Legacy One
FINANCIAL ADVISORS

Legacy One Client Portal

Overview of Information

Table of Contents & Disclaimer

Legacy One Financial Advisors, LLC ("Legacy One") is a Texas based investment advisory firm registered with the United States Securities and Exchange Commission ("SEC"). As an independent, fee-only, registered investment advisor (RIA), Legacy One is able to provide sophisticated, holistic wealth management services, with expanded access to investment solutions. We take our fiduciary responsibility to you seriously, which means we are committed to what is in your best interest. Our long-standing objective is to enable you to achieve your financial goals and to act as a trusted resource for you and your family.

This material is for informational purposes only and is an overview of the capital markets and is intended for educational and illustrative purposes only. It is not designed to cover every aspect of the markets, and is not intended to be used as a general guide to investing or as a source of any specific investment recommendation. Readers should conduct their own research before making any investments. It is not intended as an offer or solicitation for the purchase or sale of any financial instrument, investment product or service. In preparing this material we have relied upon data supplied to us by third parties. The information has been compiled from sources believed to be reliable, but no representation or warranty, express or implied, is made by Legacy One Financial Advisors, LLC, as to its accuracy, completeness or correctness. Legacy One Financial Advisors, LLC does not guarantee that the information supplied is accurate, complete, or timely, or make any warranties with regard to the results obtained from its use. Opinions included in the "What Others are Saying" section may represent, but do not necessarily represent the views of Legacy One Financial Advisors, LLC.

