

FORM ADV PART 2B BROCHURE SUPPLEMENT

Margaret “Peg” Keough

Item 1 – Cover Page

Margaret “Peg” Keough
Triad Investment Management, LLC
Also Doing Business Under:
Triad Financial Strategies and Path Wealth Management
195 NE Gilman Blvd., Suite 101
Issaquah, WA 98027
425-392-2200

Located At:
12715 Bel-Red Road, Suite 110
Bellevue, WA 98005
425-736-3756

Date of Supplement: July 2019

This brochure supplement provides information about Margaret “Peg” Keough that supplements the Triad Investment Management disclosure brochure. You should have received a copy of that brochure. Please contact Tait C. Lane at 425-392-2200 if you did not receive Triad Investment Management’s brochure or if you have any questions about the contents of this supplement.

Additional information about Margaret “Peg” Keough is available on the SEC’s website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Margaret “Peg” Keough

Born: 1964
CRD # 5068522

Post-Secondary Educational Background:

Indiana University - Bloomington, Master’s Degree Environmental Science and Policy: 1992
Cornell University, Bachelor’s Degree Psychology and Agricultural Science: 1986

Business Background:

Triad Investment Management, LLC, Investment Adviser Representative, 01/2019 to Present;
Path Wealth Management, LLC, Director of Financial Planning, 04/2018 to Present;
Way to the Quad, College Financial Planning Consultant, 05/2014 to Present;
Christopher Steen DDS MD PS, Director of Operations, 06/2015 to Present;
LPL Financial LLC, Investment Adviser Representative, 04/2018 to 01/2019;
Snider Financial Group, Director of Financial Planning, 06/2017 to 04/2018;
Opes Advisors, Wealth Advisor, 01/2016 to 06/2017;
Brian Rubens, DDS, Administrative Assistant, 07/2015 to 12/2015

LPL Financial LLC, Registered Representative, 05/2008 to 02/2014;
Path Wealth Management, Director of Financial Planning, 11/2005 to 02/2014.

Professional Designations

Accredited Investment Fiduciary (AIF)

The AIF certification signifies that an individual has a thorough knowledge of and ability to apply the fiduciary practices. To obtain the AIF certification, candidates must complete a training curriculum and then pass an AIF exam. AIF designees are required to adhere to a strict code of ethics. In order to maintain an AIF license, AIF designees must complete six hours of continuing professional education each year.

Item 3 – Disciplinary Information

Margaret “Peg” Keough has no legal or disciplinary events to report.

Item 4 – Other Business Activities

Margaret “Peg” Keough is the Treasurer of Keough Associates, Inc. since January 2006. Her duties include tracking expenses, revenue and bill payment. She spends 1 hour per month on this activity.

Margaret “Peg” Keough is the Director of Operations for Christopher Steen DDS, MD PS since June 2015. Her duties include handling administrative duties for the office remotely. She spends 20 hours per month on this activity.

Margaret “Peg” Keough is the owner and consultant for Way to the Quad since May 2014. Through Way to the Quad, Margaret “Peg” Keough helps college students and their families prepare and navigate through the college planning process. She spends 80 hours per month on this activity.

Item 5 – Additional Compensation

Margaret “Peg” Keough has no additional compensation to report.

Item 6 – Supervision

Randall W. Kim is the Chief Compliance Officer of Triad Investment Management. He is responsible for overseeing and enforcing the firm’s compliance programs that have been established to monitor and supervise the activities and services provided by the firm and its representatives, including Margaret “Peg” Keough. Randall W. Kim can be contacted at 425-289-3450.