

## Legacy One Client Portal

Overview of Information



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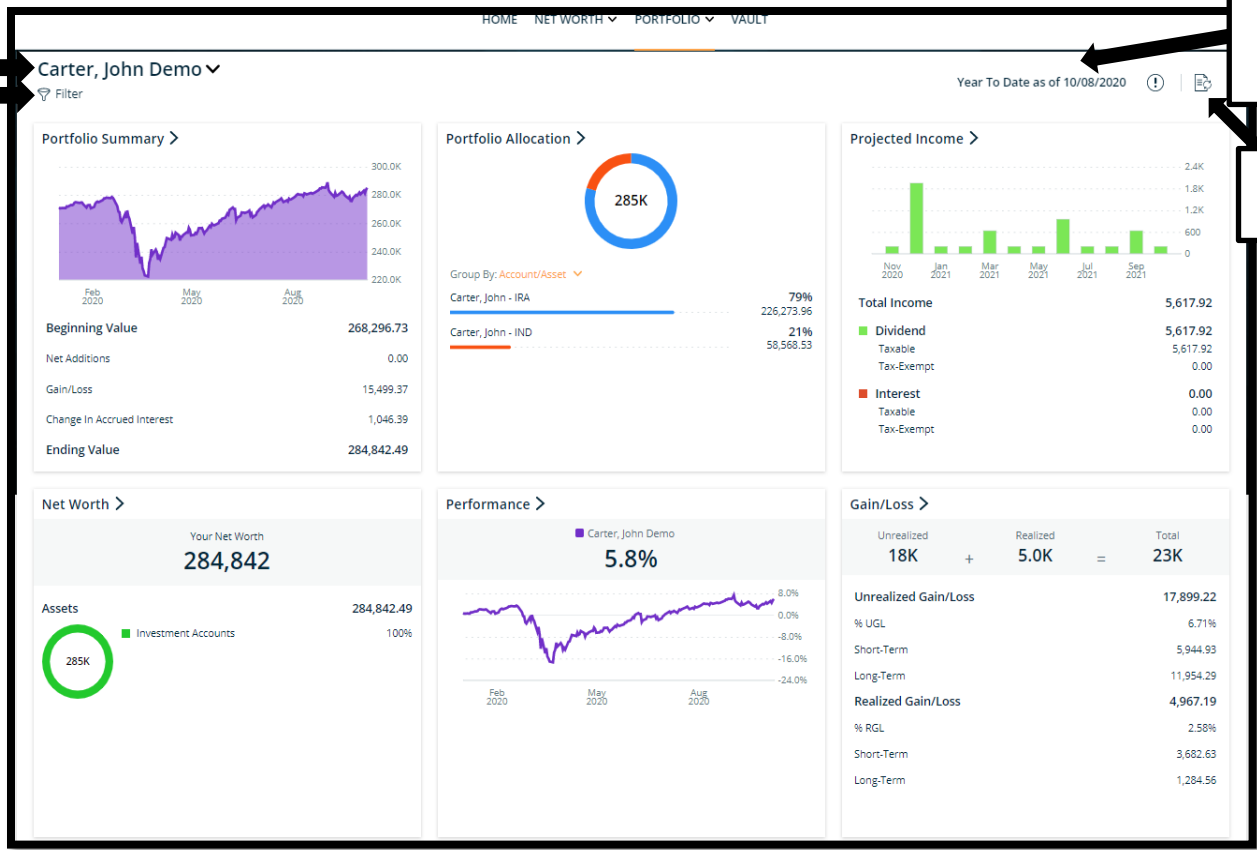
# Portfolio Dashboard

## A “snapshot” view with data tiles highlighting different aspects of your portfolio

- We will look more closely at each tile in the following pages.
- Each tile provides a snapshot of information. For additional detail on any of the tiles, click the file title.

Toggle between portfolios by clicking the portfolio name in the top left-hand corner.

You may also filter specific accounts within the portfolio by using the filter icon.



Toggle the time frame or information "as of date" (default is year-to-date)

Generate reports

Note: some data tiles may display 'No Data Available', which means the data feed is not applicable to your portfolio.