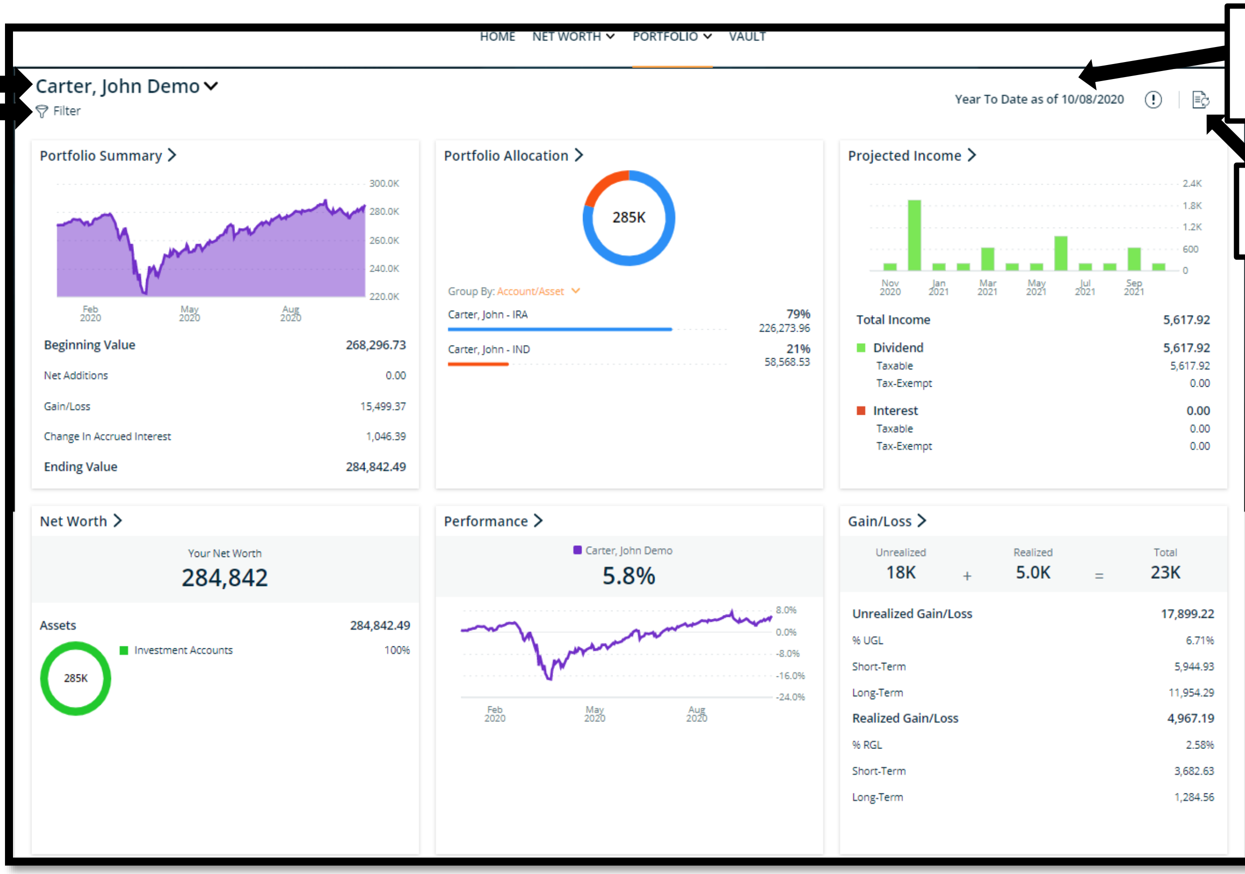
Portfolio Dashboard

A “snapshot” view with data tiles highlighting different aspects of your portfolio

- We will look more closely at each tile in the following pages.
- Each tile provides a snapshot of information. For additional detail on any of the tiles, click the tile title.

Toggle between portfolios by clicking the portfolio name in the top left-hand corner.

You may also filter specific accounts within the portfolio by using the filter icon.



Toggle the time frame or information “as of date” (default is year-to-date)

Generate reports

Note: some data tiles may display ‘No Data Available’, which means the data feed is not applicable to your portfolio.

Portfolio Summary

Provides an overview of activity in the portfolio

Toggle the time frame or information "as of date" (default is year-to-date)

Chart shows the market value of the account during the selected time frame

Shows all contributions, withdrawals, realized gains (or losses), income, and advisory fees for a given period

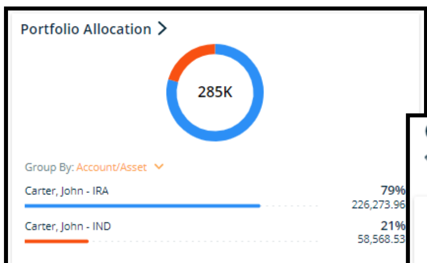
Toggle between accounts or transactions in the table

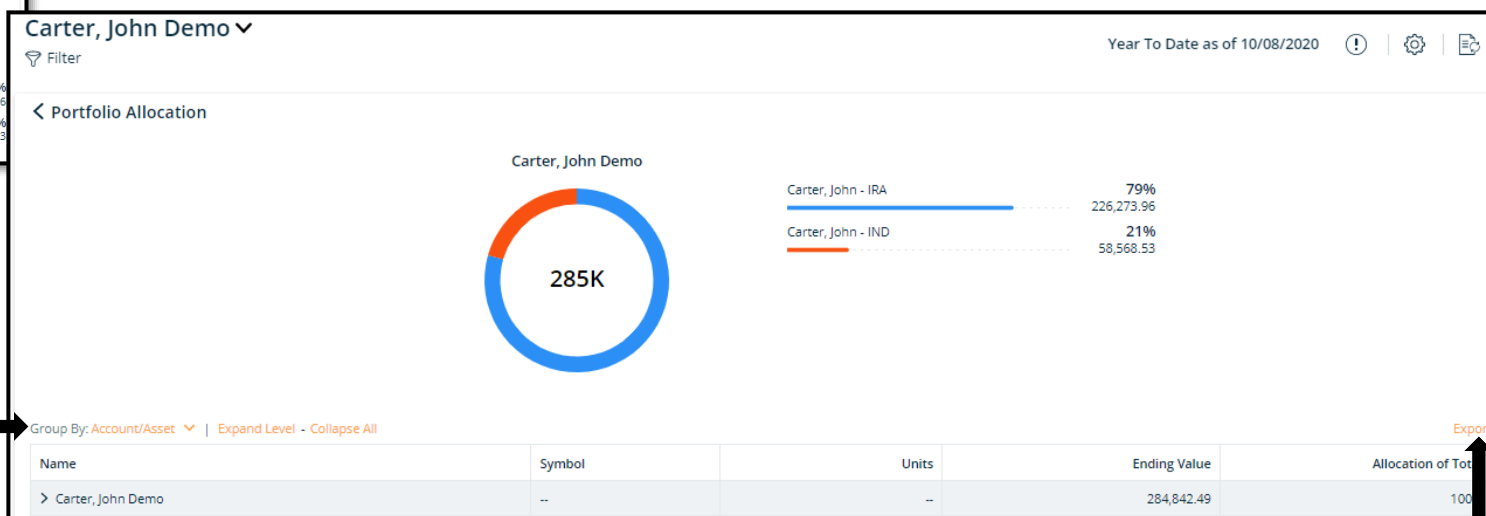
Export feature will format data into an excel file

