

How is your 401(k) Advisor Helping With Your Fiduciary Duties?

Fiduciary Standards

Investment brokers actually have divided loyalties: they must be loyal to clients, but also loyal to their brokerage firm. This means that investment brokers can recommend investments that are not in your best interest, but do put larger commissions in the broker's pocket.

**ERISA
§3(38)**

**ERISA
§3(21)**

**ERISA
§3(16)**

Brokers

There is a better way, and it starts with hiring a FIDUCIARY, not a broker. A fiduciary is required to act solely in your best interest—not in the best interest of a Wall Street firm. With an investment fiduciary you have transparent fees, and you know all costs.