



Your Privacy is Important to Us

Pacesetter Financial Group, LLC is committed to protecting your privacy and to safeguarding the personal and financial information that you provide to us in a manner that is safe, secure, and professional.

Safeguarding Client Documents

We collect non-public client data in applications, agreements, checklists, forms, notations, transactions made with or through us, and in written, electronic, or verbal correspondence with you. We also create internal lists of such data. The information we collect may include your name and the names of your family members, social security numbers, birthdates, place of employment, wages, net worth, account numbers, investments, etc.

During regular business hours, access to client records is monitored so that only those with approval may access the files. We also maintain electronic and procedural safeguards that comply with federal standards to guard your nonpublic personal information. During hours in which the company is not in operation, client records and computers with electronic access to client records will be locked.

No individual who is not so authorized shall obtain or seek to obtain personal or financial client information. No individual with authorization to access personal and financial client information shall share that information in any manner without the specific consent of a firm principal. Failure to observe Pacesetter Financial Group procedures regarding client privacy will result in disciplinary action and may lead to termination.

These policies and procedures apply to all current, prospective, and former clients.

Sharing Nonpublic Personal and Financial Information

We will not share your personal or financial information with any affiliated or nonaffiliated third party, except in the following circumstances:

- As necessary to complete a transaction in a client account, such as with the custodial broker;
- As necessary to provide services requested by the client or required to maintain or service a client account;
- As necessary to resolve a client dispute or inquiry;
- As necessary to work with persons acting in a fiduciary or representative capacity on behalf of the client;
- As required by regulatory authorities, firm attorneys, firm auditors, or law enforcement officials who have jurisdiction over our firm, or as otherwise required by any applicable law;
- To the extent reasonably necessary to protect against or prevent actual or potential fraud, identity theft, unauthorized transactions, claims, or other liability;
- As necessary to comply with federal, state, or local laws, rules, and other applicable legal requirements;
- As necessary in connection with a written agreement to provide investment management or advisory services when the information is released for the sole purpose of providing the products or services covered by the agreement; or
- In any circumstances with the client's instruction or consent.

Opt-Out Provisions

It is not a policy of Pacesetter Financial Group to share nonpublic personal and financial information with affiliated or unaffiliated third parties except under the circumstances noted above. Since sharing under the circumstances noted above is necessary to service client accounts or is mandated by law, there are no allowances made for clients to opt out.

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