# Portfolio Summary

Provides an overview of activity in the portfolio



Toggle the time frame or information "as of date" (default is year-to-date)

Chart shows the market value of the account during the selected time frame

Shows all contributions, withdrawals, realized gains (or losses), income, and advisory fees for a given period

Carter, John Demo Filter

Year To Date as of 10/08/2020 ! ⚙️ 📄

< Portfolio Summary Collapse Chart

Beginning Value	268,296.73
Net Additions	0.00
Contributions	0.00
Withdrawals	0.00
Gain/Loss	15,499.37
Market RGL	1,512.61
Market UGL	13,531.65
Income	2,435.13
Fees	(1,980.02)
Change In Accrued Interest	1,046.39
Ending Value	284,842.49

View: Account Summary ⌵ Export

Name	Beginning Value	Contributions	Withdrawals	Market Change	Income	Fees	Ending Value
▼ Carter, John Demo	268,296.73	0.00	0.00	15,044.26	2,435.13	(1,980.02)	284,842.49
Flow between accounts	--	0.00	0.00	--	--	--	--
Carter, John - IND	55,220.90	0.00	0.00	3,039.94	499.91	(407.45)	58,568.50
Carter, John - IND	213,075.83	0.00	0.00	12,004.32	1,935.22	(1,572.57)	226,273.80

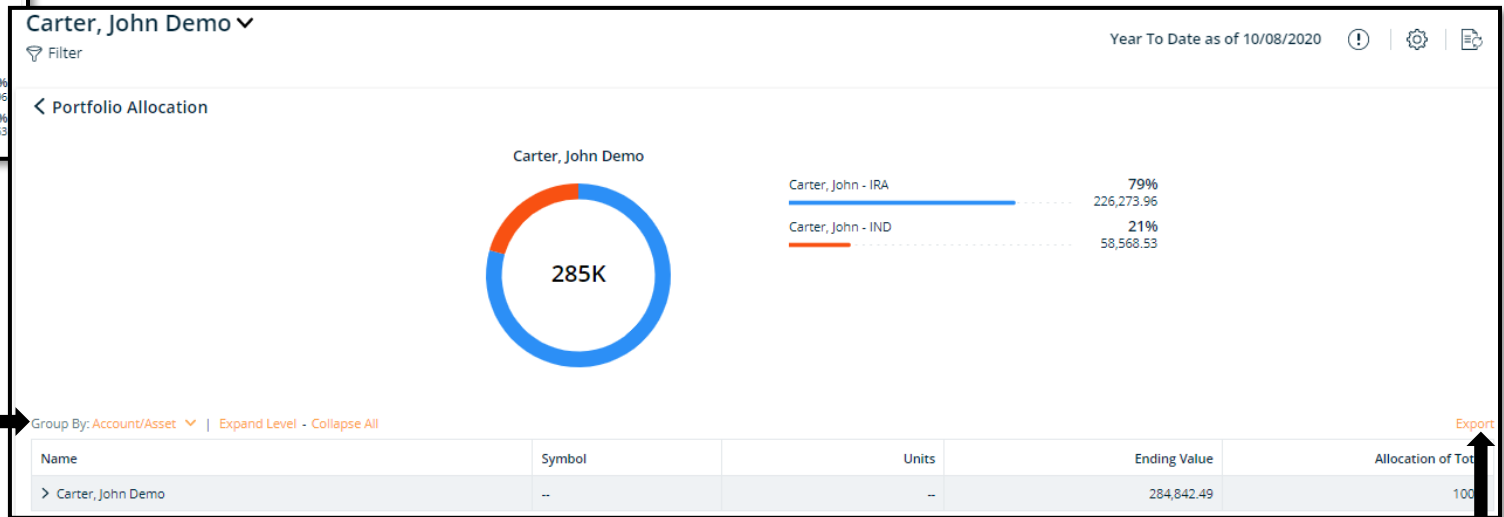
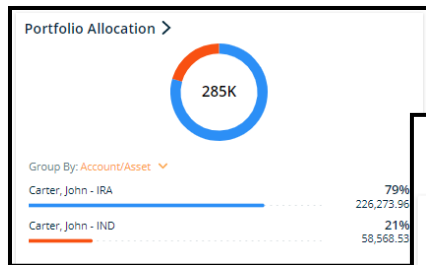
Toggle between accounts or transactions in the table

