

Firm Brochure Supplement

Part 2B of Form ADV

October 1, 2023

FSB Premier Wealth Management, Inc.

**131 Tower Park Drive,
Suite 115**

Waterloo, IA 50701

**Phone:
800-747-9999**

**Fax:
319-291-8626**

**Website:
www.fsbfs.com**

This brochure supplement provides information about adviser representatives of FSB Premier Wealth Management, Inc. and is a supplement to the FSB Premier brochure. You should have received a copy of that brochure.

Please contact us at 800-747-9999, or by email at corporate@fsbfs.com if you did not receive a copy of our brochure or if have any questions about the contents of this supplement or the brochure.

Additional information about FSB Premier Wealth Management, Inc. is available on the SEC's website at www.adviserinfo.sec.gov.

Rodney L. Duroe

FSB Premier Wealth Management, Inc. Current as of: 10/1/2023

Business Address: 131 Tower Park Drive Suite 115, Waterloo, IA 50701 ~ Phone: 800-747-9999

Educational Background & Business Experience

Rodney L. Duroe, Year of Birth 1969

Graduate School of Banking at Madison, WI, 2009

Wartburg College, 1988 to 1992, Major(s) Economics

2014 – Present, FSB Premier Wealth Management, Inc., CFO, FinOp, Director, Registered Representative and Investment Adviser Representative

2009 – 2014, FSB Warner Financial, Inc., Chairman/CFO, FinOp, Registered Rep

1993 – Present, Farmers State Bank, CEO/President

Disciplinary Information

Rodney L. Duroe has had no legal or disciplinary events material to a client's evaluation for investment advisory purposes.

Other Business Activities

FSB Financial Services

Investment-related: No *Address:* 131 Tower Park Drive, Ste. 10*-0, Waterloo, IA 50701

Nature: Holding Company of Farmers State Bank and FSB Premier. *Position/title:* Director

Since: 2000 *Approximate hours/month:* 2 *Hours during securities business:* 0

Duties: General oversight

Farmers State Bank

Nature: Bank *Position/title:* Director/CEO/President. *Since:* 2010 *Approximate hours/month:* 150

Hours during securities business: 150 *Duties:* General oversight

Cedar Valley Venture Fund

Investment-related: No *Position/title:* President *Approximate hours/month:* 4

Hours during securities business: 0 *Duties:* general oversight

Duroe Family Foundation

Investment-related: No *Position/title:* VP/Director *Start date:* 1/1/2000 *Approximate hours/month:* 1

Hours during securities business: 1 *Duties:* general oversight

Additional Compensation

Rodney L. Duroe does not receive economic benefits from any person or entity other than FSB Premier Wealth Management, Inc. in connection with providing investment advice to clients.

Supervision

Rodney L. Duroe is supervised by Ryan J. Risetter. Ryan J. Risetter is a Firm Principal of the Waterloo, IA office. The Waterloo office is located at 131 Tower Park Drive Suite 115, Waterloo, IA 50701. The telephone number for the Waterloo office is 800-747-9999.

Additional information about this advisor is available on the SEC's website at: www.adviserinfo.sec.gov.

Ryan J. Risetter

FSB Premier Wealth Management, Inc. Current as of: 10/1/2023

Business Address: 131 Tower Park Drive Suite 115, Waterloo, IA 50701 ~ Phone: 800-747-9999

Educational Background & Business Experience

Ryan J. Risetter, Year of Birth 1977

University of Wisconsin-Madison, 2007 to 2009, Graduate School of Banking

University of Northern Iowa, 2005 to 2007, Master of Business Administration

Mount Mercy College, 2000 to 2004, Major(s) Marketing, Minor Finance.

2013 – Present, FSB Premier Wealth Management, Inc., CEO/President, Director, Registered Representative and Investment Adviser Representative

2004 – Present, Farmers State Bank, Executive Vice President, Commercial Lender

Disciplinary Information

Ryan J. Risetter has had no legal or disciplinary events material to a client's evaluation for investment advisory purposes.

