

**Part 2B of Form ADV: Brochure Supplement**

*Last Updated 04/01/2024*

You should have received a copy of the Obermeyer Wood Investment Counsel, LLLP, Brochure. Please contact [info@obermeyerwood.com](mailto:info@obermeyerwood.com) or (970) 925-8747 if you did not receive this Brochure or if you have any questions about the contents of this supplement. Additional information is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Walter (Wally) Raymond Obermeyer  
Obermeyer Wood Investment Counsel, LLLP**

Obermeyer Place  
501 Rio Grande Place, Suite 107  
Aspen, Colorado 81611  
Telephone: (970) 925-8747

This Brochure Supplement provides information about Wally Obermeyer that supplements the Obermeyer Wood Investment Counsel, LLLP, Brochure.

**Item 2 - Educational Background and Business Experience**

Born: 1956

**Educational Background**

Harvard Business School, Cambridge, Mass., MBA, 1986  
Harvard College, Cambridge, Mass., BA, Economics, 1984

**Business Experience**

- Obermeyer Wood Investment Counsel, LLLP, Chairman and Chief Investment Officer, October 2014–Present
- Obermeyer Asset Management Company, Aspen, Colo., Investment Adviser, President, and Director, 1997–2014
- Sport Obermeyer, Ltd., Ski Wear Manufacturer, Aspen, Colo., Vice President, 1978 to Present, Vice Chairman, 2001–Present
- Ptarmigan Resources & Energy, Inc., Hydroelectric Power Production, Aspen, Colo., President, 1984–Present
- Heritage Trust & Asset Management, Aspen, Colo., Regional Vice President, 1994–1998

**Item 3 - Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

**Item 4 - Other Business Activities**

Registered investment advisers are required to disclose all material facts regarding engagements in any other investment-related business or occupation material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

**Item 5 - Additional Compensation**

Registered investment advisers are required to disclose all material facts regarding non-client compensation. No information is applicable to this Item.

**Item 6 - Supervision**

Advice provided to clients by investment committee members, including Wally Obermeyer, is monitored through required meeting notes that are entered in the client database and also archived for later retrieval. Email communication is regularly monitored. Ali Phillips is responsible for supervising Wally's advisory activities on behalf of Obermeyer Wood Investment Counsel, LLLP. Ali's telephone number is (970) 925-8747.

**Alexandra (Ali) Flynn Phillips**  
**Obermeyer Wood Investment Counsel, LLLP**  
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501 Rio Grande Place, Suite 107  
Aspen, Colorado 81611  
Telephone: (970) 925-8747

This Brochure Supplement provides information about Ali Phillips that supplements the Obermeyer Wood Investment Counsel, LLLP, Brochure.

## **Item 2 - Educational Background and Business Experience**

Born: 1971

### **Educational Background**

Middlebury College, Middlebury, Vt., BA, Economics, 1993

### **Business Experience**

- Obermeyer Wood Investment Counsel, LLLP, President, 2014–Present
- Obermeyer Asset Management Company, Aspen, Colo., Investment Adviser, Senior Vice President, 2012–2014, Vice President, 2008–2012, Director of Business Development, 2005–2008
- Goldman Sachs & Co., Investment Banking, Vice President – Derivatives Structuring and Marketing, 2002–2004
- Goldman Sachs & Co., Investment Banking, Vice President – FICC Management, 2000–2002
- Goldman Sachs & Co., Investment Banking, Vice President – Debt Capital Markets, 1998–2000
- Salomon Brothers, Inc., Investment Banking, Associate – Global Debt Capital Markets, 1995–1998
- Salomon Brothers, Inc., Investment Banking, Financial Analyst – Investment Banking Division, 1993–1995

## **Item 3 - Disciplinary Information**

No information is applicable to this Item.

## **Item 4 - Other Business Activities**

No information is applicable to this Item.

## **Item 5 - Additional Compensation**

No information is applicable to this Item.

## **Item 6 - Supervision**

Advice provided to clients by investment committee members, including Ali Phillips, is monitored through required meeting notes that are entered in the client database and also archived for later retrieval. Email communication is regularly monitored. Wally Obermeyer, Chairman and Chief Investment Officer, is responsible for supervising Ali's advisory activities on behalf of Obermeyer Wood Investment Counsel, LLLP. Wally's telephone number is (970) 925-8747.

