



# SAWSTON

WEALTH MANAGEMENT, LLC  
SAWSTONWEALTH.COM

## FORM ADV PART 2B - INDIVIDUAL DISCLOSURE BROCHURE

### COLLEEN HUDDLESTON GILLESPIE

Personal CRD Number: 4798828  
Investment Adviser Representative

**ADDRESS:**

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Olympia, WA 98502

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This brochure supplement provides information about Colleen Huddleston Gillespie that supplements the Sawston Wealth Management LLC brochure. You should have received a copy of that brochure. Please contact Colleen Huddleston Gillespie if you did not receive Sawston Wealth Management LLC's brochure or if you have any questions about the contents of this supplement

Additional information about Colleen Huddleston Gillespie is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

Registration does not imply a certain level of skill or training

Version Date: 12/8/2016

WHAT WILL YOUR LEGACY BE?

## **ITEM 2: EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE**

**Name:** Colleen Huddleston Gillespie

**Born:** 1961

### **Educational Background and Professional Designations:**

#### **Education:**

Masters Public Administration/Health Administration  
Portland State University - 1986

Bachelors Degree Social Work  
Eastern Washington University - 1983

#### **Business Background:**

|                   |  |
|-------------------|--|
| 08/2015 - Present | Managing Partner<br>Sawston Wealth Management, LLC         |
| 04/2012- 10/2015  | Portfolio Manager<br>Capstone Investment Group, LLC        |
| 01/2013 - 10/2015 | Registered Representative<br>Cetera Advisors, LLC          |
| 08/2010 - 04/2012 | Chief Advisory Officer<br>Cambridge Wealth Management, LLC |
| 04/2004 - 08/2010 | Financial Advisor<br>Morgan Stanley Smith Barney           |

## **ITEM 3: DISCIPLINARY INFORMATION**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

## **ITEM 4: OTHER BUSINESS ACTIVITIES**

Colleen Huddleston Gillespie is an independent licensed insurance agent, and from time to time, will offer clients advice or products from those activities. Clients should be aware that these services pay a commission or other compensation and involve a conflict of interest, as commissionable products conflict with the fiduciary duties of a registered investment adviser. Sawston Wealth Management LLC always acts in the best interest of the client; including the sale of commissionable products to advisory clients. Clients are in no way required to utilize the services of any representative of Sawston Wealth Management LLC in connection with such individual's activities outside of Sawston Wealth Management LLC.

Colleen Huddleston Gillespie provides nonprofit consulting on a periodic basis. From time to time, she may offer clients advice or products from those activities and clients should be aware that these services may involve a conflict of interest. Sawston Wealth Management LLC always acts in the best interest of the client and clients are in no way required to the services of any representative of Sawston Wealth Management LLC in connection with such individual's activities outside of Sawston Wealth Management LLC.

Colleen Huddleston Gillespie is on the advisory board of trustees for Capital Medical Center. Clients will not be offered any services from her position on the board.

## **ITEM 5: ADDITIONAL COMPENSATION**

Colleen Huddleston Gillespie does not receive any economic benefit from any person, company, or organization, other than Sawston Wealth Management LLC in exchange for providing clients advisory services through Sawston Wealth Management LLC.

## **ITEM 6: SUPERVISION**

As the Chief Compliance Officer of Sawston Wealth Management LLC, Colleen Huddleston Gillespie supervises all activities of the firm. Colleen Huddleston Gillespie's contact information is on the cover page of this disclosure document. Colleen Huddleston Gillespie adheres to applicable regulatory requirements, together with all policies and procedures outlined in the firm's code of ethics and compliance manual.

## **ITEM 7: REQUIREMENTS FOR STATE REGISTERED ADVISERS**

This disclosure is required by state securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.

A. Colleen Huddleston Gillespie has NOT been involved in any of the events listed below.

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:

- a) an investment or an investment-related business or activity;
- b) fraud, false statement(s), or omissions;
- c) theft, embezzlement, or other wrongful taking of property;
- d) bribery, forgery, counterfeiting, or extortion; or
- e) dishonest, unfair, or unethical practices.

2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:

- a) an investment or an investment-related business or activity;
- b) fraud, false statement(s), or omissions;
- c) theft, embezzlement, or other wrongful taking of property;
- d) bribery, forgery, counterfeiting, or extortion; or
- e) dishonest, unfair, or unethical practices.

B. Colleen Huddleston Gillespie has NOT been the subject of a bankruptcy petition in the past ten years.