



Forbes Best Lists:

The Forbes ranking of America's Top Wealth Advisors was developed by SHOOK Research, a research organization focused on the quality of the advisor. It is based on advisor experience and an algorithm that measures both qualitative benchmarks gleaned through telephone and in-person interviews along with quantitative data. Specific factors that were examined include: revenue trends, assets under management, compliance records, use of team, client retention and best practices in working with clients.

"If we're going to recommend advisors to the public, we have to make sure every advisor is high quality. When we meet with an advisor for a due diligence meeting, we are thinking to ourselves, "Is this someone we would recommend to a friend or family member?" said R.J. Shook, president of SHOOK Research, about the ranking process in Forbes Magazine.

Charlotte Business Journal 40 under Forty:

Evaluation Criteria

The best candidates will quantitatively demonstrate:

- Business success (financial results, career growth)
- Community involvement (volunteer work, advocacy)
- Leadership ability (public profile, reputation)
- Influence (impact on the region, on public policy, or on quality of life).

Eligibility

Nominees must be under 40 years of age on May 31, of that year.

Nominees must also be residents and employees in the Greater Charlotte region, defined by the following counties:

In North Carolina: Alexander, Anson, Cabarrus, Catawba, Cleveland, Gaston, Iredell, Lincoln, Mecklenburg, Rowan, Stanly and Union.

In South Carolina: Chester, Chesterfield, Lancaster and York.

Advisors with Heart Award:

WealthManagement.com editors, board members and writers choose the winners; there are no spreadsheet calculations. Nominations require a short essay explaining the nominee's merits. Be brief—a page will suffice. Specifics are important; please include anecdotes that illustrate the advisor's strongest qualities and commitment to philanthropic activities. Please also describe the kind of practice the wealth advisor runs so that we have a clear understanding of their values. All applications are confidential—and you can self-nominate.

In addition, applicants must have:

- A minimum of three years in the industry
- A clean ethical record (please include full names)
- No previous award winners may apply

Charlotte Agenda 30 under 30:

The Agenda set out to recognize 30 people who have made an impact on Charlotte before the age of 30. You, our readers, submitted scores of names. Our team discussed, debated and dissected the nominees for weeks before arriving at this list.