Export feature will format data into an excel file

# Portfolio Allocation

## Provides a breakout view of assets within the portfolio

- "Account Allocation: provides a break out of the assets per each account within a portfolio of multiple accounts
- "Class Allocation": provides a break out of the Equity asset allocation and the Fixed Income asset allocation
  - Can be viewed at the portfolio or account level
  - Underneath the "Class" level, you can view the different segments on the "Equity" side and the "Fixed Income"
  - Underneath each segment, you can view the underlying assets



Toggle between Account Allocation breakout or Asset Class Allocation breakout

Expand data to the individual asset level or collapse data into groups as needed

Export feature will format the data into an excel file

# Transactions

Displays all transactions made in your portfolio

**Transactions >**

Date	Type - Symbol	Amount
10/07/20	Dividend - <a href="#">EMB</a>	2.20
10/07/20	Dividend - <a href="#">SCHP</a>	4.80
10/07/20	Dividend - <a href="#">EMB</a>	7.32
10/07/20	Dividend - <a href="#">SCHP</a>	19.18
10/06/20	Management	420.00
10/06/20	Management	108.71
09/30/20	Dividend - <a href="#">VTI</a>	80.89
09/30/20	Dividend - <a href="#">PFORX</a>	13.68
09/30/20	Dividend - <a href="#">FIH BX</a>	37.80
09/30/20	Dividend - <a href="#">VTI</a>	20.90

**Carter, John Demo** Year To Date as of 10/09/2020

Filter

**Transactions**

Date	Account Name	Type	Asset Name	Symbol	Units	Price	Amount
10/07/20	Carter, John - IND	Dividend	ISHARES J.P. MORGAN USD EMERGING MARKETS BOND ETF	<a href="#">EMB</a>	2.20	1.00	2.20
10/07/20	Carter, John - IND	Dividend	SCHWAB U.S. TIPS ETF	<a href="#">SCHP</a>	4.80	1.00	4.80
10/07/20	Carter, John - IRA	Dividend	ISHARES J.P. MORGAN USD EMERGING MARKETS BOND ETF	<a href="#">EMB</a>	7.32	1.00	7.32
10/07/20	Carter, John - IRA	Dividend	SCHWAB U.S. TIPS ETF	<a href="#">SCHP</a>	19.18	1.00	19.18
10/06/20	Carter, John - IRA	Management Fee	CASH	CASH	420.00	1.00	(420.00)
10/06/20	Carter, John - IND	Management Fee	CASH	CASH	108.71	1.00	(108.71)
09/30/20	Carter, John - IRA	Dividend	VANGUARD TOTAL STOCK MARKET INDEX FUND	<a href="#">VTI</a>	80.89	1.00	80.89
09/30/20	Carter, John - IRA	Dividend	PIMCO INTERNATIONAL BOND FUND (U.S. DOLLAR-HEDGED) INSTITUTIONAL CLASS	<a href="#">PFORX</a>	13.68	1.00	13.68
09/30/20	Carter, John - IRA	Dividend	FEDERATED INSTI HIGH YIELD BD FD INSTI SHS	<a href="#">FIH BX</a>	37.80	1.00	37.80
09/30/20	Carter, John - IND	Dividend	VANGUARD TOTAL STOCK MARKET INDEX FUND	<a href="#">VTI</a>	20.90	1.00	20.90
09/30/20	Carter, John - IND	Dividend	PIMCO INTERNATIONAL BOND FUND (U.S. DOLLAR-HEDGED) INSTITUTIONAL CLASS	<a href="#">PFORX</a>	3.54	1.00	3.54
09/30/20	Carter, John - IND	Dividend	FEDERATED INSTI HIGH YIELD BD FD INSTI SHS	<a href="#">FIH BX</a>	9.76	1.00	9.76
09/29/20	Carter, John - IND	Dividend	ISHARES U.S. MEDICAL DEVICES ETF	<a href="#">IHI</a>	0.79	1.00	0.79
09/29/20	Carter, John - IND	Dividend	ISHARES MSCI USA MIN VOL FACTOR ETF	<a href="#">USMV</a>	5.95	1.00	5.95
09/29/20	Carter, John - IRA	Dividend	ISHARES U.S. MEDICAL DEVICES ETF	<a href="#">IHI</a>	2.96	1.00	2.96
09/29/20	Carter, John - IRA	Dividend	ISHARES MSCI USA MIN VOL FACTOR ETF	<a href="#">USMV</a>	22.25	1.00	22.25
09/25/20	Carter, John - IRA	Dividend	BAIRD FDS INC SHRT TRM BD I	<a href="#">BSBIX</a>	14.57	1.00	14.57

