicholas S. Wold endeavor at all times to put the interest of its clients ahead of its own or those of its officers, directors, or representatives (“affiliated persons”), these arrangements could affect the judgment of Nicholas S. Wold when recommending investment products. These situations present a conflict of interest that may affect the judgment of affiliated persons including Nicholas S. Wold.

Item 6 – Supervision

Randall W. Kim is the Chief Compliance Officer of Triad Investment Management. He is responsible for overseeing and enforcing the firm’s compliance programs that have been established to monitor and supervise the activities and services provided by the firm and its representatives, including Nicholas S. Wold. Randall W. Kim can be contacted at 425-289-3450.