Portfolio Allocation

Provides a breakout view of assets within the portfolio

- "Account Allocation: provides a break out of the assets per each account within a portfolio of multiple accounts
- "Class Allocation": provides a break out of the Equity asset allocation and the Fixed Income asset allocation
  - Can be viewed at the portfolio or account level
  - Underneath the "Class" level, you can view the different segments on the "Equity" side and the "Fixed Income"
  - Underneath each segment, you can view the underlying assets





Toggle between Account Allocation breakout or Asset Class Allocation breakout

Expand data to the individual asset level or collapse data into groups as needed

Export feature will format the data into an excel file

Transactions

Displays all transactions made in your portfolio

Transactions >

Date	Type - Symbol	Amount
10/07/20	Dividend - EMB	2.20
10/07/20	Dividend - SCHP	4.80
10/07/20	Dividend - EMB	7.32
10/07/20	Dividend - SC[illegible]	[illegible]9.18
10/06/20	Management [illegible]	[illegible]
10/06/20	Management [illegible]	[illegible]3.71)
09/30/20	Dividend - VTI	[illegible]0.89
09/30/20	Dividend - PFORX	13.68
09/30/20	Dividend - FIHBX	37.80
09/30/20	Dividend - VTI	20.90

Filter by account

Toggle newest/oldest dates within date range

Toggle the time frame or information "as of date" (default is year-to-date)

Carter, John Demo

Filter

Year To Date as of 10/09/2020

< Transactions

Filters | Export

Date	Account Name	Type	Asset Name	Symbol	Units	Price	Amount
10/07/20	Carter, John - IND	Dividend	ISHARES J.P. MORGAN USD EMERGING MARKETS BOND ETF	EMB	2.20	1.00	2.20
10/07/20	Carter, John - IND	Dividend	SCHWAB U.S. TIPS ETF	SCHP	4.80	1.00	4.80
10/07/20	Carter, John - IRA	Dividend	ISHARES J.P. MORGAN USD EMERGING MARKETS BOND ETF	EMB	7.32	1.00	7.32
10/07/20	Carter, John - IRA	Dividend	SCHWAB U.S. TIPS ETF	SCHP	19.18	1.00	19.18
10/06/20	Carter, John - IRA	Management Fee	CASH	CASH	420.00	1.00	(420.00)
10/06/20	Carter, John - IND	Management Fee	CASH	CASH	108.71	1.00	(108.71)
09/30/20	Carter, John - IRA	Dividend	VANGUARD TOTAL STOCK MARKET INDEX FUND	VTI	80.89	1.00	80.89
09/30/20	Carter, John - IRA	Dividend	PIMCO INTERNATIONAL BOND FUND (U.S. DOLLAR-HEDGED) INSTITUTIONAL CLASS	PFORX	13.68	1.00	13.68
09/30/20	Carter, John - IRA	Dividend	FEDERATED INSTI HIGH YIELD BD FD INSTI SHS	FIHBX	37.80	1.00	37.80
09/30/20	Carter, John - IND	Dividend	VANGUARD TOTAL STOCK MARKET INDEX FUND	VTI	20.90	1.00	20.90
09/30/20	Carter, John - IND	Dividend	PIMCO INTERNATIONAL BOND FUND (U.S. DOLLAR-HEDGED) INSTITUTIONAL CLASS	PFORX	3.54	1.00	3.54
09/30/20	Carter, John - IND	Dividend	FEDERATED INSTI HIGH YIELD BD FD INSTI SHS	FIHBX	9.76	1.00	9.76
09/29/20	Carter, John - IND	Dividend	ISHARES U.S. MEDICAL DEVICES ETF	IHI	0.79	1.00	0.79
09/29/20	Carter, John - IND	Dividend	ISHARES MSCI USA MIN VOL FACTOR ETF	USMV	5.95	1.00	5.95
09/29/20	Carter, John - IRA	Dividend	ISHARES U.S. MEDICAL DEVICES ETF	IHI	2.96	1.00	2.96
09/29/20	Carter, John - IRA	Dividend	ISHARES MSCI USA MIN VOL FACTOR ETF	USMV	22.25	1.00	22.25
09/25/20	Carter, John - IRA	Dividend	BAIRD FDS INC SHRT TRM BD I	BSBIX	14.57	1.00	14.57