Other Business Activities

Farmers State Bank

Investment-related: No *Address:* 131 Tower Park Drive Ste. 100, Waterloo, IA 50701

Nature: Banking *Position/title:* Executive Vice-President/Commercial Loan Officer

Start date: 01/2004 *Approximate hours/month:* 200 *Hours during securities business:* 140

Duties: General Oversight, Commercial Lending

Make Money Holdings, LLC

Investment-related: No *Address:* 3151 Brockway Road, Waterloo, IA 50701

Nature: For-Profit Investment Club *Position/title:* Member *Start date:* 02/18/2008

Approximate hours/month: 3 *Hours during securities business:* 0.5

Duties: Lead monthly meetings, handle banking transactions, and execute trades via Schwab.com

Additional Compensation

Ryan J. Risetter does not receive economic benefits from any person or entity other than FSB Premier Wealth Management, Inc. in connection with providing investment advice to clients.

Supervision

Ryan J. Risetter is supervised by Jacob K Myrvik. Jacob K Myrvik is a Firm Principal of the Waterloo, IA office. The Waterloo office is located at 131 Tower Park Drive Suite 115, Waterloo, IA 50701. The telephone number for the Waterloo office is 800-747-9999.

Additional information about this advisor is available on the SEC's website at www.adviserinfo.sec.gov.

Alexander J. Vlach, CFP®

FSB Premier Wealth Management, Inc. Current as of: 10/1/2023

Business Address: 9250 University Avenue, Suite 117, West Des Moines, IA 50266 ~ Phone: 800-747-9999

Educational Background & Business Experience

Alexander J. Vlach, Year of Birth 1994

CERTIFIED FINANCIAL PLANNER™ Professional

University of Northern Iowa, 2012-2016, Finance and Real Estate

2015 – Present, FSB Premier Wealth Management, Inc., Registered Representative and Investment Adviser Representative

2018 – Present, Farmers State Bank, Mortgage Loan Officer

2014 – 2015, Farmers State Bank, Customer Service Representative

Professional Designation(s):

Certified Financial Planner (CFP®) is a professional certification granted in the United States by the Certified Financial Planner Board of Standards, Inc. ("CFP® Board").

To attain the right to use the CFP® mark, an individual must satisfactorily fulfill the following requirements:

Education: Complete an advanced college-level course of study addressing the financial planning subject areas that CFP® Board's studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a bachelor's degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP® Board's financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning; **Examination:** Pass the comprehensive CFP® Certification Examination. The examination, administered in 10 hours over a two-day period, includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real world circumstances; **Experience:** Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and **Ethics:** Agree to be bound by CFP® Board's Standards of Professional Conduct, a set of documents outlining the ethical and practice standards for CFP® professionals. **Continuing Education:** Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks: Complete 30 hours of continuing education hours every two years, including two hours on the Code of Ethics and other parts of the Standards of Professional Conduct, to maintain competence and keep up with developments in the financial planning field; and, **Ethics:** Renew an agreement to be bound by the Standards of Professional Conduct. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients. CFP® professionals who fail to comply with the above standards and requirements may be subject to the CFP® Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

Disciplinary Information

Alexander J Vlach has had no legal or disciplinary events material to a client's evaluation for investment advisory purposes.

Other Business Activities**Farmers State Bank**

Investment-related: No *Address:* 131 Tower Park Drive Ste. 100, Waterloo, IA 50701

Nature: Banking *Position/title:* Mortgage Loan Officer *Start date:* 07/2018 *Approximate hours/month:* 20

Hours during securities business: 20 *Duties:* work with mortgage clients attempting to purchase property

Additional Compensation

Alexander J. Vlach does not receive economic benefits from any person or entity other than FSB Premier Wealth Management, Inc. in connection with providing investment advice to clients.

