Rothman Investment Management

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MASSACHUSETTS TABLE OF FEES FOR SERVICES

Required by the Commonwealth of Massachusetts
Version Date: March 18, 2026

Important Information

The Commonwealth of Massachusetts requires investment advisers to provide clients with a summary of fees in a standardized format.

This document is a summary. Clients should carefully review Item 4 (Advisory Business) and Item 5 (Fees and Compensation) of **Rothman Investment Management, LLC ("RIM")** Form ADV Part 2A (Firm Brochure), along with their advisory agreement, for complete details regarding services, fees, billing practices, and client responsibilities.

Fees Charged by RIM

Type of Fee	Amount	Frequency	Description
Portfolio Management	$0 – $1,000,000 **1.00%** $1,000,001 – $5,000,000 **0.75%** Above $5,000,000 **0.50%** **Subject to $5,000 annual minimum**	Quarterly, in arrears	Ongoing discretionary and non-discretionary portfolio management, including investment strategy, asset allocation, and ongoing monitoring based on client goals, objectives, time horizon, and risk tolerance.
Financial Planning	Project-based or hourly (starting at $3,500; $400/hour)	As agreed	Comprehensive financial planning tailored to your situation. Fees vary based on scope and complexity.
Financial Coaching	$5,000 per year	Billed quarterly	Ongoing planning and coaching support without investment management services.
Consulting Services	$400/hour (Jacob Rothman) $250/hour (Larson Patty)	As agreed	Business or personal financial consulting services provided under a separate agreement.

Fees Charged by Third Parties

Clients are responsible for the payment of all third-party fees. These fees are separate from and in addition to the fees charged by RIM.

These may include:

- Custodian fees
- Brokerage commissions and transaction costs
- Mutual fund and ETF internal expenses
- Other investment-related costs

RIM does not receive compensation from these fees.