

JUNCTURE WEALTH STRATEGIES, LLC

PRIVACY NOTICE

Juncture Wealth Strategies, LLC's highest priority is maintaining the trust and confidence of our clients. That is why we want you to understand how we protect your privacy when we collect and use information about you, and the steps that we take to safeguard that information.

Information We Collect: In connection with providing investment services, financial advice, or other related services, we obtain non-public personal information about you from the following sources:

- Information we receive on account applications, such as your address, date of birth, Social Security Number, occupation, financial goals, assets and income;
- Information about your transactions with our firm, our affiliates, or others; and
- Information received from credit or service bureaus or other third parties, such as your credit history or employment status.

Categories of Information We Disclose: We may only disclose information that we collect in accordance with this policy. Juncture Wealth Strategies, LLC does not sell customer lists and will not sell your name to telemarketers.

Categories of Parties to Whom We Disclose: We will not disclose information regarding you or your account with us, except under the following circumstances:

- To your authorized Registered Representative and his or her manager;
- To our parent companies or their affiliates, to the extent permitted by law;
- To entities that perform services for us or function on our behalf, including financial service providers, such as a clearing broker-dealer, investment company, or insurance company;
- To consumer reporting agencies,
- To third parties who perform services or marketing on our behalf;
- To your attorney, trustee or anyone else who represents you in a fiduciary capacity;
- To our attorneys, accountants or auditors; and
- To government entities or other third parties in response to subpoenas or other legal process as required by law or to comply with regulatory inquiries.

How We Use Information: Information may be used among companies that perform support services for us, such as data processors, technical systems consultants and programmers, or companies that help us market products and services to you for a number of purposes, such as:

- **To protect your accounts** from unauthorized access or identity theft;
- **To process your requests** such as securities purchases and sales;
- **To establish or maintain an account with an unaffiliated third party**, such as a clearing broker-dealer providing services to you and/or Juncture Wealth Strategies;
- **To service your accounts**, such as by issuing checks and account statements;
- **To comply** with Federal, State, and Self-Regulatory Organization requirements;
- **To keep you informed** about financial services of interest to you.

Regulation S-AM: Under Regulation S-AM, a registered investment adviser is prohibited from using eligibility information that it receives from an affiliate to make a marketing solicitation unless: (1) the potential marketing use of that information has been clearly, conspicuously and concisely disclosed to the consumer; (2) the consumer has been provided a reasonable opportunity and a simple method to opt out of receiving the marketing solicitations; and (3) the consumer has not opted out. Juncture Wealth Strategies, LLC does not receive information regarding marketing eligibility from affiliates to make solicitations.

Our Security Policy: We restrict access to nonpublic personal information about you to those individuals who need to know that information to provide products or services to you and perform their respective duties. We maintain physical, electronic, and procedural security measures to safeguard confidential client information.

Closed or Inactive Accounts: If you decide to close your account(s) or become an inactive customer, our Privacy Policy will continue to apply to you.

Departure of your Investment Advisor Representative: If your IAR chooses to depart Juncture Wealth Strategies and 1) such termination of his/her contract as an employee is not initiated by Juncture Wealth Strategies against the IAR for cause or 2) IAR is not currently subject to complaints, investigations, or regulatory inquiries as it relates to investments or investment-related business, then you agree to permit your IAR to possess data regarding your contact and account information. IAR will still be subject to non-disclosure and confidentiality restrictions as it relates to sharing this information to outside parties without your prior consent.

Complaint Notification: Please direct complaints to: Barry Rhonemus, at Juncture Wealth Strategies, LLC, 8777 E Via De Ventura, Ste 120, Scottsdale, AZ 85258; 480-253-4100.

Changes to This Privacy Policy: If we make any substantial changes in the way we use or disseminate confidential information, we will notify you. If you have any questions concerning this Privacy Policy, please contact us at: Juncture Wealth Strategies, LLC; 8777 E Via De Ventura, Ste 120, Scottsdale, AZ 85258; 480-253-4100.