Supervision

Alexander J. Vlach is supervised by Ryan J. Risetter. Ryan J. Risetter is a Firm Principal of the Waterloo, IA office. The Waterloo office is located at 131 Tower Park Drive Suite 115, Waterloo, IA 50701. The telephone number for the Waterloo office is 800-747-9999.

Additional information about this advisor is available on the SEC's website at www.adviserinfo.sec.gov.

Tiffany J. Coffin, CFP®

FSB Premier Wealth Management, Inc. Current as of: 10/1/2023

Business Address: 131 Tower Park Drive Suite 115, Waterloo, IA 50701 ~ Phone: 800-747-9999

Educational Background & Business Experience

Tiffany J. Coffin, Year of Birth 1990

CERTIFIED FINANCIAL PLANNER™ Professional

University of Iowa, 2008 to 2012, Interdepartmental Studies

2016 – Present, FSB Premier Wealth Management, Inc., Registered Representative and Investment Adviser Representative

2014-2015 Princor Financial Services Corporation, Registered Representative

2014-2015 Principal Life Insurance Company, Ind. Investor Specialist I

2012-2014 VOLT, Material View Extender

Professional Designation(s):

Certified Financial Planner (CFP®) is a professional certification granted in the United States by the Certified Financial Planner Board of Standards, Inc. ("CFP® Board").

To attain the right to use the CFP® mark, an individual must satisfactorily fulfill the following requirements:

Education: Complete an advanced college-level course of study addressing the financial planning subject areas that CFP® Board's studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a bachelor's degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP® Board's financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning; **Examination:** Pass the comprehensive CFP® Certification Examination. The examination, administered in 10 hours over a two-day period, includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real world circumstances; **Experience:** Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and **Ethics:** Agree to be bound by CFP® Board's Standards of Professional Conduct, a set of documents outlining the ethical and practice standards for CFP® professionals. **Continuing Education:** Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks: Complete 30 hours of continuing education hours every two years, including two hours on the Code of Ethics and other parts of the Standards of Professional Conduct, to maintain competence and keep up with developments in the financial planning field; and, **Ethics:** Renew an agreement to be bound by the Standards of Professional Conduct. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients. CFP® professionals who fail to comply with the above standards and requirements may be subject to the CFP® Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

Disciplinary Information

Tiffany J. Coffin has had no legal or disciplinary events material to a client's evaluation for investment advisory purposes.

Other Business Activities**Farmers State Bank**

Investment-related: No *Address:* 131 Tower Park Drive, Suite 100, Waterloo, IA 50701

Nature: Banking/Finance *Position/Title:* Private Banker *Start date:* 06/2019

Approximate hours/month: 40 *Hours during securities business:* 40 *Duties:* private banking

Additional Compensation

Tiffany J. Coffin does not receive economic benefits from any person or entity other than FSB Premier Wealth Management, Inc. in connection with providing investment advice to clients.

Supervision

Tiffany J. Coffin is supervised by Ryan J. Risetter. Ryan J. Risetter is a Firm Principal of the Waterloo, IA office. The Waterloo office is located at 131 Tower Park Drive Suite 115, Waterloo, IA 50701. The telephone number for the Waterloo office is 800-747-9999.

Additional information about this advisor is available on the SEC's website at www.adviserinfo.sec.gov.

Jacob K. Myrvik

FSB Premier Wealth Management, Inc. Current as of: 10/1/2023

Business Address: 131 Tower Park Drive Suite 115, Waterloo, IA 50701 ~ Phone: 800-747-9999

Educational Background & Business Experience

Jacob K. Myrvik, Year of Birth 1992

University of Northern Iowa, 2011 to 2015, Business Economics

2017 – Present, FSB Premier Wealth Management, Inc., Registered Representative and Investment Adviser Representative

2017, Farmers State Bank, Customer Service Representative

2015-2017, Next Generation Wireless, Retail Wireless Consultant

2013-2015, UNI Maucker Union, Building Manager

2011-2015, University of Northern Iowa, Student

2012-2013, Thompson Cleaning, Custodian

2012, Hanson Construction, General Laborer

2009-2011, Dewey's Jack and Jill, Cashier

Disciplinary Information

Jacob K. Myrvik has had no legal or disciplinary events material to a client's evaluation for investment advisory purposes.