**Roger David Hennefeld, CFA**  
**Obermeyer Wood Investment Counsel, LLLP**  
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501 Rio Grande Place, Suite 107  
Aspen, Colorado 81611  
Telephone: (970) 925-8747

This Brochure Supplement provides information about Roger Hennefeld that supplements the Obermeyer Wood Investment Counsel, LLLP, Brochure.

## **Item 2 - Educational Background and Business Experience**

Born: 1962

### **Educational Background**

Chartered Financial Analyst, 2000

University of Colorado, Boulder, Colo., MBA, Finance, 1994

University of Delaware, Newark, Del., BS, Math, 1984

### **Business Experience**

- Obermeyer Wood Investment Counsel, LLLP, Vice President, 2014–Present
- Obermeyer Asset Management Company, Aspen, Colo., Investment Adviser, Vice President, 2008–2014, Director of Fixed Income, 1998–2008
- Columbia Partners, LLC, Washington, D.C., Investment Management, Investment Adviser, Analyst, 1995–1998
- ASB Capital Management, Washington, D.C., Investment Adviser, Analyst, 1994–1995
- Merrill Lynch, New York City, N.Y., Analyst, 1984–1989

## **Item 3 - Disciplinary Information**

No information is applicable to this Item.

## **Item 4 - Other Business Activities**

No information is applicable to this Item.

## **Item 5 - Additional Compensation**

No information is applicable to this Item.

## **Item 6 - Supervision**

Advice provided to clients by investment committee members, including Roger Hennefeld, is monitored through required meeting notes that are entered in the client database and also archived for later retrieval. Email communication is regularly monitored. Ali Phillips, President, is responsible for supervising Roger's advisory activities on behalf of Obermeyer Wood Investment Counsel, LLLP. Ali's telephone number is (970) 925-8747.

**Bret Hirsh**  
**Obermeyer Wood Investment Counsel, LLLP**  
Obermeyer Place  
501 Rio Grande Place, Suite 107  
Aspen, Colorado 81611  
Telephone: (970) 925-8747

This Brochure Supplement provides information about Bret Hirsh that supplements the Obermeyer Wood Investment Counsel, LLLP, Brochure.

**Item 2 - Educational Background and Business Experience**

Born: 1981

**Educational Background**

University of Pennsylvania, Philadelphia, PA, BS, Philosophy, Political Science and Economics, 2004

**Business Experience**

- Obermeyer Wood Investment Counsel, LLLP, Vice President, 2018–Present
- One Tusk Investment Partners LP, Senior Investment Research Analyst, 2016–2018
- Crestwood Capital Management, L.P., Managing Director, 2013–2016
- Scout Capital Management, L.L.C., Senior Analyst, 2010–2013
- Karsch Capital Management, LP, Managing Director, 2007–2010

**Item 3 - Disciplinary Information**

No information is applicable to this Item.

**Item 4 - Other Business Activities**

Bret Hirsh invests in and develops real estate from which he receives additional income.

**Item 5 - Additional Compensation**

No information is applicable to this Item.

**Item 6 - Supervision**

Advice provided to clients by investment committee members, including Bret Hirsh, is monitored through required meeting notes that are entered in the client database and also archived for later retrieval. Email communication is regularly monitored. Ali Phillips, President, is responsible for supervising Bret's advisory activities on behalf of Obermeyer Wood Investment Counsel, LLLP. Ali's telephone number is (970) 925-8747.

**Dana Gleason Nightingale, CFA, CFP®**  
**Obermeyer Wood Investment Counsel, LLLP**  
200 Columbine Street, Suite 600  
Denver, Colorado 80206  
Telephone: (303) 321-8188

This Brochure Supplement provides information about Dana Gleason Nightingale that supplements the Obermeyer Wood Investment Counsel, LLLP, Brochure.

**Item 2 - Educational Background and Business Experience**

Born: 1983

**Educational Background**

Certified Financial Planner™, 2021

Chartered Financial Analyst, 2012

The Wharton School, University of Pennsylvania, Philadelphia, Penn., MBA, Finance, 2012

University of Notre Dame, Notre Dame, Ind., BBA, Finance/Political Science, 2005

**Business Experience**

- Obermeyer Wood Investment Counsel, LLLP, Senior Vice President, 2017—Present, Senior Analyst, 2014–2016
- Obermeyer Asset Management Company, Denver, Colo., Analyst, Investments and Client Advisory, 2012–2014
- Intelsat, Washington, D.C., Senior Analyst, Capital Markets, 2008–2010
- Washington Real Estate Investment Trust, Rockville, Md., Senior Analyst, Capital Markets, 2006–2008
- Ford Motor Company, Dearborn, Mich., Financial Analyst, 2005–2006

**Item 3 - Disciplinary Information**

No information is applicable to this Item.

