

***Cover Page***



**Eugene K. Hsu, CFP®, CFS™, AIF®**

**231 D Street, Suite D  
Davis, California 95616**

**Phone: (530) 280-7340**

March 15, 2021

**FORM ADV PART 2  
BROCHURE SUPPLEMENT**

**This brochure supplement provides information about Eugene K. Hsu that supplements the Archer Pointe Wealth Management, LLC brochure. You should have received a copy of that brochure. Please contact Eugene K. Hsu if you did not receive a Archer Pointe Wealth Management, LLC's brochure or if you have questions about this supplement. Mr. Hsu's CRD number is 3107090.**

**Additional information about Eugene K. Hsu is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

***Educational Background and Business Experience***

Eugene K. Hsu, CFP<sup>®</sup>, CFS<sup>™</sup>, AIF<sup>®</sup>  
Managing Principal/CCO  
Year of Birth: 1976

**Business Background:**

Archer Pointe Wealth Management, LLC, Managing Principal/CCO, June 2020 - Present

Chamberlain Financial Planning, Investment Advisor Representative, November 2017 – February 2021

University of California, Davis Extension Personal Financial Planning Instructor, 2013 to 2017

Paragon Financial Services, Advisor, July 2012 – November 2017

Commonwealth Financial Network, Registered Advisor, July 2012 – November 2017

**Educational Background:**

University of California, Davis, Bachelor of Business Administration, Graduated: 1998

**Relevant Designations:**

CERTIFIED FINANCIAL PLANNER <sup>™</sup> Practitioner (CFP<sup>®</sup>)

This program is sponsored by the CFP Board of Standards. Before applying for the CFP<sup>®</sup> Certification Examination, you need to meet the six course education requirements (or their equivalent) as set by CFP Board as well as a financial plan development course registered with CFP Board. Additionally, a bachelor's degree (or higher), or its equivalent, in any discipline, from an accredited college or university is required to attain CFP<sup>®</sup> certification. Additional requirements include successful completion of the CFP<sup>®</sup> Certification Examination, which tests your ability to apply your financial planning knowledge to client situations. The 10-hour exam is divided into three separate sessions. Because of the integrated nature of financial planning, however, each session may cover all topic areas (personal financial planning, risk management, income taxes, investments, retirement planning, and estate planning). In addition to the education requirements, there is an experience requirement, which is currently at least three years of qualifying full-time work experience in personal financial planning. There are additional requirements for candidates and registrants to pass Fitness Standards and a Background Check and to agree to abide by CFP Board's Code of Ethics and Professional Responsibility, Rules of Conduct and Financial Planning Practice Standards. Certificants must continue to meet continuing education requirements which

presently include obtaining 30 hours of continuing education in selected subjects every two calendar years, including a two-hour CFP Ethics course. For more details, see [www.cfp.net](http://www.cfp.net).

#### CERTIFIED FUND SPECIALIST™ (CFS™)

A certified fund specialist (CFS™) has received a certification from the Institute of Business and Finance (IBF) for their expertise in mutual funds and the mutual fund industry. Requirements for the designation include passing the certified fund specialist exam. The certified fund specialist exam is one of the oldest certification designations in the mutual fund industry.

- A certified fund specialist is a financial industry professional certified in providing mutual funds assistance.
- In order to become a certified fund specialist, a certificate must be acquired from the Institute of Business and Finance (IBF).
- Certified fund specialists can be accountants, bankers, brokers, money managers, personal financial advisors, and other professionals within the financial industry.
- In addition to holding a certificate, certified fund specialists must fulfill 30 hours of education every two years.
- Before taking the exam, a prospective CFS must have a bachelor's degree or 2,000 hours of work experience in the financial services industry and have completed the IBF self-study program consisting of six modules.

#### ACCREDITED INVESTMENT FIDUCIARY (AIF®)

The AIF is currently offered and recognized by the Center for Fiduciary Studies. To obtain this certification the candidate must meet a point-based threshold based on a combination of education, relevant industry experience and/or professional development. The candidate completes a program that reviews the fundamentals of fiduciary responsibility, formalizing and implementing an investment strategy and monitoring investment results. A final certification exam must be passed and 6 hours of annual continuing education are needed to maintain.

Form ADV, Part 2B, Item 3

#### ***Disciplinary Information***

Mr. Hsu does not have any reportable disciplinary disclosures.

Form ADV, Part 2B, Item 4

#### ***Other Business Activities***

Eugene K. Hsu has a financial industry affiliated business as a licensed independent insurance agent. None of his time is spent on this activity, as he does not currently sell insurance products. From time to time, he offers clients advice regarding insurance products, but does not sell nor receive compensation of any sort from these recommendations.

