Privacy Policy

Turning Point Planning LLC

dba Turning Point Financial Life Planning

Revised: September 15, 2021

Turning Point Planning LLC recognizes that our relationships with current and prospective clients are based on integrity and trust. We work hard to maintain your privacy and to preserve the private nature of our relationship with you. We place the highest value on the information you share with us. Turning Point Planning LLC will not disclose your personal information to anyone unless it is required by law or at your direction. We will not sell your personal information. Turning Point Planning LLC will provide this privacy statement to all clients annually.

We have policies to maintain the confidentiality and security of your nonpublic personal information. The following is designed to help you understand what information we collect from you and how we use that information to serve you.

Why We Collect Your Information

We gather information about you so that we can:

- Help design and implement the investment and planning related services we provide you; and
- Comply with the Federal and State laws and regulations that govern us.

What Information We Collect and Maintain

We may collect the following types of “nonpublic personal information” about you:

- Information you provide (including name, address, social security number, date of birth, income and other financial-related information);
- Data about your transactions with us (such as the types of investments you have made and your account status);
- Information that we generate to service your financial needs; and
- Information that we may receive from third parties with respect to your financial profile.

What Information We Disclose

We are permitted by law to disclose nonpublic information about you to unaffiliated third parties in certain circumstances. Turning Point Planning LLC may disclose client's information: (1) to individuals and/or entities not affiliated with Turning Point Planning LLC, including, but not limited to certain service providers (i.e., broker-dealer, sub-advisers, account custodian, record keeper, etc.) in furtherance of the client's engagement with Turning Point Planning LLC to service your account; (2) to your authorized representative or power of attorney; or (3) otherwise permitted to do so in accordance with the parameters of applicable federal and/or state privacy regulations.

In the event that Turning Point Planning LLC has a change to its client privacy policy that would allow it to disclose non-public information not covered under applicable law, Turning Point Planning LLC will allow its clients the opportunity to opt-out of such disclosure.

Former Clients

Even if we cease to provide you with financial services, our Privacy Policy will continue to apply to you, and we will continue to treat your nonpublic information with strict confidentiality.

How We Protect Your Personal Information

We restrict access to nonpublic personal information about our clients to those employees and agents who need to know that information to provide products and services to our clients. We maintain physical, electronic and procedural safeguards to protect our clients' nonpublic personal information. Arrangements with companies or independent contractors not affiliated with Turning Point Planning LLC will be subject to confidentiality agreements.

We respect and value that you have entrusted us with your private financial information, and we will work diligently to maintain that trust. We are committed to preserving that trust by respecting your privacy as provided herein.

If you have any questions regarding this privacy notice, please contact the Chief Compliance Officer, David Frank at (310) 857-9799.