**Item 4 - Other Business Activities**

No information is applicable to this Item.

**Item 5 - Additional Compensation**

No information is applicable to this Item.

**Item 6 - Supervision**

Advice provided to clients by investment committee members, including Dana Gleason Nightingale, is monitored through required meeting notes that are entered in the client database and also archived for later retrieval. Email communication is regularly monitored. Ali Phillips, President, is responsible for supervising Dana's advisory activities on behalf of Obermeyer Wood Investment Counsel, LLLP. Ali's telephone number is (970) 925-8747.

**Adam Savin**  
**Obermeyer Wood Investment Counsel, LLLP**  
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Telephone: (970) 925-8747

This Brochure Supplement provides information about Adam Savin that supplements the Obermeyer Wood Investment Counsel, LLLP, Brochure.

**Item 2 - Educational Background and Business Experience**

Born: 1969

**Educational Background**

St. Lawrence University, BA, English, 1992

**Business Experience**

- Obermeyer Wood Investment Counsel, LLLP, Vice President, 2024–Present
- Booth Creek Capital Management, LP, Founder and Portfolio Manager, 2010–2023
- Ledgemont Capital Markets, Equity Sales Supervisor, 2008–2010
- Merrill Lynch Global Strategic Investment Group, Managing Director, 2005–2008
- Morgan Stanley, Executive Director, 1998–2005
- Prudential Securities, Inc., Vice President, 1993–1998
- Reich & Co., Inc., Trading Assistant, 1993
- Smith Barney Uplorn Harris, Sales Assistant, 1992–1993

**Item 3 - Disciplinary Information**

No information is applicable to this Item.

**Item 4 - Other Business Activities**

No information is applicable to this Item.

**Item 5 - Additional Compensation**

No information is applicable to this Item.

**Item 6 - Supervision**

Advice provided to clients by investment committee members, including Adam Savin, is monitored through required meeting notes that are entered in the client database and also archived for later retrieval. Email communication is regularly monitored. Ali Phillips, President, is responsible for supervising Adam's advisory activities on behalf of Obermeyer Wood Investment Counsel, LLLP. Ali's telephone number is (970) 925-8747.

**George (Tod) T. Wood, CFA**  
**Obermeyer Wood Investment Counsel, LLLP**  
200 Columbine Street, Suite 600  
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Telephone: (303) 321-8188

This Brochure Supplement provides information about Tod Wood that supplements the Obermeyer Wood Investment Counsel, LLLP, Brochure.

## **Item 2 - Educational Background and Business Experience**

Born: 1964

### **Educational Background**

Chartered Financial Analyst, 1998

Johns Hopkins University, Baltimore, Md., Paul H. Nitze School of Advanced International Studies (SAIS), M A, International Relations, 1993

Doshisha University, Kyoto, Japan, 1987

Pomona College, Claremont, Calif., BA, Asian Studies, 1988

### **Business Experience**

- Obermeyer Wood Investment Counsel, LLLP, Vice President, 2014–Present
- Wood Investment Counsel, LLC, Denver, Colo., Analyst/Portfolio Manager, 2012–2014
- Wood & Co. (investment counsel), Denver, Colo., Analyst/Portfolio Manager, 2007–2012
- Thirteen prior years of investment experience

## **Item 3 - Disciplinary Information**

No information is applicable to this Item.

## **Item 4 - Other Business Activities**

No information is applicable to this Item.

## **Item 5 - Additional Compensation**

No information is applicable to this Item.

## **Item 6 - Supervision**

Advice provided to clients by investment committee members, including Tod Wood, is monitored through required meeting notes that are entered in the client database and also archived for later retrieval. Email communication is regularly monitored. Ali Phillips, President, is responsible for supervising Tod's advisory activities on behalf of Obermeyer Wood Investment Counsel, LLLP. Ali's telephone number is (970) 925-8747.

**Nicholas (Nick) S. Barnes, CFA**  
**Obermeyer Wood Investment Counsel, LLLP**  
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Denver, Colorado 80206  
Telephone: (303) 321-8188

This Brochure Supplement provides information about Nick Barnes that supplements the Obermeyer Wood Investment Counsel, LLLP, Brochure.