Other Business Activities

Farmers State Bank

Investment-related: No *Address:* 131 Tower Park Drive, Suite 100, Waterloo, IA 50701

Nature: Banking/Finance *Position/Title:* Private Banker *Start date:* 06/2019

Approximate hours/month: 40 *Hours during securities business:* 40 *Duties:* private banking

Additional Compensation

Jacob K. Myrvik does not receive economic benefits from any person or entity other than FSB Premier Wealth Management, Inc. in connection with providing investment advice to clients.

Supervision

Jacob K. Myrvik is supervised by Ryan J. Risetter. Ryan J. Risetter is a Firm Principal of the Waterloo, IA office. The Waterloo office is located at 131 Tower Park Drive Suite 115, Waterloo, IA 50701. The telephone number for the Waterloo office is 800-747-9999.

Additional information about this advisor is available on the SEC's website at www.adviserinfo.sec.gov.

Scott A. Waechter

FSB Premier Wealth Management, Inc. Current as of: 10/1/2023

Business Address: 131 Tower Park Drive Suite 115, Waterloo, IA 50701 ~ Phone: 800-747-9999

Educational Background & Business Experience

Scott A. Waechter, Year of Birth 1982

Hawkeye Community College, 2008-2009, Associates in Arts Degree

University of Northern Iowa, 2009-2010, TESOL Program

2020 – Present, FSB Premier Wealth Management, Inc., Registered Representative and Investment Adviser Representative

2020-2022, Farmers State Bank, Private Banker

2017-2020 Bankers Life Advisory Services, Inc., Registered Adviser

2016-2020 – Bankers Life Securities, Inc., Financial Advisor

2013-2020 – Bankers Life & Casualty Co., Agent

2012-2013 – Aldi Grocery Store, Shift Manager

2012 – E360 Building Company, Laborer

2011-12 – Bossard N.A., Data Entry

2011 – Peters Construction, Laborer

2009-2011 – Self-Employed, Painter

Disciplinary Information

Scott A. Waechter has had no legal or disciplinary events material to a client's evaluation for investment advisory purposes.

Other Business Activities

Scott A. Waechter has no other business activities.

Additional Compensation

Scott A. Waechter does not receive economic benefits from any person or entity other than FSB Premier Wealth Management, Inc. in connection with providing investment advice to clients.

Supervision

Scott A. Waechter is supervised by Ryan J. Risetter. Ryan J. Risetter is a Firm Principal of the Waterloo, IA office. The Waterloo office is located at 131 Tower Park Drive Suite 115, Waterloo, IA 50701. The telephone number for the Waterloo office is 800-747-9999.

Additional information about this advisor is available on the SEC's website at: www.adviserinfo.sec.gov.

Robert C. Hansen

FSB Premier Wealth Management, Inc. Current as of: 10/1/2023

Business Address: 131 Tower Park Drive Suite 115, Waterloo, IA 50701 ~ Phone: 800-747-9999

Educational Background & Business Experience

Robert C. Hansen, Year of Birth 1978

Coursework completed at Hawkeye Community College, 1998-2000. No degree or program completed.

2021 – Present, FSB Premier Wealth Management, Inc., Registered Representative and Investment Adviser Representative

2021 - Present, Farmers State Bank, Private Banker

2016-2020 – Bankers Life Securities, Inc., Financial Advisor

2014 – 2016 – ProEquities, INC. Financial advisor

2012 – 2013 – Bankers Life & Casualty Co. Unit Field Trainer

2008 - 2020 – Bankers Life & Casualty Co., Agent

Disciplinary Information

Robert C. Hansen has had no legal or disciplinary events material to a client's evaluation for investment advisory purposes.

