COMMONWEALTH financial network®

October 27, 2016

JOHN SMITH
123 MAIN STREET
ANYTOWN, MA 01234

Advisor(s):
John Advisor
123-456-7890

Commonwealth Account Profile: New Account

We are sending you this letter in order to confirm the accuracy of the information we received for your new Commonwealth IRAB account

Please take a few moments to review this information carefully. If anything is incorrect, please contact us as soon as possible. You may fax your corrections to us at 866-316-8357 or mail your corrections to us: Commonwealth Financial Network, 29 Sawyer Road, Waltham, MA 02453. If all the information is correct, no further action is required on your part.

Please note: A change to any of the information listed below will result in a confirmation letter (i.e., Revised Account Profile) being sent to you. For your protection, if we change the account's address, we will mail the confirmation letter to BOTH old and new addresses.

Client Information

Account Owner:	John Smith	**Legal Address:**	123 Main Street Anytown, MA 01234
Phone 1:	555-712-6432		
Phone 2:	555-464-4284	**Mailing Address:**	123 Main Street Anytown, MA 01234
Occupation:	Mechanic		
Securities Industry Affiliation:	None		
Public Company Affiliate:	Minimum 10% Shareholder - IBM		
Citizenship:	United States		

Financial Information and Investment Knowledge

Annual Income:	$500K+	**Annual Expenses:**	$100K–$250K
Estimated Net Worth:	$1M–$5M	**Special Expenses:**	$0–50K
Investable/Liquid Assets:	$500K–$1M	**Special Expenses Time Frame:**	0–2 Years
Tax Rate:	26%–30%	**Investment Knowledge:**	Good

Total Value of Assets Held Away:	$100,000
Stocks:	20%
Bonds:	5%
Short Term:	5%
Mutual Funds:	10%
Options:	10%
Variable Contracts:	10%
Limited Partnerships:	10%
Alternative Investments:	10%
Annuities:	10%
Other:	10%

Investment Product Knowledge

Stocks:	Good
Bonds:	Extensive
Mutual Funds:	None
Variable Contracts:	Extensive
Limited Partnerships:	Good
Alternative Investments:	None
Annuities:	None

Account Details

Account Mailing Address: 123 Main Street
Anytown, MA 01234

Margin: Y

Investment Purpose: Save for Retirement

Original Depositor: Josephine Smith

Manager: PPS Select

Strategy: Passive Balanced Equity

Account Funding Source: Sale of Assets

Investment Objective*: Growth

Time Horizon: Long (over 10 years)

Discretion: John Advisor

Service Instructions

When I Sell Securities: Hold proceeds

When I Purchase Securities: Hold in street name

Dividends / Interest: Reinvest mutual fund divs

Breakpoint Fee Schedule

Min (>=)	Max (<)	Rate
$0	$200,000	1.60%
$200,000	And above	1.50%

PPS Select Program Fee

Account Value		Program Fee
First	**$250,000**	**0.25%**
Next	**$250,000**	**0.20%**
Next	**$500,000**	**0.15%**
Above	**$1,000,000**	**0.10%**

**Investment objectives and their definitions are provided on the back of this document.*