



# DICKMEYERBOYCE

FINANCIAL MANAGEMENT, INC.

## Privacy Policy Notice

**Last Updated: January 1, 2020**

Dickmeyer Boyce Financial Management, Inc., an independent FEE ONLY financial planning and investment management firm, is committed to safeguarding the confidential information of its clients. We hold all personal information provided to our firm in the strictest of confidence. This includes all personal information that we collect from you in connection with any of the services provided by Dickmeyer Boyce Financial Management, Inc.

Unless we have your permission, we have never disclosed information to any nonaffiliated third parties (except as permitted by law), and do not anticipate doing so in the future. If we were to anticipate a change in firm policy, we would be prohibited under the law from doing so without advising you first. Our policy with respect to the safeguarding of your personal information is listed below.

- We limit employee access to your confidential personal information to only those employees who have a business or professional reason for knowing, and only to nonaffiliated parties as permitted by law. (For example, federal regulation permits us to share a limited amount of information with your custodians/broker dealers in order to execute securities transactions on your behalf. We are also allowed to discuss your financial situation with your accountant or attorney.)
- We maintain a secure office and computer environment to ensure that your information is not placed at unreasonable risk. This includes a locked office and computer hardware firewall.
- The level of nonpublic personal information that we collect from a client depends on the scope of the client engagement. It may include information about your health to the extent that it is needed for the planning process, information about transactions between you and third parties, and information from consumer reporting agencies.
- Federal and state regulators also may review firm records as permitted by law.
- We do not under any circumstance provide your personally identifiable information to any mailing list vendors or solicitors.
- Personally identifiable information about you will be maintained during the time that you are a client and for the required time thereafter that such records are required to be maintained by federal and state securities laws, professional prudence, and consistency with the CFP Board Code of Ethics and Professional Responsibility. After this period of record retention, all such information will be destroyed.