



Client Relationship Summary – March 2021

Daintree Advisors LLC (“Daintree”) is an investment adviser registered with the U.S. Securities and Exchange Commission. Daintree is not a broker dealer and does not receive compensation from any broker dealer. Investment advisory and broker dealer services and fees differ; therefore, it is important for you to understand the differences.

Free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](https://www.investor.gov/crs), which also provides educational materials about investment advisers, broker-dealers, and investing.

What investment services and advice can you provide me?

We offer wealth management to high net worth retail investors, including investment advisory and financial planning. This often includes retirement planning, tax planning, philanthropy and estate planning. For the wealthiest clients with \$20 million to \$100 million of assets or more, we may also provide family office services such as sophisticated trust and estate services and bill payment.

As part of our standard services, we monitor our clients’ portfolio holdings, asset allocation, and risk management on an ongoing basis, or as warranted based on market conditions or other triggers such as a change of investment objectives, employment/income, or a tactical change in our investment advice. We offer our investment advisory services generally on a discretionary basis by hiring third-party asset managers; we do not typically purchase stocks or bonds directly for our clients. Discretionary investment advisory allows us the limited authority to buy and sell investments in your account without asking you each time a transaction is placed. With non-discretionary investment advisory, investment recommendations require your approval before placing trades for your account. Our level of authority is determined at the beginning of our relationship with you in our advisory agreement but can be changed upon request.

While we can advise on any investment asset, our investment recommendations through third-party managers are primarily related to investments in exchange traded public securities (stocks), exchange traded funds (ETFs), bonds, mutual funds, and separately managed accounts. We will also utilize other securities, such as partnerships/limited liability companies (LLC), certificates of deposit, and cash equivalents. Our minimum requirement for opening or maintaining accounts for retail investors is \$2 million in assets, but the minimum may be waived.

For additional information, please see our Form ADV Part 2A (with special emphasis on Items 4, 7, and 16) which can be found on our website at daintreadvisors.com.

Conversation Starters – Ask Your Financial Professional:

Given my financial situation, should I choose investment advisory services? Why or why not?

How will you choose investments to recommend to me?

What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?

What fees will I pay?

Principal Fees: We are compensated by asset-based fees for our investment advice and sometimes also fixed or hourly fees for our financial planning and non-investment services. Our asset-based fees range between 0.5% — 1.0% annually, depending on the type and complexity of the investment strategy as well as the size of the account we manage for you. It also varies depending on whether we are charging additional fixed or hourly fees for non-investment services. The asset-based fee is charged either monthly or quarterly, in advance or in arrears as agreed upon by you and Daintree, and based on the value of portfolio assets of the account for the billing period. The more assets in your advisory account, the more you will pay in fees, and therefore we may be incented to encourage you to increase the assets in your account. Hourly fees range from \$125 to \$600, and fixed fees range from \$1,000 to \$200,000 annually depending on the services to be performed and the resources required to deliver them. The frequency of billing and whether paid up-front, periodically, or upon completion will be negotiated and agreed between you and Daintree.



Other Fees and Costs: Daintree's fees are exclusive of any brokerage commissions, transaction fees, or other related costs which shall be incurred by the client such as custodial fees, transfer taxes, wire transfer and electronic fund fees, and other fees and taxes on brokerage accounts and securities transactions. All fees paid to Daintree for investment advisory services are separate and distinct from the fees and expenses charged by third-party asset managers or their investment vehicles such as mutual funds, ETFs (exchange traded funds), separate accounts, investment partnership or LLC, etc. These fees will generally include a management fee and other expenses which are disclosed in the prospectuses or offering documents of the underlying manager or investment vehicle.

Additional Information: You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce the amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying. **More detailed information about our fees and costs are included in our Form ADV Part 2A (Item 5).**

Conversation Starter – Ask Your Financial Professional:

Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here are some examples to help you understand what this means.

Daintree and its Investment Advisor Representatives (IARs) are allowed to invest for their own accounts in the same securities that we recommend or acquire for your account, and may engage in transactions that are the same or different than transactions recommended or made for you. This creates a conflict of interest that we mitigate by maintaining a Code of Ethics and compliance program that sets forth a standard of conduct that must be adhered to by all Daintree personnel. In addition, the firm and its personnel are fiduciaries by law and are required to put the interests of client first. **These arrangements and additional information about other conflicts of interest are discussed in more detail in our Form ADV Part 2A.**

Conversation Starter – Ask Your Financial Professional:

How might your conflicts of interest affect me, and how will you address them?

How do your financial professionals make money?

Our IARs are compensated through salaries and bonuses and other benefits, and does not vary based on the type of investments that are recommended. Neither Daintree nor our IARs are compensated for the sale of any product.

Do you or your financial professionals have legal or disciplinary history?

No. We invite you to visit Investor.gov/CRS for a free and simple search tool to research our Firm and financial professionals.

Conversation Starter – Ask Your Financial Professional:

As a financial professional, do you have any disciplinary history? For what type of conduct?

We encourage you to seek out additional information about our investment advisory services in our Form ADV Brochure on Investor.gov or adviserinfo.sec.gov (CRD #153197). Alternatively, you can call Daintree at (617) 848-3410 to speak with us directly to request up-to-date information and request a copy of the relationship summary.

Conversation Starters – Ask Your Financial Professional:

Who is my primary contact person?

Is he or she a representative of an investment adviser or a broker-dealer?

Who can I talk to if I have concerns about how this person is treating me?