Filter which types of transactions you would like to view or sort by

Shows transactions including buys, sells, contributions, withdrawals, account transfers, capital gains, income, expenses and management fees for your portfolio.

Gain/Loss

Displays the unrealized and realized gains or losses of your portfolio

Gain/Loss >

Unrealized		Realized		Total
19K	+	5.0K	=	24K

Unrealized Gain/Loss	**19,173.05**
% UGL	7.18%
Short-Term	6,536.93
Long-Term	12,636.12
Realized Gain/Loss	**4,967.19**
% RGL	2.58%
Short-Term	3,682.63
Long-Term	1,284.56

Breakout of total gains/losses between realized and unrealized, as well short-term (less than a year) and long-term (held for at least a year)

Toggle the time frame or information "as of date" (default is year-to-date)

Carter, John Demo

Filter

Year To Date as of 10/09/2020

< Gain/Loss

Group By: Account/Asset | Expand Level - Collapse All

Export

Name	Symbol	Open Date	Current Units	Cost Basis	Price	Accrual	Ending Value	Unrealized ST	Unrealized LT
Carter, John Demo	--	08/29/2019	--	266,943.27	--	0.00	286,116.32	6,536.93	12,636.12
> Carter, John - IND	--	08/29/2019	--	54,889.13	--	0.00	58,833.17	1,308.88	2,635.16
> Carter, John - IRA	--	08/29/2019	--	212,054.14	--	0.00	227,283.15	5,228.05	10,000.96

Toggle between Account/Asset Class/Asset to view & expand gain/losses at different levels