**Item 2 - Educational Background and Business Experience**

Born: 1991

**Educational Background**

Chartered Financial Analyst, 2017

University of Kansas, Lawrence, KS, BS, Finance, 2013

**Business Experience**

- Obermeyer Wood Investment Counsel, LLLP, Analyst, Investments, 2021–Present
- Vantage Investment Partners, LLC, Analyst, 2018–2021
- Vantage Investment Partners, LLC, Operations Specialist & Jr. Trader, 2013–2021

**Item 3 - Disciplinary Information**

No information is applicable to this Item.

**Item 4 - Other Business Activities**

No information is applicable to this Item.

**Item 5 - Additional Compensation**

No information is applicable to this Item.

**Item 6 - Supervision**

Advice provided to clients by investment committee members, including Nick Barnes, is monitored through required meeting notes that are entered in the client database and also archived for later retrieval. Email communication is regularly monitored. Ali Phillips, President, is responsible for supervising Nick's advisory activities on behalf of Obermeyer Wood Investment Counsel, LLLP. Ali's telephone number is (970) 925-8747.



**Brian S. Brady**  
**Obermeyer Wood Investment Counsel, LLLP**  
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Telephone: (970) 925-8747

This Brochure Supplement provides information about Brian Brady that supplements the Obermeyer Wood Investment Counsel, LLLP, Brochure.

**Item 2 - Educational Background and Business Experience**

Born: 1987

**Educational Background**

Princeton University, Princeton, NJ, BA, Politics, 2009

**Business Experience**

- Obermeyer Wood Investment Counsel, LLLP, Client Advisor and Director, Marketing, 2018–Present
- Vertafore, Inc, Director, Communications, 2018–2018, Senior Manager, Marketing Communications, 2017–2018, Manager, Public Relations, 2017–2017
- US-Ireland Alliance, Administration and Research, 2015–2016
- US House of Representatives, Office of Rep. Chris Van Hollen, Deputy Press Secretary, 2012–2014
- US House of Representatives, Committee on the Budget, Special Assistant to the Ranking Member and Press Assistant, 2011–2012
- Democratic Congressional Campaign Committee, Assistant to the Chairman Chris Van Hollen, 2009–2011

**Item 3 - Disciplinary Information**

No information is applicable to this Item.

**Item 4 - Other Business Activities**

No information is applicable to this Item.

**Item 5 - Additional Compensation**

No information is applicable to this Item.

**Item 6 - Supervision**

Advice provided to clients by investment committee members, including Brian Brady, is monitored through required meeting notes that are entered in the client database and also archived for later retrieval. Email communication is regularly monitored. Ali Phillips, President, is responsible for supervising Brian’s advisory activities on behalf of Obermeyer Wood Investment Counsel, LLLP. Ali’s telephone number is (970) 925-8747.

**Kim (Kimbo) E. Brown-Schirato**  
**Obermeyer Wood Investment Counsel, LLLP**  
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Aspen, Colorado 81611  
Telephone: (970) 925-8747

This Brochure Supplement provides information about Kimbo Brown-Schirato that supplements the Obermeyer Wood Investment Counsel, LLLP, Brochure.

## **Item 2 - Educational Background and Business Experience**

Born: 1982

### **Educational Background**

University of Cape Town, Cape Town, South Africa, Bachelor of Business Science, 2004

### **Business Experience**

- Obermeyer Wood Investment Counsel, LLLP, Client Advisor, 2018–Present
- Aspen Custom Vans, Owner Operator, 2018-Present
- Obermeyer Wood Investment Counsel, LLLP, Senior Associate, Client Service, 2015–2018
- Obermeyer Wood Investment Counsel, LLLP, Associate, Client Service, 2009–2015
- Aspen Youth Experience, Finance Manager, 2008–2009

## **Item 3 - Disciplinary Information**

No information is applicable to this Item.

## **Item 4 - Other Business Activities**

No information is applicable to this Item.

## **Item 5 - Additional Compensation**

No information is applicable to this Item.

## **Item 6 - Supervision**

Advice provided to clients by investment committee members, including Kimbo Brown-Schirato, is monitored through required meeting notes that are entered in the client database and also archived for later retrieval. Email communication is regularly monitored. Ali Phillips, President, is responsible for supervising Kimbo's advisory activities on behalf of Obermeyer Wood Investment Counsel, LLLP. Ali's telephone number is (970) 925-8747.

