



Brochure Supplement Date: 6/2/2020

PART 2B of Form ADV: Brochure Supplement

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Additional information about Alexander Collins is available on the SEC's website at www.adviserinfo.sec.gov

This brochure supplement provides clients with information about Alexander Collins that supplements the Park Avenue Securities ("PAS") disclosure brochure. You should have received a copy of the PAS disclosure brochure. Please Contact PAS at (888)-600-4667 if you did not receive a copy of the PAS disclosure brochure or if you have any questions about the contents of this brochure supplement.

PAS is a registered investment adviser. Registration as an investment adviser does not imply a certain level of skill or training

Education Background and Business Experience

Alexander Collins

- Birth Year: 1978

Formal Education

- Bachelor's Degree - University of Washington Bachelor of Arts in Business Administration

Professional Designations

- **Certified Financial Planner (CFP)**

I am a Certified Financial Planner. The certification is awarded after completing an advanced college-level course of study addressing the subject areas deemed necessary for the delivery of financial planning services. Additionally, a Bachelor's Degree from an accredited college, passing the comprehensive CFP Examination which includes case studies and client scenarios designed to correctly diagnose financial planning issues, and a minimum of three years of full-time financial planning-related experience (or the equivalent measured as 2000 hours per year), are all requirements. The individual agrees to a code of ethics set forth by the CFP's Board of Standards and Professional Conduct and those certified must complete ongoing continuing education.

- **Chartered Financial Consultant (ChFC)**

A financial planning designation for the insurance industry awarded by the American College of Bryn Mawr. ChFCs must meet experience requirements and pass exams covering finance and investing. They must have at least three years of experience in the financial industry, and have studied and passed an examination on the fundamentals of financial planning, including income tax, insurance, investment and estate planning.

Business Background

06/2019 - Present	GUARDIAN LIFE INSURANCE, AGENT	KIRKLAND, WA
06/2019 - Present	PARK AVENUE SECURITIES, REGISTERED REPRESENTATIVE	KIRKLAND, WA
06/2014 - 06/2019	PARK AVE SECURITIES, REGISTERED REP	BOTHELL, WA
05/2014 - 06/2019	GUARDIAN, AGENT	BOTHELL, WA
05/2002 - 05/2014	PACIFIC CAPTIAL RESOURCES GRP, AGENT	BELLEVUE, WA
04/2002 - Present	PENN MUTUAL LIFE INS CO, AGENT	BELLEVUE, WA
04/2002 - 05/2014	HORNOR TOWNSEND AND KENT, INC, REG REP	BELLEVUE, WA

Disciplinary Information

The Investment Advisor Representative has no material disciplinary events to report. Additional information about your investment advisor can be found on the SEC's website at www.adviserinfo.sec.gov

Other Business Activities

PAS IARs also act as Registered Representatives of Park Avenue Securities LLC in its capacity as a broker-dealer and as insurance agents or brokers of The Guardian Life Insurance Company of America ("Guardian").

As a Registered Representative or insurance agent or broker, a PAS IAR receives compensation for the sale of securities or insurance products in the form of up-front and/or ongoing commissions, bonuses or other compensation. A portion of this compensation may include 12b-1 fees (an annual marketing or distribution fee on a mutual fund) that are paid on the sale of mutual funds.

Such securities and/or insurance sales may also qualify the PAS IAR to receive various forms of non-cash compensation, including awards, trips and other benefits (such as health and retirement benefits). Accepting this type of compensation may present a conflict of interest in that there is an incentive to recommend investment products based on the compensation received.

Your financial advisor is also involved in the following activities:

1. Broker - Outside Insurance

When Guardian isn't a good fit for my clients, I plan to use a different vendor for life, disability and long term care products as necessary.

Start: 04/01/2002

Address: 803 Kirkland Ave, Suite 100 Kirkland, WA 98033

Hrs per month - Tot/Bus: 4/2

Investment related

2. NAIFA

Board Member-As part of the board, I help decide how to run the organization. Typical board meetings consist of planning events, discussing membership issues and how it increase membership, going over budget issues, discussing YAT (Young Advisors Team) & IFAPAC. IFAPAC is the Insurance and Financial Advisors Political Action Committee

Start: 04/01/2009

Address: 2400 NW 80th Street, PMB 140 Seattle, WA 98117

Hrs per month - Tot/Bus: 5/2.5

Not investment related

3. Quantified Financial Partners, LLC

There is no activity. The purpose for this LLC is replace the previous name to become compliant with the state of Washington. This name will be used for marketing purposes.