May need to use the scroll bar to see all the information

Export feature will format the data into an excel file

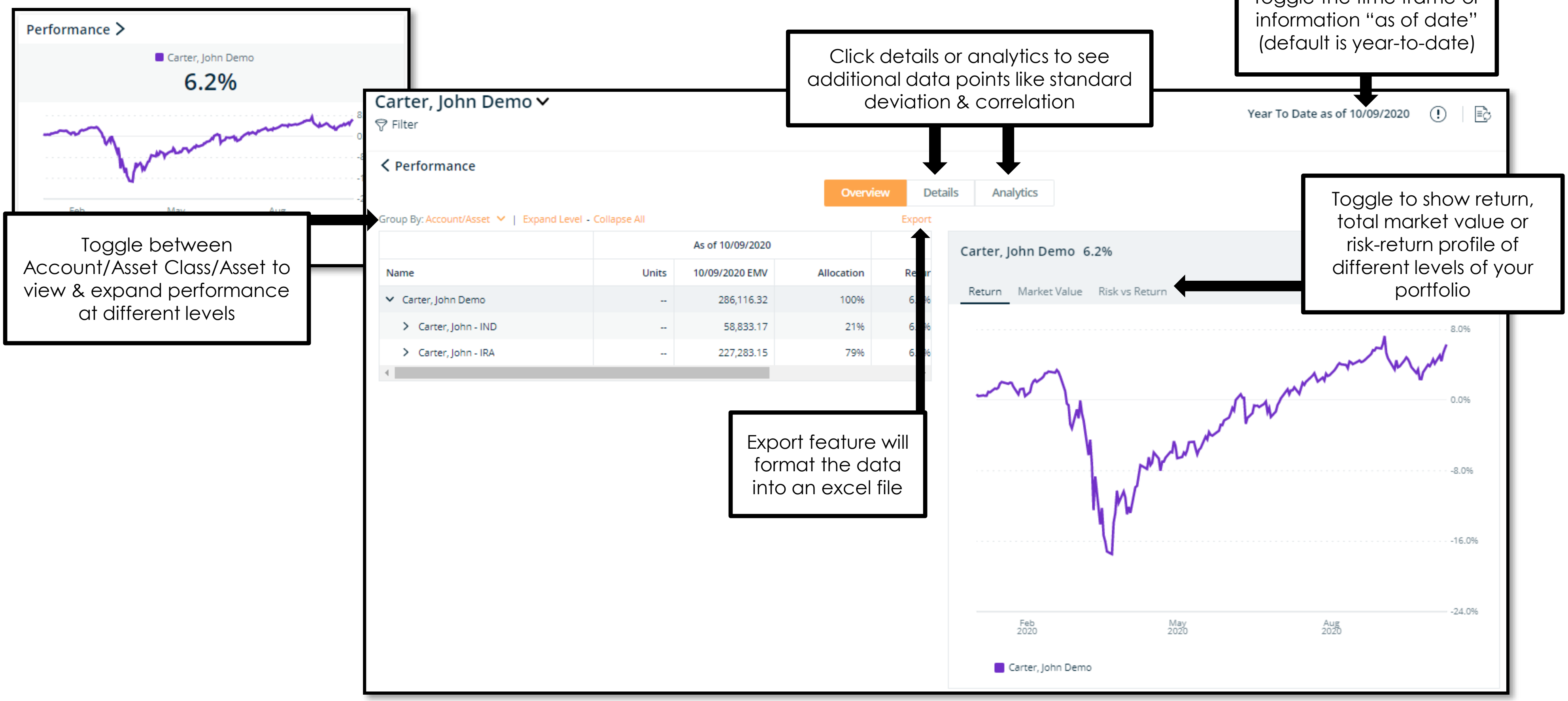
Performance

Displays the "time-weighted return" of your portfolio

– Performance returns shown display "time-weighted return"

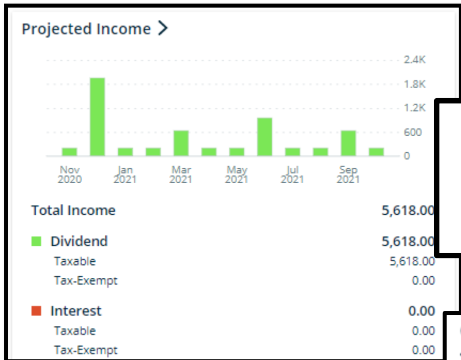
– All account returns and portfolio returns are shown net of advisory fees

– Advisory fees are included in the gain/loss calculation at the account level and portfolio level



Projected Income

Provides a breakout of the expected income to be produced in your portfolio



Toggle projected time frame between "next 12 months" or "remainder of the year"

Chart provides a breakout of income on a monthly basis

Toggle the time frame or information "as of date" (default is year-to-date)