Other Business Activities

Farmers State Bank

Investment-related: No *Address:* 131 Tower Park Drive, Suite 100, Waterloo, IA 50701 *Nature:*

Banking/Finance Position/Title: Private Banker *Start date:* 02/22/2021

Approximate hours/month: 40 *Hours during securities business:* 40 *Duties:* private banking

Additional Compensation

Robert C. Hansen does not receive economic benefits from any person or entity other than FSB Premier Wealth Management, Inc. in connection with providing investment advice to clients.

Supervision

Robert C. Hansen is supervised by Ryan J. Risetter. Ryan J. Risetter is a Firm Principal of the Waterloo, IA office. The Waterloo office is located at 131 Tower Park Drive Suite 115, Waterloo, IA 50701. The telephone number for the Waterloo office is 800-747-9999.

Additional information about this advisor is available on the SEC's website at: www.adviserinfo.sec.gov.

Jared C. Kremer, CPA

FSB Premier Wealth Management, Inc. Current as of: 10/1/2023
Business Address: 110 S Vine Street, West Union, IA 52175 ~ Phone: 800-747-9999

Educational Background & Business Experience

Jared C. Kremer, Year of Birth 1975

Iowa Wesleyan College – Bachelor of Arts Accounting

University of Northern Iowa – Master of Business Administration

05/06/2019 – Present - Kremer Kehe, Inc. and Kremer Kehe Properties LLC – member (50% owner)

April 2021 – Present - FSB Premier Wealth Management, Inc., – Registered Representative and Investment Adviser Representative

09/01/2019 – April 1, 2021 - Avantax Wealth Management (formerly HD Vest)

11/01/2012 – 09/01/2019 - HD Vest Advisory Services - Advisory Representative

06/01/2009 – 09/01/2019 - HD Vest Investment Services – Registered Rep

09/01/2005 – 07/01/2010 - Courier Communications – Asst Controller

12/01/2003 – 05/01/2005 - Clifton Gunderson – Tax Manager

06/01/1998 – 11/30/2003 - TD&T Financial Group – Senior Accountant

Professional Designations

Certified Public Accountant (“CPA”) - CPAs are licensed and regulated by their state boards of accountancy. **Note: Jared Kremer does not provide accounting or tax-related guidance in his capacity as a representative of FSB Premier Wealth Management, Inc.** He provides such services only under his outside business activity, Kremer Kehe, Inc. (see below).

While state laws and regulations vary, the education, experience and testing requirements for licensure as a CPA generally include minimum college education (typically 150 credit hours with at least a baccalaureate degree and a concentration in accounting), minimum experience levels (most states require at least one year of experience providing services that involve the use of accounting, attest, compilation, management advisory, financial advisory, tax or consulting skills, all of which must be achieved under the supervision of or verification by a CPA), and successful passage of the Uniform CPA Examination. In order to maintain a CPA license, states generally require the completion of 40 hours of continuing professional education (CPE) each year (or 80 hours over a two-year period or 120 hours over a three-year period). Additionally, all American Institute of Certified Public Accountants (AICPA) members are required to follow a rigorous Code of Professional Conduct which requires that they act with integrity, objectivity, due care, competence, fully disclose any conflicts of interest (and obtain client consent if a conflict exists), maintain client confidentiality, disclose to the client any commission or referral fees, and serve the public interest when providing financial services. The vast majority of state boards of accountancy have adopted the AICPA’s Code of Professional Conduct within their state accountancy laws or have created their own.

Disciplinary Information

Jared Kremer has had no legal or disciplinary events material to a client’s evaluation for investment advisory purposes.

Other Business Activities

Kremer Kehe, Inc. - Partner, Public Accounting firm

Kremer Kehe Properties, LLC – real estate management

Additional Compensation

Jared C. Kremer does not receive economic benefits from any person or entity other than FSB Premier Wealth Management, Inc. in connection with providing investment advice to clients.

