



PRIVACY POLICY

WHAT DOES THE UNITED FINANCIAL PLANNING GROUP LLC (United) DO WITH YOUR PERSONAL INFORMATION?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

The types of personal information we collect and share depend on the product or service you have with us. This information can include: • Social Security number and income • Account balances and transaction history • Investment experience and assets

All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information and if United shares or not.

1. For our everyday business purposes— such as to process your transactions, maintain your account(s), respond to court orders and legal investigations - YES
2. For our marketing purposes— to offer our products and services to you – NO
3. For joint marketing with other financial companies - NO

How does United protect my personal information? To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

How does United collect my personal information? We collect your personal information, for example, when you • open an account or give us your income information • seek advice about your investments or tell us about your investment or retirement portfolio • make deposits or withdrawals from your account