Provides a breakout of income streams by dividend income and interest income

Provides a breakout taxable and tax-exempt income

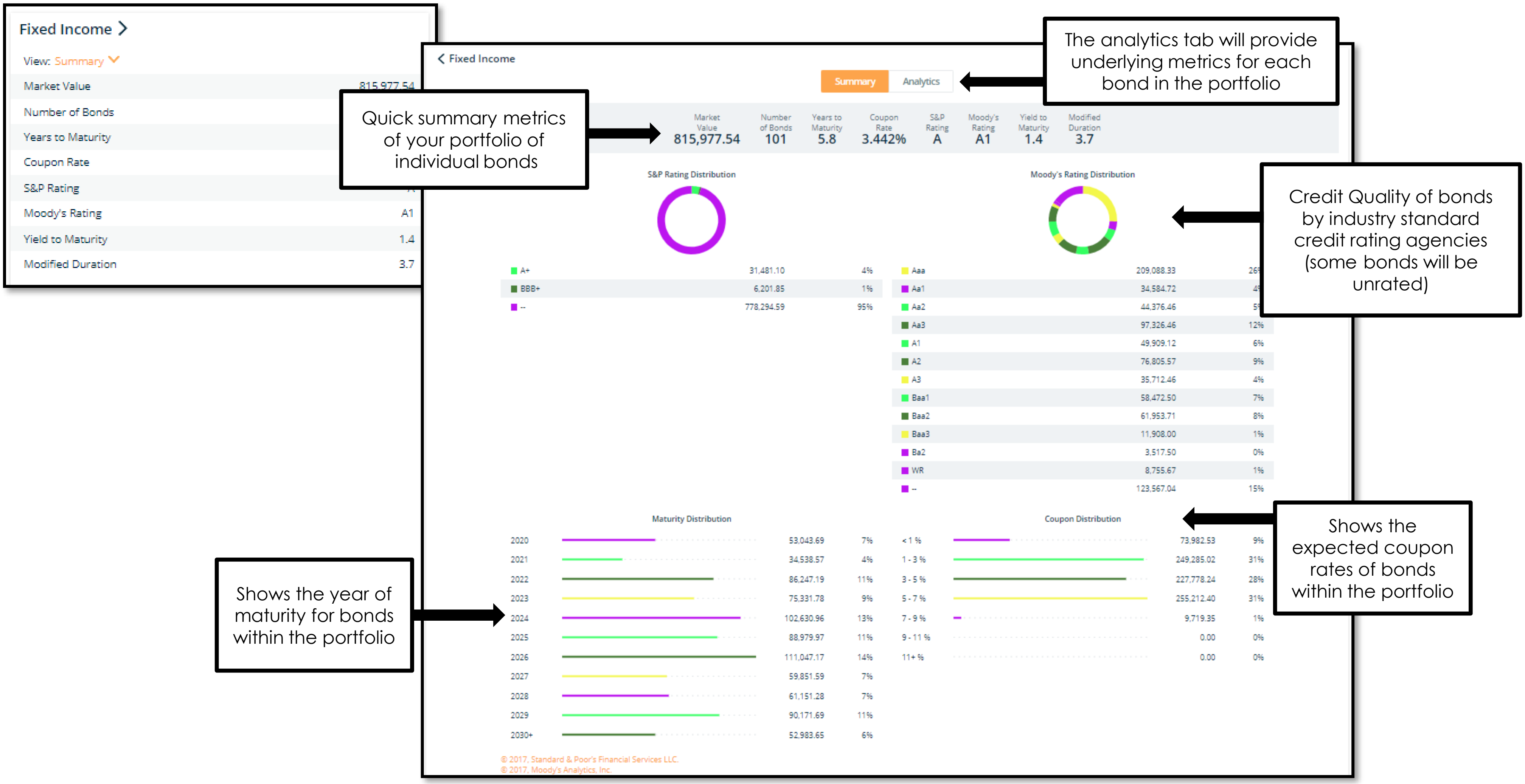
Table provides a breakout of income on a monthly basis.

Projected income can be viewed at the account level, asset class level and expanded to the asset level.

