

Kingswood U.S. Order Routing Disclosure

Reports for SEC Rule 605, monthly reports of national market system securities as well as SEC Rule 606, quarterly equity order routing information and the source of payment and/or credits received in connection with these routing practices are available on the following websites:

For accounts held with Raymond James & Associates, Inc., please click here: https://www.raymondjames.com/legal-disclosures/sec-order-execution-routing-disclosures

For accounts held with RBC Clearing and Custody, a division of RBC Capital Markets, please click here: http://external.s3.com/rule606/rbcwm/correspondentsvcs/

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