

Your Privacy is Important to Us

Pacesetter Financial Group, LLC is committed to protecting your privacy and to safeguarding the personal and financial information that you share with us in a manner that is safe, secure, and professional.

All financial companies need to share customers' personal information to run their everyday businesses. Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some, but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

Safeguarding Client Information

We collect your non-public personal information in applications, agreements, checklists, forms, notations, transactions made through us, and in written, electronic, and/or verbal correspondence with you. We also create internal lists of such data.

The information we collect may include your name and the names of your family members, social security numbers, birthdates, places of employment, wages, net worth, account numbers, investments, account balances, etc.

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards (such as password protection or encryption) and restricting access to physical files (such as locked cabinets or building and office doors).

No individual who is not so authorized shall obtain or seek to obtain your personal or financial information. No individual with authorization to access your personal or financial information shall share that information in any manner without your specific consent or without the specific consent of a firm principal. Failure to observe Pacesetter Financial Group procedures regarding client privacy will result in disciplinary action and may lead to termination.

These policies and procedures apply to all current, prospective, and former clients.

Definitions

Affiliates: Companies related by common ownership and/or control. They can be financial and non-financial companies.

Non-affiliates: Companies not related by common ownership and/or control. They can be financial and non-financial companies.

Sharing Nonpublic Personal and Financial Information

We will not share your personal or financial information with any affiliated or unaffiliated third party, except in the following circumstances:

- As necessary to complete a transaction in your account, such as with the custodial broker;
- As necessary to provide services you request from us or our affiliate, or as required to maintain or service your account;
- As necessary to resolve a dispute or inquiry;
- As necessary to work with persons acting in a fiduciary or representative capacity on your behalf;
- As required by regulatory authorities, firm attorneys, firm auditors, or law enforcement officials who have jurisdiction over our firm, or as otherwise required by any applicable law;
- To the extent reasonably necessary to protect you and your accounts against, or to prevent actual or potential fraud, identity theft, unauthorized transactions, claims, or other liability;
- As necessary to comply with federal, state, and/or local laws, rules, and other applicable legal requirements;
- As necessary in connection with a written agreement to provide investment management or advisory services when the information is released for the sole purpose of providing the products or services covered by the agreement; or
- In any circumstances with your instruction or consent.

Opt-Out Provisions

It is not the policy of Pacesetter Financial Group to share nonpublic personal and financial information with affiliated or unaffiliated third parties except under the circumstances noted above. Federal law gives you the right to limit only:

- Sharing for affiliates' everyday business purposes,
- Affiliates from using your information to market to you, and
- Sharing for non-affiliates to market to you.

State laws and individual companies may give you additional rights to limit sharing.

Since sharing under the circumstances noted in the section above is necessary to service client accounts or is mandated by law, there are no allowances made for clients to opt out or opt in.