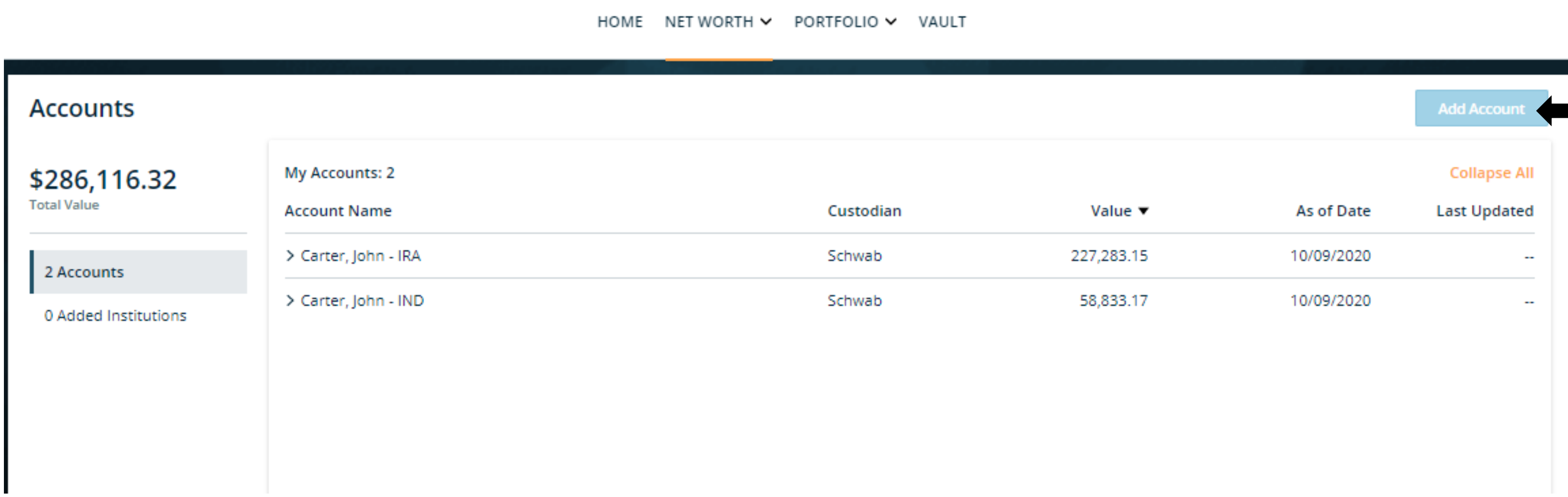
Fixed Income

Provides various data points on individual bonds held within your portfolio

– Please note that if your portfolio does not hold any individual bonds the tile will display ‘No Data Available’



Net Worth Page & Adding Outside Accounts



Add accounts at other institutions.

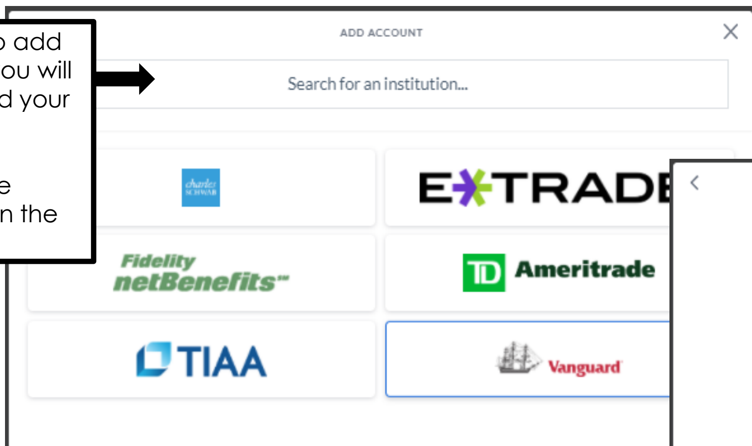
This includes, but is not limited to, outside investment accounts, bank accounts, and mortgages.

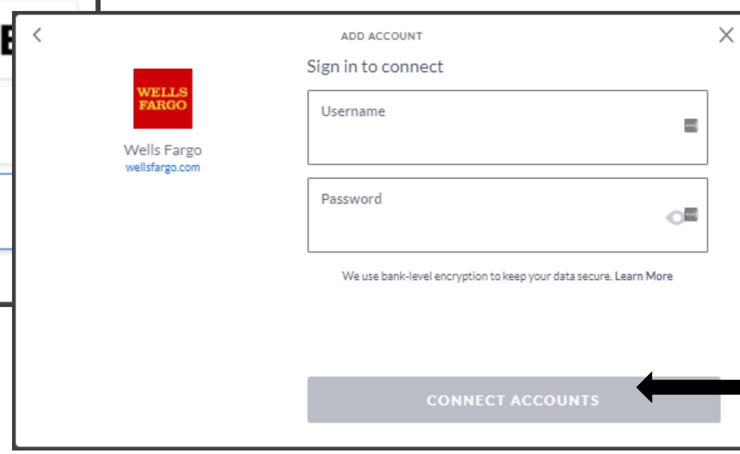
Please note that these accounts do need to have live feeds.

They cannot be manual entered positions.

If you do decide to add outside accounts, you will be prompted to find your institution.

