

FORM ADV PART 2B BROCHURE SUPPLEMENT

Thomas A. Bakamus

Item 1 – Cover Page

Thomas A. Bakamus
Triad Investment Management
195 NE Gilman Blvd., Suite 101
Issaquah, WA 98027
425-392-2200

Date of Supplement: May 2021

This brochure supplement provides information about Thomas A. Bakamus that supplements the Triad Investment Management disclosure brochure. You should have received a copy of that brochure. Please contact Tait C. Lane at 425-392-2200 if you did not receive Triad Investment Management’s brochure or if you have any questions about the contents of this supplement.

Additional information about Thomas A. Bakamus is available on the SEC’s website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Thomas A. Bakamus

Born: 1986
CRD # 5779874

Post-Secondary Educational Background:

Central Washington University, Bachelor of Science in Business Administration, 2009

Business Background:

Triad Investment Management, Chief Compliance Officer and Investment Adviser Representative, 05/2021 to Present;
LPL Financial, Registered Representative, 05/2021 to Present;
Build Asset Management, LLC, Regional Director, Adviser Sales, 01/2020 to 03/2021;
Umpqua Investments, Investment Consultant, 03/2019 to 01/2020;
Russell Investments, Associate Regional Director, 06/2018 to 03/2019;
Russell Investments, Regional Consultant, 01/2017 to 06/2018;
Russell Investments, Client Service Associate, 04/2014 to 01/2017;
Capital One Sharebuilder, Sales/Service Rep., 08/2010 to 04/2014;
ING Direct Investing, Inc., Sales/Service Rep., 04/2010 to 04/2014;
Newcastle Golf Course, Banquet server, 06/2004 to 07/2010.

Item 3 – Disciplinary Information

Thomas A. Bakamus has no legal or disciplinary events to report.

Triad Investment Management

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Item 4 – Other Business Activities

Registered Representative of a Broker-Dealer

Thomas A. Bakamus is separately licensed as a registered representative with LPL Financial, Inc., a registered securities broker/dealer, member of the Financial Industry Regulatory Authority (FINRA) and the Securities Investors Protection Corporation (SIPC). When acting in his separate capacity as a registered representative of LPL Financial, Inc., Thomas A. Bakamus may sell, for commissions, general securities products such as stocks, bonds, mutual funds, exchange-traded funds, and variable annuity and variable life products to advisory clients. As such, Thomas A. Bakamus may suggest that advisory clients implement investment advice by purchasing securities products through a commission-based LPL Financial, Inc. account in addition to a Triad Investment Management advisory account.

The receipt of commissions creates an incentive for Thomas A. Bakamus to recommend those products for which he will receive a commission. Consequently, the objectivity of the advice rendered to clients could be biased. Thomas A. Bakamus controls for this potential conflict of interest by discussing with clients the advantages and disadvantages of establishing a fee-based account through Triad Investment Management versus establishing a commission-based account through LPL Financial, Inc.. Triad Investment Management does not require its advisor representatives to encourage clients to implement investment advice through LPL Financial, Inc..

Thomas A. Bakamus does not earn commissions in fee-based accounts.

Thomas A. Bakamus will receive 12b-1 fees from certain mutual fund companies as outlined in the fund's prospectus. 12b-1 fees come from fund assets, therefore, indirectly from client assets. The receipt of such fees could represent an incentive for Thomas A. Bakamus to recommend funds with 12b-1 fees over funds that have no fees or lower fees. Typically, Thomas A. Bakamus will receive 12b-1 fees only in commission-based brokerage accounts. However, such fees can be earned in fee-based accounts managed by Thomas A. Bakamus if 12b-1 fee paying mutual funds are held in the managed account. For ERISA accounts, there is an offset for any amount of 12b-1 fees. In such a situation, Thomas A. Bakamus discusses with clients the selection of a 12b-1 or other trail paying mutual funds. LPL Financial, Inc. maintains records of all 12b-1 fee payments to Thomas A. Bakamus which may be viewed by clients upon request.

Clients are never obligated or required to establish accounts through Triad Investment Management or LPL Financial, Inc.. However, if a client does not choose to accept Thomas A. Bakamus's advice or decides not to establish an account through LPL Financial, Inc., Thomas A. Bakamus may not be able to provide management and advisory services to the client. Clients should understand that, due to certain regulatory constraints, Thomas A. Bakamus, in his capacity as a LPL Financial, Inc.. Thomas A. Bakamus must place all purchases and sales of securities products in commission-based brokerage accounts through LPL Financial, Inc. or its other approved institutions.

Insurance Agent

Thomas A. Bakamus is independently licensed to sell insurance and annuity products through various insurance companies. When acting in this capacity, Thomas A. Bakamus will receive commissions for selling insurance and annuity products.

Thomas A. Bakamus may also receive other incentive awards for the recommendation/sale of annuities and other insurance products. The receipt of compensation and other incentive benefits may affect the judgment of Thomas A. Bakamus when recommending products to its clients. While Thomas A. Bakamus endeavors at all times to put the interest of his clients first as a part of Triad Investment Management's overall fiduciary duty to clients, clients should be aware that the receipt of commissions and additional compensation itself creates a conflict of interest, and may affect Thomas A. Bakamus's decision making process when making recommendations.

Clients are never obligated or required to purchase insurance products from or through Thomas A. Bakamus and may choose any independent insurance agent and insurance company to purchase insurance products. Regardless of the insurance agent selected, the insurance agent or agency will receive normal commissions from the sale.

Item 5 – Additional Compensation

In addition to the description of additional compensation provided in Item 4, Thomas A. Bakamus can receive additional benefits.

Certain product sponsors may provide Thomas A. Bakamus with other economic benefits as a result of his recommendation or sale of the product sponsors' investments. The economic benefits received by Thomas A. Bakamus from product sponsors can include but are not limited to, financial assistance or the sponsorship of conferences and educational sessions, marketing support, incentive awards, payment of travel expenses, and tools to assist Thomas A. Bakamus in providing various services to clients.

Although Triad Investment Management and Thomas A. Bakamus endeavor at all times to put the interest of its clients ahead of its own or those of its officers, directors, or representatives ("affiliated persons"), these arrangements could affect the judgment of Thomas A. Bakamus when recommending investment products. These situations present a conflict of interest that may affect the judgment of affiliated persons including Thomas A. Bakamus.

Item 6 – Supervision

Thomas A. Bakamus is the Investment Adviser Representative of Triad Investment Management. He is responsible for overseeing and enforcing the firm's compliance programs that have been established to monitor and supervise the activities and services provided by the firm and its representatives. Thomas A. Bakamus can be contacted at 425-289-3450.