KEEN WEALTH ADVISORS
Perceptive. Personalized. Precise.

Keen Wealth Advisors Business Continuity Plan Statement

Industry regulations require that firms inform their clients of their plans to address the possibility of a business disruption that potentially results from key types of scenarios: loss of technology, loss of workplace, loss of personnel or other events. Keen Wealth Advisors has a comprehensive business continuity program in place, which is reviewed, updated, and tested on a regular basis. The program is intended to maintain business operations such as processing client transactions and providing access to information about balances and transactions in client accounts.

Examples of how Keen Wealth Advisors' plan addresses disruptions of varying scope and magnitude include:

- In the event of a slight disruption, for example our phone service, we may require rerouting calls internally or possible redirection to the applicable custodian.

- In the event of a medium disruption, for example a public health crisis that results in a high rate of employee absenteeism, Keen Wealth Advisors' plan focuses available personnel on critical business functions that directly support client needs and financial markets. Additionally, we may enact our conditional work from home and/or workforce continuity plan, which includes social distancing and other policies to limit exposure.

- In the event of a significant disruption in our primary office with loss of workplace, technology, and personnel depending on the situation this may result in working from home, setting up a disaster recovery office site, and engaging our full data recovery.

While no contingency plan can eliminate all risk of service interruption or temporarily impeded account access, we continually assess and update our plans to mitigate all reasonable risk. Any modifications to our plan will be reflected in this Business Continuity Plan Statement, which will be posted on our website, or you may obtain a current version of this Statement by writing us at Keen Wealth Advisors, c/o Compliance Department, 7500 College Blvd., Suite 1000, Overland Park, KS 66210.