Supervision

Jared C. Kremer is supervised by Ryan J. Risetter. Ryan J. Risetter is a Firm Principal of the Waterloo, IA office. The Waterloo office is located at 131 Tower Park Drive Suite 115, Waterloo, IA 50701. The telephone number for the Waterloo office is 800-747-9999.

Additional information about this advisor is available on the SEC's website at: www.adviserinfo.sec.gov.

Abigail K. Sears

FSB Premier Wealth Management, Inc. Current as of: 10/1/2023

Business Address: 131 Tower Park Drive Suite 115, Waterloo, IA 50701 ~ Phone: 800-747-9999

Educational Background & Business Experience

Abigail K. Sears, Year of Birth 1984

Upper Iowa University, 2002 to 2006, Business Finance

Upper Iowa University, 2008 to 2010, MBA

2022 – Present, FSB Premier Wealth Management, Inc., Registered Representative and Investment Adviser Representative

2014, Farmers State Bank, Branch Manager, Treasury Management Officer, Private Banking Manager

2013-2014, Regions Financial Corp, Branch Manager and Community Banker

2006-2013, Chase Bank, N.A., Personal Banker, Assistant Manager, Branch Manager, Commercial Client Service, and Commercial Sales Associate

Disciplinary Information

Abigail K. Sears has had no legal or disciplinary events material to a client's evaluation for investment advisory purposes.

Other Business Activities

Farmers State Bank

Investment-related: No Address: 131 Tower Park Drive, Suite 100, Waterloo, IA 50701

Nature: Banking/Finance *Position/Title:* Treasurer Management Officer, Assistant Private Banking Manager, Private Banking Manager *Start date:* 05/2014

Approximate hours/month: 40 Hours during securities business: 40 *Duties:* private banking

Additional Compensation

Abigail K. Sears does not receive economic benefits from any person or entity other than FSB Premier Wealth Management, Inc. in connection with providing investment advice to clients.

Supervision

Abigail K. Sears is supervised by Jacob K. Myrvik. Jacob K. Myrvik is a Firm Principal of the Waterloo, IA office. The Waterloo office is located at 131 Tower Park Drive Suite 115, Waterloo, IA 50701. The telephone number for the Waterloo office is 800-747-9999.

Additional information about this advisor is available on the SEC's website at www.adviserinfo.sec.gov.

Nolan T. Tomlinson

FSB Premier Wealth Management, Inc. Current as of: 10/1/2023

Business Address: 131 Tower Park Drive Suite 115, Waterloo, IA 50701 ~ Phone: 800-747-9999

Educational Background & Business Experience

Nolan T. Tomlinson, Year of Birth 1993

University of Northern Iowa 2018-2020

2021 – Present, FSB Premier Wealth Management, Inc., Registered Representative and Investment Adviser Representative

2021, Farmers State Bank, Private Banker

2018-2020, 2021, Carolan Builders, Carpenter/Laborer

2020-2021, AgVantage FS, Truck Driver

2018-2020, University of Northern Iowa, Student

2017-2018, Peterson Contractors, Inc., Heavy Equipment Operator

2015-2017, Target Distribution Center, Warehouse Laborer

2011-2015 – US Marine Corps, Corporal of Marines

Disciplinary Information

Nolan T. Tomlinson has had no legal or disciplinary events material to a client's evaluation for investment advisory purposes.

Other Business Activities

Farmers State Bank

Investment-related: No *Address:* 131 Tower Park Drive, Suite 100, Waterloo, IA 50701

Nature: Banking/Finance *Position/Title:* Private Banker *Start date:* 03/2021

Approximate hours/month: 40 *Hours during securities business:* 40 *Duties:* private banking

Additional Compensation

Nolan T. Tomlinson does not receive economic benefits from any person or entity other than FSB Premier Wealth Management, Inc. in connection with providing investment advice to clients.

