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Privacy Policy

In the normal course of our business we collect nonpublic personal information about clients (this term defined to be inclusive of prospective clients) from information received from the client on applications and other forms, and from information about client transactions with us. We restrict access to nonpublic personal information to employees. Employees are to treat this information as confidential.

We do not disclose any nonpublic personal information about our clients or former clients to anyone, except as permitted by law. As permitted by law, we may disclose personal information to companies that effect, administer, or enforce a transaction that is requested or authorized by the consumer.

We will provide clients with an initial and annual notice of our privacy policies ("Privacy Notice").

We have established safeguards to protect the security and confidentiality of client records and information.

We will NEVER sell our Clients' nonpublic personal information to any other person or entity. We will only share information when you have directed us to do so with a written authorization or by application to facilitate a financial service offered through our firm or where we may be required to provide information by law or regulation.

Here are some examples of when information may be provided according to the aforementioned:

- You may authorize us to share information with your personal accountant.
- You may desire to utilize insurance or investment services with an unaffiliated financial services firm (broker/dealer, custodian, trust department or insurance company).
- Whelan Financial Planning is regulated by the New York State Securities Division. We may be subject audits by New York State regulators to ensure compliance with their rules and regulations. We are required to open our books and records for review by these regulators.

Whelan Financial Planning restricts access to its records to only those persons who have a need to obtain information in order to deliver advisory or administrative services.

If you have any questions or concerns regarding our privacy policy and procedures, please contact Maureen A. Whelan, CFP® at 1-800-775-8564 or at maureen@mawhelanfinancial.com.