



OUTSIDE BUSINESS ACTIVITY DISCLOSURE

FINANCIAL REPRESENTATIVE NAME: _____ **REP #:** _____

I am aware of the requirements that prior to engaging in any outside activity (not already disclosed and approved) for which I am being compensated in any manner other than a passive investment I must submit permission in writing and not engage in such activity until I have received written approval. **I am requesting at this time that I be given permission to engage in the following outside business activity:**

DESCRIBE PROPOSED OUTSIDE BUSINESS ACTIVITY

Name of Business: _____

Address: _____ URL (if applicable): _____

Nature of Business: _____

Type of Entity: _____

Your Position: _____

Description of Duties: _____

Anticipated Start Date: _____ Duration: Temporary On-going

- YES NO Are there any potential conflicts of interest your involvement in this activity may pose to your affiliation with BCAG?
- YES NO Will you offer any services to clients of BCAG?
If yes, please describe services:
- YES NO Do you invest your own money into this business?
- YES NO Do you raise capital or solicit investors to fund this business?
- YES NO Do you loan money to this business?
- YES NO Is this business a client of BCAG?
- YES NO Are any of the business' employees, co-owners, or partners registered with a FINRA member broker dealer? *If yes, please attach list of RR' and the Broker Dealer(s) affiliated with.

ANTICIPATED TIME COMMITMENT

Number of hours anticipated to be spent, on average, per week: _____

- YES NO Will the proposed activity interfere or otherwise compromise your abilities as a RR of BCAG or compromise your responsibilities to clients of BCAG?

COMPENSATION ARRANGEMENT FOR PROPOSED BUSINESS ACTIVITY

How are you compensated for this outside activity? _____

- YES NO Is the approximate percentage of annual compensation in excess of 10 percent?