Supervision

Nolan T. Tomlinson is supervised by Jacob K. Myrvik. Jacob K. Myrvik is a Firm Principal of the Waterloo, IA office. The Waterloo office is located at 131 Tower Park Drive Suite 115, Waterloo, IA 50701. The telephone number for the Waterloo office is 800-747-9999.

Additional information about this advisor is available on the SEC's website at www.adviserinfo.sec.gov.

Stephanie M Daniels APMA™

FSB Premier Wealth Management, Inc. Current as of: 10/1/2023

Business Address: 131 Tower Park Drive Suite 115, Waterloo, IA 50701 ~ Phone: 800-747-9999

Educational Background & Business Experience

Stephanie M. Daniels, Year of Birth 1984

Accredited Portfolio Management AdvisorSM - APMA[®]

University of Northern Iowa, 2003 to 2008, Anthropology

2023 – Present, FSB Premier Wealth Management, Inc., Private Banker, Financial Advisor

2016 – 2023, Ameriprise Financial, Financial Advisor

2013-2016 Ocwen Loan Servicing, Relationship Manager

2012-2013- Rydell Chevrolet, Sales

2012-2013, HGS, Customer Service

2010-2012- Central Arizona Council on Developmental Disabilities. Caregiver

Professional Designation(s):

The Accredited Portfolio Management AdvisorSM, or APMATM, is a designation program for financial professionals. The program educates advisors on the finer points of portfolio creation, augmentation, and maintenance. Students will gain hands-on practice in analyzing investment policy statements, building portfolios, and making asset allocation decisions.

To earn the APMATM credential, candidates must first take an educational course and pass an online assessment. **Education:** The educational component comprises a two-part course. The topics include the following: Investment risk and return analysis, features of fixed-income and debt, other pooled investments, mutual funds, real assets investing, investments taxation and regulatory environment, structured products and derivatives, performance evaluation of equities and modern portfolio, debt and fixed-income valuation and analysis, investment strategies, retirement needs analysis, and ethical considerations, and behavioral finance, client suitability, and constructing portfolios. Students must pass a test composed of 30 questions right after completing each of the 11 modules before proceeding to the next module. **Examination:** The final exam consists of 90 questions, and students are given three hours to take it. At least 70% of correct answers students should have to get for them to graduate.

Continuing Education: To maintain authorized use of the credential, designees are required to complete 16 continuing education credits* every two years with any CE provider. Ongoing CE maintains the credibility of our credentials, ensuring designees are knowledgeable on current industry trends in the financial market. The Standards of Professional Conduct establishes minimum standards of acceptable professional conduct for persons authorized to use one of the professional designations. The self-disclosure component requires designees to disclose any criminal, civil, self-regulatory organization, or governmental agency inquiry, investigation, or proceeding related to their professional or business conduct. Initial conferment and renewed authorization to use the designation is contingent upon the College for Financial Planning—A Kaplan Company's review of matters that are either self-disclosed or discovered by the College and required to be disclosed.

Disciplinary Information

Stephanie M. Daniels has had no legal or disciplinary events material to a client's evaluation for investment advisory purposes.

Other Business Activities**Farmers State Bank**

Investment-related: No Address: 131 Tower Park Drive Ste. 100, Waterloo, IA 50701

Nature: Banking/Finance

Position/Title: Private Banker *Start date:* 09/2023

Approximate hours/month: 40 Hours during securities business: 40 Duties: private banking

Additional Compensation

Stephanie M. Daniels does not receive economic benefits from any person or entity other than FSB Premier Wealth Management, Inc. in connection with providing investment advice to clients.

Supervision

Stephanie M. Daniels is supervised by Ryan J. Risetter. Ryan J. Risetter is a Firm Principal of the Waterloo, IA office. The Waterloo office is located at 131 Tower Park Drive Suite 115, Waterloo, IA 50701. The telephone number for the Waterloo office is 800-747-9999

Additional information about this advisor is available on the SEC's website at www.adviserinfo.sec.gov