Form ADV, Part 2B, Item 5

***Additional Compensation***

Fees for public speaking may be paid to Archer Pointe Wealth Management, LLC. From time to time the staff of Archer Pointe Wealth Management, LLC may attend educational events provided by financial services company for educational purposes. These venues may include food and beverages. While these educational events are not a direct benefit to the client, the services may assist us in providing advice and guidance to our clients.

Form ADV, Part 2B, Item 6

***Supervision***

Archer Pointe Wealth Management, LLC has written supervisory procedures in place that are reasonably designed to detect and prevent violations of the securities laws, rules, and regulations of the Securities and Exchange Commission ("SEC") and the US Investment Advisers Act of 1940, as amended (the "Advisers Act"). Mr. Hsu is Archer Pointe Wealth Management, LLC's Chief Compliance Officer, therefore he is responsible for all of the activities that occur on behalf of Archer Pointe Wealth Management, LLC and its clients. Mr. Hsu can be reached at (530) 280-7340.

Form ADV, Part 2B, Item 7

***Requirements for State-Registered Advisers***

Eugene K. Hsu does not have any reportable disciplinary events required to be disclosed in this section.

***Cover Page***

**GARY LEON COHEN, CFP®**

**231 D Street, Suite D  
Davis, California 95616**

**Phone: (530) 280-7340**

March 18, 2021

**FORM ADV PART 2  
BROCHURE SUPPLEMENT**

**This brochure supplement provides information about Gary Leon Cohen that supplements the Archer Pointe Wealth Management, LLC brochure. You should have received a copy of that brochure. Please contact Gary Leon Cohen if you did not receive a Archer Pointe Wealth Management, LLC's brochure or if you have questions about this supplement. Mr. Cohen's CRD number is 5971589.**

**Additional information about Gary Leon Cohen is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

***Educational Background and Business Experience***

Gary Leon Cohen  
Investment Advisor Representative  
Year of Birth: 1958

**Business Background:**

Archer Pointe Wealth Management, LLC, Investment Advisor Representative, November 2020 – Present

Libra Wealth LLC, Financial Planner, January 2021 – Present

Chamberlain Financial Planning & Wealth Management, Investment Advisor Representative, September 2011 – February 2021

Sabbatical, November 2009 – April 2011

Cisco Systems, Systems Engineering & Digital Hardware Design, June 2004 – December 2012

**Educational Background:**

University of California, Santa Cruz, Certificate in Financial Planning, Completed: 2011

Stanford University, Master of Science, Graduated: 1986

**Relevant Designations:**

CERTIFIED FINANCIAL PLANNER™ Practitioner (CFP®)

This program is sponsored by the CFP Board of Standards. Before applying for the CFP® Certification Examination, you need to meet the six course education requirements (or their equivalent) as set by CFP Board as well as a financial plan development course registered with CFP Board. Additionally, a bachelor's degree (or higher), or its equivalent, in any discipline, from an accredited college or university is required to attain CFP® certification. Additional requirements include successful completion of the CFP® Certification Examination, which tests your ability to apply your financial planning knowledge to client situations. The 10-hour exam is divided into three separate sessions. Because of the integrated nature of financial planning, however, each session may cover all topic areas (personal financial planning, risk management, income taxes, investments, retirement planning, and estate planning). In addition to the education requirements, there is an experience requirement, which is currently at least three years of qualifying full-time work experience in personal financial planning. There are additional requirements for candidates and registrants to pass Fitness Standards and a Background Check and to agree to abide by CFP Board's *Code of*

*Ethics and Professional Responsibility*, *Rules of Conduct* and *Financial Planning Practice Standards*. Certificants must continue to meet continuing education requirements which presently include obtaining 30 hours of continuing education in selected subjects every two calendar years, including a two-hour CFP Ethics course. For more details, see [www.cfp.net](http://www.cfp.net).

Form ADV, Part 2B, Item 3

***Disciplinary Information***

Mr. Cohen does not have any reportable disciplinary disclosures.

Form ADV, Part 2B, Item 4

***Other Business Activities***

Gary Leon Cohen does not have any reportable outside business activities.

Form ADV, Part 2B, Item 5

***Additional Compensation***

Gary Leon Cohen does not receive any economic benefit from anyone, who is not a client, for providing advisory services.

Form ADV, Part 2B, Item 6

***Supervision***

Archer Pointe Wealth Management, LLC has written supervisory procedures in place that are reasonably designed to detect and prevent violations of the securities laws, rules, and regulations of the Securities and Exchange Commission ("SEC") and the US Investment Advisers Act of 1940, as amended (the "Advisers Act"). Mr. Cohen is supervised by Archer Pointe Wealth Management, LLC's Chief Compliance Officer, Eugene K. Hsu. Mr. Hsu can be reached at (530) 280-7340.

Form ADV, Part 2B, Item 7

***Requirements for State-Registered Advisers***

Gary Leon Cohen does not have any reportable disciplinary events required to be disclosed in this section.