**Brooke P. Gais, CFP®**  
**Obermeyer Wood Investment Counsel, LLLP**  
200 Columbine Street, Suite 600  
Denver, Colorado 80206  
Telephone: (303) 321-8188

This Brochure Supplement provides information about Brook Gais that supplements the Obermeyer Wood Investment Counsel, LLLP, Brochure.

**Item 2 - Educational Background and Business Experience**

Born: 1993

**Educational Background**

Certified Financial Planner™, 2022 (note: certification registered under Brooke Perkins)  
Lake Forest College, Lake Forest, IL, BA, Economics, 2015

**Business Experience**

- Obermeyer Wood Investment Counsel, LLLP, Client Advisor, 2021–Present
- Perella Weinberg Partners, Analyst, 2020
- Twin Brook Capital Partners, Senior Loan Analyst, 2017–2020
- Morgan Stanley Wealth Management, Associate, 2015–2017

**Item 3 - Disciplinary Information**

No information is applicable to this Item.

**Item 4 - Other Business Activities**

No information is applicable to this Item.

**Item 5 - Additional Compensation**

No information is applicable to this Item.

**Item 6 - Supervision**

Advice provided to clients by investment committee members, including Brooke Gais, is monitored through required meeting notes that are entered in the client database and also archived for later retrieval. Email communication is regularly monitored. Ali Phillips, President, is responsible for supervising Brooke's advisory activities on behalf of Obermeyer Wood Investment Counsel, LLLP. Ali's telephone number is (970) 925-8747.

**Hayden M. Porter**  
**Obermeyer Wood Investment Counsel, LLLP**  
200 Columbine Street, Suite 600  
Denver, Colorado 80206  
Telephone: (303) 321-8188

This Brochure Supplement provides information about Hayden Porter that supplements the Obermeyer Wood Investment Counsel, LLLP, Brochure.

**Item 2 - Educational Background and Business Experience**

Born: 1991

**Educational Background**

Colorado State University, Fort Collins, CO, BA, Health and Human Sciences, 2014

**Business Experience**

- Obermeyer Wood Investment Counsel, LLLP, Client Advisor, 2022–Present
- Kroll, LLC, Consultant, 2021–2022
- Dodds Wealth Management, 2020–2021
- OnDeck Capital, Associate Account Manager, 2019–2020
- Morgan Stanley, Financial Advisor & Financial Planning Specialist, 2016–2019
- Empower Retirement, Lead Retirement Representative, 2014–2016

**Item 3 - Disciplinary Information**

No information is applicable to this Item.

**Item 4 - Other Business Activities**

No information is applicable to this Item.

**Item 5 - Additional Compensation**

No information is applicable to this Item.

**Item 6 - Supervision**

Advice provided to clients by investment committee members, including Hayden Porter, is monitored through required meeting notes that are entered in the client database and also archived for later retrieval. Email communication is regularly monitored. Ali Phillips, President, is responsible for supervising Hayden's advisory activities on behalf of Obermeyer Wood Investment Counsel, LLLP. Ali's telephone number is (970) 925-8747.

**Patrick G. Yarborough, CFA**  
**Obermeyer Wood Investment Counsel, LLLP**  
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Telephone: (303) 321-8188

This Brochure Supplement provides information about Patrick Yarborough that supplements the Obermeyer Wood Investment Counsel, LLLP, Brochure.

**Item 2 - Educational Background and Business Experience**

Born: 1991

**Educational Background**

Chartered Financial Analyst, 2021

Wake Forest University, Winston-Salem, NC, MS, Management, 2014

Wake Forest University, Winston-Salem, NC, BA, Political and International Relations, 2013

**Business Experience**

- Obermeyer Wood Investment Counsel, LLLP, Client Advisor, 2023–Present
- UBS Group AG, Analyst, Associate Director, Director, 2014–2023

**Item 3 - Disciplinary Information**

No information is applicable to this Item.

**Item 4 - Other Business Activities**

No information is applicable to this Item.

**Item 5 - Additional Compensation**

No information is applicable to this Item.

**Item 6 - Supervision**

Advice provided to clients by investment committee members, including Patrick Yarborough, is monitored through required meeting notes that are entered in the client database and also archived for later retrieval. Email communication is regularly monitored. Ali Phillips, President, is responsible for supervising Patrick's advisory activities on behalf of Obermeyer Wood Investment Counsel, LLLP. Ali's telephone number is (970) 925-8747.