

# Financial Document Checklist

## Send Ahead

Please send copies to [hello@fathomfp.com](mailto:hello@fathomfp.com) before our next meeting so we can review them in advance.

### Retirement Accounts

- 401(k), 403(b), 401(a), 457, or other employer-sponsored retirement plans
- Traditional IRAs
- Roth IRAs
- SEP IRAs
- SIMPLE IRAs

### Non-Qualified Investment Accounts

- Brokerage accounts
- Stock options
- Mutual funds
- Annuities
- Deferred compensation plans

### Cash & Savings

- Checking accounts
- Savings and money market accounts
- Certificates of Deposit (CDs)

### Education Funding

- 529 plans
- Coverdell ESAs
- UGMA / UTMA accounts

### Insurance

- Life insurance policies (most recent statements)
- Disability income insurance
- Long-term care insurance

## Review Together

Please bring any relevant documents or have these details ready to discuss together.

### Assets

- Vehicles
- Personal property / valuables
- Other titled assets

### Income & Cash Flow

- Income from all sources
- Expenses and cash flow

### Business Ownership

- Business valuations or appraisals
- Buy-sell agreements
- Employee benefits
- Employer-provided retirement plans

### Real Estate

- Estimated property values
- Property tax statements

### Estate & Legal Documents

- Wills, trusts, or other estate planning documents
- Powers of Attorney
- Health Care Directives

### Liabilities

- Mortgage statement
- Loans (auto, student, HELOC, etc.)
- Credit card statements