BARON
FINANCIAL GROUP
Financial Planning & Investment Management

baron-financial.com

Financial Advisor Comparison Checklist

QUESTIONS TO ASK	BARON FINANCIAL GROUP	OTHER ADVISORS
Is your advisor a Registered Investment Adviser obligated to act as a fiduciary at all times? Will they sign a fiduciary oath?	✓	?
Is your advisor strictly fee-only? Is your advisor only compensated directly by you and does not collect commissions on any financial product? Can they clearly explain all of their fees?	✓	?
Is your advisor's management fee transparent and does it help align their incentives with your best interest?	✓	?
Is financial planning included in your services? (retirement planning, Social Security timing, Medicare analysis, third-party insurance reviews, tax and estate planning support, etc.)	✓	?
Is your financial plan tailored to meet your specific needs considering your investment objectives, time-horizon, cash flow and all other factors specific to your goals?	✓	?
Does your advisor provide you with a defined investment strategy which includes rebalancing and opportunities for tax planning?	✓	?
Do you personally receive high-touch client service from a designated team with two advisors and one client-relationship specialist?	✓	?