

TRANSACTION SCHEDULE BROKERAGE

EQUITIES, ETFs, CLOSED-END FUNDS, AND OPTIONS

ONLINE ORDER ENTRY	TICKET CHARGE	EMPLOYEE
Equities, ETFs, and Closed-End Funds	\$19.95 ¹	\$7.95 ¹
Options	\$15 + \$1 per contract ¹	\$12 + \$1 per contract ¹

Fidelity ETFs are available without a transaction fee in NFS accounts.¹ ([View list.](#))

TRADER ASSISTED	TICKET CHARGE	EMPLOYEE
Equities, ETFs, and Closed-End Funds	\$25 ^{1,2}	\$7.95 ¹
Options	\$20 + \$1.25 per contract ^{1,2}	\$12 + \$1 per contract ¹

OTHER	TICKET CHARGE
Fixed Income	\$30 ¹
Precious Metals	\$50 ¹
UITs	\$20 ¹
Alternative Investments	\$50

MUTUAL FUNDS

We have established relationships with more than 30 Core Partners that share our goal of supporting you and your practice. They'll provide you with training sessions and presentation materials throughout the year. They are AllianceBernstein, Allianz Global Investors, American Funds, BlackRock, Calvert, Columbia Threadneedle, Deutsche Asset, Eaton Vance, Federated, Fidelity Advisor, Franklin Templeton, Goldman Sachs, Hartford, Invesco, Ivy Funds, John Hancock, J.P. Morgan, Legg Mason Partners, Lord Abbett, MainStay, MFS, Natixis, Nuveen Investments, PIMCO, Pioneer, Principal, Prudential Investments, Putnam, Thornburg, and Virtus Investment Partners. ([View a list of included share classes.](#))

Four **nonsupporting mutual funds** do not support intermediary distributions, resulting in higher transaction fees. They are CGM, Dimensional Fund Advisors (DFA), Dodge & Cox, and Vanguard.

TRANSACTION	CORE FUNDS	NTF	SUPPORTING FUNDS	NONSUPPORTING FUNDS
Buy	Free ^{1,3}	Free	\$15 ^{1,4,5}	\$30 ^{1,4,6}
Sell	\$15 ^{1,5}	Free ⁷	\$15 ^{1,5}	\$30 ^{1,6}
Exchange	Free ⁵	Free	Free ⁵	\$30 ⁶
PIP/SWP ⁸	Free	Free	\$3	\$3

¹Plus service fee of \$4 for accounts not enrolled in all available e-notification (e-delivery) options (excluding tax documents).

²Trader-assisted block trades will be the same ticket charge as online.

³\$2,000 minimum purchase.

⁴Charges for load fund purchases are paid by the advisor.

⁵\$20 fee if processed by the Trade Desk/\$10 for employee accounts.

⁶\$35 fee if processed by the Trade Desk/\$15 for employee accounts.

⁷Funds purchased prior to their NTF effective date will still incur a ticket charge.

⁸Periodic investment plans (PIPs) and systematic withdrawal plans (SWPs) carry a \$100 minimum.

RATES AND MUTUAL FUNDS LIST SUBJECT TO CHANGE