WHAT YOU CAN EXPECT

BARON FINANCIAL GROUP
High Stability Wealth Management

Guiding You Toward Financial Security

You will receive comprehensive, personalized service from a true team, dedicated to serving your best interests.

CLIENT FACING

ONBOARDING
- INTRODUCTORY MEETING
- UNDERSTAND YOUR GOALS AND TIME FRAME
- DISCUSS YOUR TOLERANCE FOR RISK
- REVIEW YOUR FINANCIAL RESOURCES
- SIGN ADVISORY AGREEMENT
- AGREE UPON CUSTOMIZED INVESTMENT STRATEGY

ONGOING
- AVAILABLE FOR ALL FINANCIAL QUESTIONS

DAILY
- PERSONALLY ANSWER PHONE CALLS AND EMAILS
- CLIENT SERVICE AVAILABILITY

WEEKLY
- CLIENT EDUCATIONAL MATERIAL: *RESPONSIBLE GUIDANCE*

QUARTERLY
- QUARTERLY CLIENT REVIEW MEETINGS
- NEWSLETTER
- RECEIVE PORTFOLIO STATEMENTS
- EDUCATIONAL WEBINAR SERIES

BI- ANNUALLY
- CLIENT EDUCATION & APPRECIATION EVENTS

ANNUALLY
- REQUIRED MINIMUM DISTRIBUTIONS (RMD)
- YEAR-END TAX PLANNING AND REPORTING
- MEDICARE ANALYSIS

BEHIND THE SCENES

ONBOARDING
- DEVELOP A PRELIMINARY FINANCIAL PLAN
- OPEN ACCOUNTS AND COMPLETE TRANSFERS
- IMPLEMENT INVESTMENT STRATEGY

ONGOING
- MONITOR YOUR FINANCIAL PLAN AND REVISE
- PORTFOLIO REVIEW AND REBALANCING
- REGULATORY COMPLIANCE REVIEW/DUE DILIGENCE
- TECHNOLOGY ADVANCEMENT
- GLOBAL ECONOMIC DATA ANALYSIS

DAILY
- PORTFOLIO MONITORING AND MARKET ANALYSIS

WEEKLY
- INVESTMENT COMMITTEE MEETINGS
- COMPLETE FOLLOW-UP TASKS
- RESEARCH, PLAN AND PREPARE EDUCATIONAL CONTENT

QUARTERLY
- ADVISOR CONTINUING EDUCATION

ANNUALLY
- TAX LOSS HARVESTING

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