



# **ClearView Financial, LLC**

**5000 Monument Avenue  
Suite 100  
Richmond, VA 23230  
Telephone: 804-510-0544  
[www.cviewfinancial.com](http://www.cviewfinancial.com)**

**Form ADV Part 2  
Brochure Supplement  
January 1, 2019**

This brochure supplement provides information about Christopher Ryan Harris that supplements the ClearView Financial, LLC Firm Brochure. You should have received a copy of that Firm Brochure. Please contact ClearView Financial, LLC if you did not receive ClearView Financial, LLC brochure or if you have any questions about the contents of this supplement.

Additional information about Christopher Ryan Harris is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). CRD# 5968328



## **Christopher Ryan Harris, AIF**

ClearView Financial, LLC  
5000 Monument Avenue, Suite 100  
Richmond, VA 23230  
Telephone: 804-510-0544  
Website: [www.cviewcfinancial.com](http://www.cviewcfinancial.com)

## **Educational Background and Business Experience**

### **Year of Birth:**

1980

### **Education:**

Bachelor of Science – Information Technology  
Virginia Polytechnic Institute & State University - 2002

### **Past Five Year Business Experience:**

ClearView Financial, LLC Partner	April 2016 – Current
New York Life Insurance Company Agent	August 2011 – January 2016
NYLIFE Securities, LLC Registered Representative	August 2011 – January 2016

### **Examinations/Designations**

FINRA Investment Company Products/Variable Contracts Representative – Series 6  
NASAA Uniform Securities Agent State Law Examination – Series 63  
NASAA Uniform Combined Registered Investment Advisor – Series 65  
AIF® – Accredited Investment Fiduciary



## **Explanation of Professional Designation**

Individuals who hold the AIF® (Accredited Investment Fiduciary) designation have completed a course of study through Fi360.com. The course was created to assist advisors in meeting their legal obligations by applying prudent practices as well as documenting fiduciary best practices. Upon attaining the AIF designation, the individual recognizes they will adhere to a Code of Ethics set forth by the program and other holders of the designation. The Code is detailed below:

### **AIF® and AIFA® Designee Code of Ethics**

I recognize that this Code of Ethics, and its principles and obligations, are in addition to those set forth by any other Code that governs my professional and ethical conduct.

#### ***To my clients, I will:***

1. Employ and provide the client information on the Prudent Practices when serving as an investment fiduciary and/or advising other investment fiduciaries.
2. Act with honesty and integrity and avoid conflicts of interest, real or perceived.
3. Ensure the timely and understandable disclosure of relevant information that is accurate, complete, and objective.
4. Be responsible when determining the value of my services and my form of compensation; taking into consideration the time, skill, experience, and special circumstances involved in providing my services.
5. Know the limits of my expertise, and refer my clients to colleagues and/or other professionals in connection with issues beyond my knowledge and skills.
6. Respect the confidentiality of information acquired in the course of my work, and not disclose such information to others, except when authorized or otherwise legally obligated to do so. I will not use confidential information acquired in the course of my work for my personal advantage.
7. Not exploit any relationship or responsibility that has been entrusted to me.

#### ***To my community (whether defined by work, family, and/or friends), I will:***

1. Proactively promote and be a steward of ethical behavior as a responsible partner among my peers in the work environment and in my community.
2. Ensure that the overall promotion of my practice is implemented in the best interests of my profession.
3. Seek, accept, and offer honest criticism of technical work; acknowledge and correct errors; and properly credit the contributions of others.
4. Use corporate assets and resources employed or entrusted to me in a responsible manner.
5. Continue to improve my knowledge and skills, share ideas and information with colleagues, and assist them in their professional development.

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## **Disciplinary Information**

Christopher Ryan Harris has never had any legal or disciplinary events to disclose.

## **Outside Business Activities**

Christopher Ryan Harris does not have any business activities outside of ClearView Financial, LLC to disclose.

## **Additional Compensation**

Christopher Ryan Harris is also an insurance agent and acts in this capacity for ClearView Financial, LLC. In this role he may offer fixed life insurance products and receive compensation as of a result of any purchases made by clients. Please note, this compensation may be above and beyond fees earned through investment management. All monies earned in this capacity will be disclosed through ClearView Financial's "Insurance Review Form." A copy will be presented to the client.

## **Supervision**

Christopher Ryan Harris is a Partner of ClearView Financial, LLC and is supervised by the following:

Supervisor - Kevin A Bostic

Title - Chief Compliance Officer

Phone Number – 804-510-0544

ClearView Financial employs many steps to supervise its representatives including but not limited to: email surveillance, requirement to abide by and acknowledge the firm's Code of Ethics and implement Code in their daily activities, attendance of a weekly compliance meeting, implementation of periodic reviews of any and all advisory activities/accounts. Furthermore, like all state Registered Investment Advisors (RIAs) and their Investment Advisor Representatives (IARs), he is subject to inspections and audits by the Virginia State Corporate Commission (SCC) Division of Securities and Retail Franchising, telephone number 804-371-9051.

## **Requirements for State-Registered Advisors**

Registered Investment Advisors are required to disclose material facts regarding any legal or disciplinary events that would be material to your evaluation of each officer or a supervised person providing investment advice. Christopher Ryan Harris does not have any events to disclose.