Start: 08/01/2016

Address: 803 Kirkland Ave Suite 100, Kirkland, WA 98033

0 hrs per month

Investment related

4. Article writer

Republishing blog articles on other sites

Start: 09/08/2017

Address: 803 Kirkland Ave Suite 100, Kirkland, WA 98033

1 non bus hr per month

Not investment related

5. Writer

Ebook-E-book to put on our DBA website.

Start: 06/29/2018

Address: 803 Kirkland Ave Suite 100, Kirkland, WA 98033

1 non bus hr per month

Not Investment related

6. Ohio National

Write Ohio National as an additional carrier when Guardian isn't a good fit.

Start: 08/31/2018

Address: 803 Kirkland Ave Ste 103, Kirkland, WA 98033

1 bus hr per month

Investment related

7. Beer and Money

This is a podcast for Marketing

Start: 08/07/2019

Address: 803 Kirkland Ave, Suite 100 Kirkland, WA 98033

Hrs per month - tot/bus: 5/1

Not investment related

8. Holistic Finance

Podcast for Marketing with Ryan Burklo

Start: 08/15/2019

Address: 803 Kirkland Ave, Suite 100 Kirkland, WA 98033

Hrs per month - tot/bus: 5/1

Not investment related

9. Volunteering for a political campaign

I have been asked by a close friend who is not a current client to assist on his campaign. He is a candidate for Bothell, WA city council. This would likely be phone calls and/or sign waiving.

Start: 10/10/2019

Address: Bothell, WA

10 non bus hrs per month

Not investment related

Additional Compensation

Your IAR is eligible for additional cash compensation (such as medical, retirement and other benefits) and non-cash compensation (such as conferences and reimbursement for business expenses) from Guardian or other Guardian subsidiaries based on his or her sales of Guardian proprietary products, certain authorized non-Guardian products, and overall sales and productivity.

PAS is a party to solicitation agreements with certain third party investment advisers. PAS and/or its IARs may receive compensation pursuant to these agreements for introducing clients to the investment advisers and for providing certain ongoing services. This compensation is typically equal to a percentage of the investment advisory fee charged by the third party investment adviser. Because such compensation may differ depending on the individual agreement with each third party investment adviser, PAS IARs may have an incentive to recommend one third party investment adviser over another which has a less favorable compensation arrangement or over an alternative investment advisory program.

PAS and its IARs may also receive other compensation from third party investment advisers. Third Party advisers may sponsor conferences for training and educational purposes to which certain PAS IARs are invited. PAS IARs may attend these conferences without charge. In addition, the third party investment adviser may also reimburse or pay for the travel and other related or miscellaneous expenses.

For disclosures regarding these conflicts of interest as well as others, please refer to Part 2A of Form ADV or an equivalent brochure. For disclosures regarding compensation on solicitors arrangements please see the solicitors disclosure statement provided at the time of solicitation in accordance with Rule 206(4)-3 of the Investment Advisers Act of 1940.

Supervision

Supervisors' Name	Supervisors' Phone Number
Warren Hoppe, Agency Control Officer	323-982-4102
Pat Chuturbhuti, Agency Control Officer	602-957-7155
Matthew Mansfield, Agency Control Officer	503-517-3167

PAS, through its IARs, gathers information from a client about their financial situation, risk tolerance, investment objectives and any reasonable restrictions that the client wishes to impose upon the management of the account. Each IAR periodically reviews reports and otherwise consults with the client, and contacts the client at least annually to review the client's financial situation and investment objectives. Clients should notify their IARs of any changes in their financial situation, risk tolerance, investment objectives or account restrictions.

PAS employs individuals who are registered with the Financial Industry Regulatory Authority ("FINRA") as principals (the "Registered Principals"), who review all accounts for suitability. Accounts are reviewed by the Registered Principals prior to being opened. Accounts are monitored on an ongoing basis by Registered Principals.

PAS monitors and tracks all financial planning and consulting. All financial plans must be submitted to PAS for approval prior to presentation to a client. If the plan is approved, the plan may be presented to the client.

PAS provides each client with a quarterly written performance report for PAS proprietary programs. Performance information is calculated for all portfolios custodied at Pershing LLC. The quarterly analysis measures performance of the account by comparing such performance against relevant market indices.