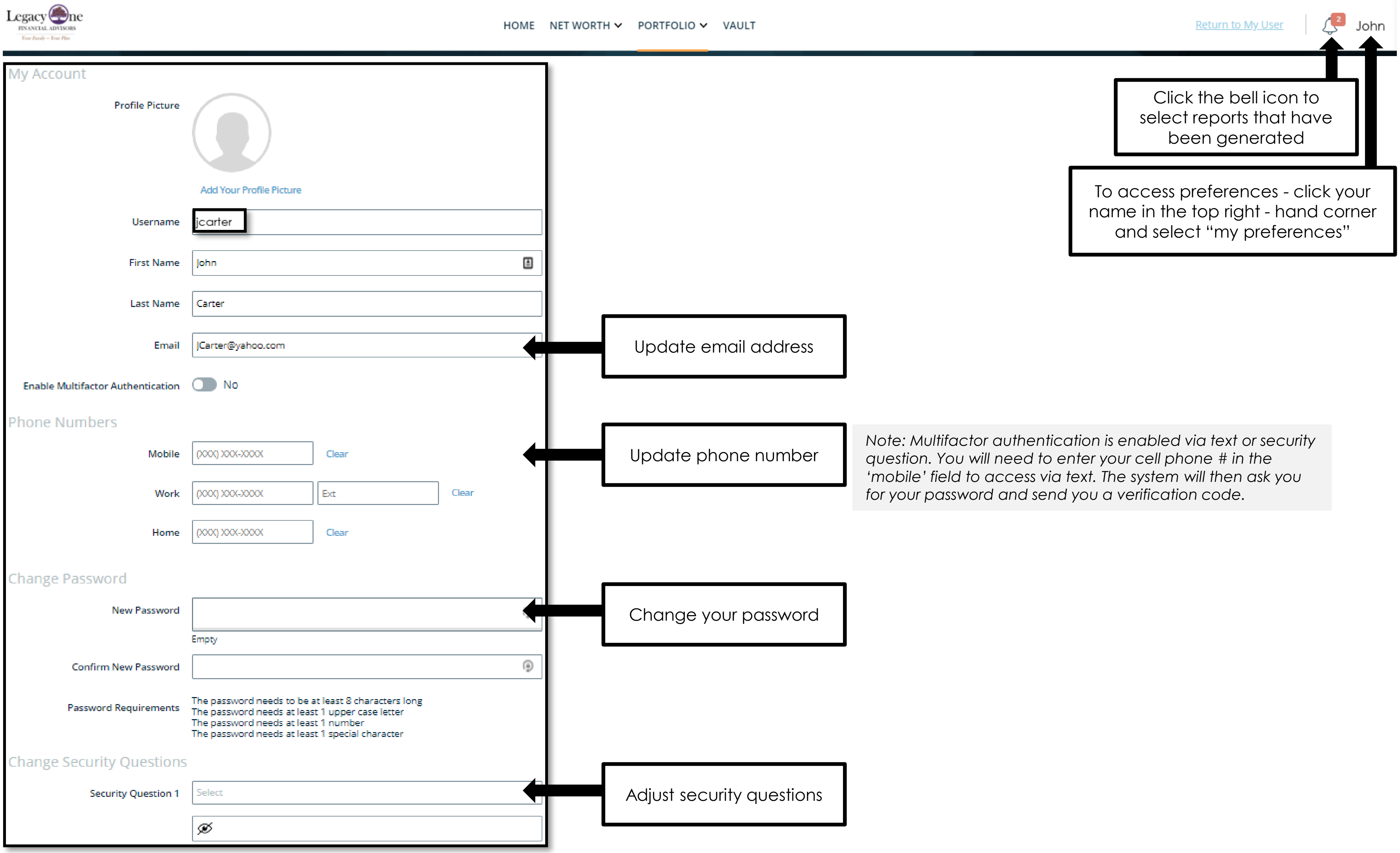
Simply type the institution's name in the search bar.





Once you find your institution, you will need to enter your credentials for your online accounts with that institution. Please keep in mind, you need to have an online client portal with the other institution to add accounts.

Preferences



Click the bell icon to select reports that have been generated

To access preferences - click your name in the top right - hand corner and select “my preferences”

Update email address

Update phone number

Note: Multifactor authentication is enabled via text or security question. You will need to enter your cell phone # in the ‘mobile’ field to access via text. The system will then ask you for your password and send you a verification code.

Change your password

Adjust security questions