Filter by account

Toggle newest/oldest dates within date range

Toggle the time frame or information "as of date" (default is year-to-date)

Filter which types of transactions you would like to view or sort by

Shows transactions including buys, sells, contributions, withdrawals, account transfers, capital gains, income, expenses and management fees for your portfolio.

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# Gain/Loss

Displays the unrealized and realized gains or losses of your portfolio

Gain/Loss >

Unrealized	Realized	Total
19K	5.0K	24K

Unrealized Gain/Loss	19,173.05
% UGL	7.18%
Short-Term	6,536.93
Long-Term	12,636.12
Realized Gain/Loss	4,967.19
% RGL	2.58%
Short-Term	3,682.63
Long-Term	1,284.56

Breakout of total gains/losses between realized and unrealized, as well short-term (less than a year) and long-term (held for at least a year)

Toggle the time frame or information "as of date" (default is year-to-date)

Toggle between Account/Asset Class/Asset to view & expand gain/losses at different levels

Carter, John Demo

Filter

Year To Date as of 10/09/2020

< Gain/Loss

Group By: Account/Asset | Expand Level - Collapse All

Name	Symbol	Open Date	Current Units	Cost Basis	Price	Accrual	Ending Value	Unrealized ST	Unrealized LT
<ul style="list-style-type: none"> <li>Carter, John Demo                             <ul style="list-style-type: none"> <li>Carter, John - IND</li> <li>Carter, John - IRA</li> </ul> </li> </ul>	--	08/29/2019	--	266,943.27	--	0.00	286,116.32	6,536.93	12,636.12
	--	08/29/2019	--	54,889.13	--	0.00	58,833.17	1,308.88	2,635.16
	--	08/29/2019	--	212,054.14	--	0.00	227,283.15	5,228.05	10,000.96

Export

May need to use the scroll bar to see all the information

Export feature will format the data into an excel file

# Performance

## Displays the “time-weighted return” of your portfolio

- Performance returns shown display “time-weighted return”
- All account returns and portfolio returns are shown net of advisory fees
- Advisory fees are included in the gain/loss calculation at the account level and portfolio level

The screenshot shows a performance dashboard for 'Carter, John Demo' with a 6.2% return. It includes a line chart, a table of assets, and navigation options. Callout boxes provide the following information:

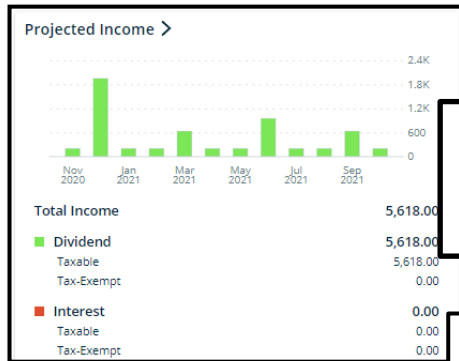
- Toggle between Account/Asset Class/Asset to view & expand performance at different levels:** Points to the 'Group By: Account/Asset' dropdown.
- Click details or analytics to see additional data points like standard deviation & correlation:** Points to the 'Details' and 'Analytics' tabs.
- Toggle the time frame or information “as of date” (default is year-to-date):** Points to the 'Year To Date as of 10/09/2020' text.
- Toggle to show return, total market value or risk-return profile of different levels of your portfolio:** Points to the 'Return', 'Market Value', and 'Risk vs Return' tabs.
- Export feature will format the data into an excel file:** Points to the 'Export' button.

As of 10/09/2020				
Name	Units	10/09/2020 EMV	Allocation	Return
▼ Carter, John Demo	--	286,116.32	100%	6.2%
> Carter, John - IND	--	58,833.17	21%	6.2%
> Carter, John - IRA	--	227,283.15	79%	6.2%



# Projected Income

**Provides a breakout of the expected income to be produced in your portfolio**



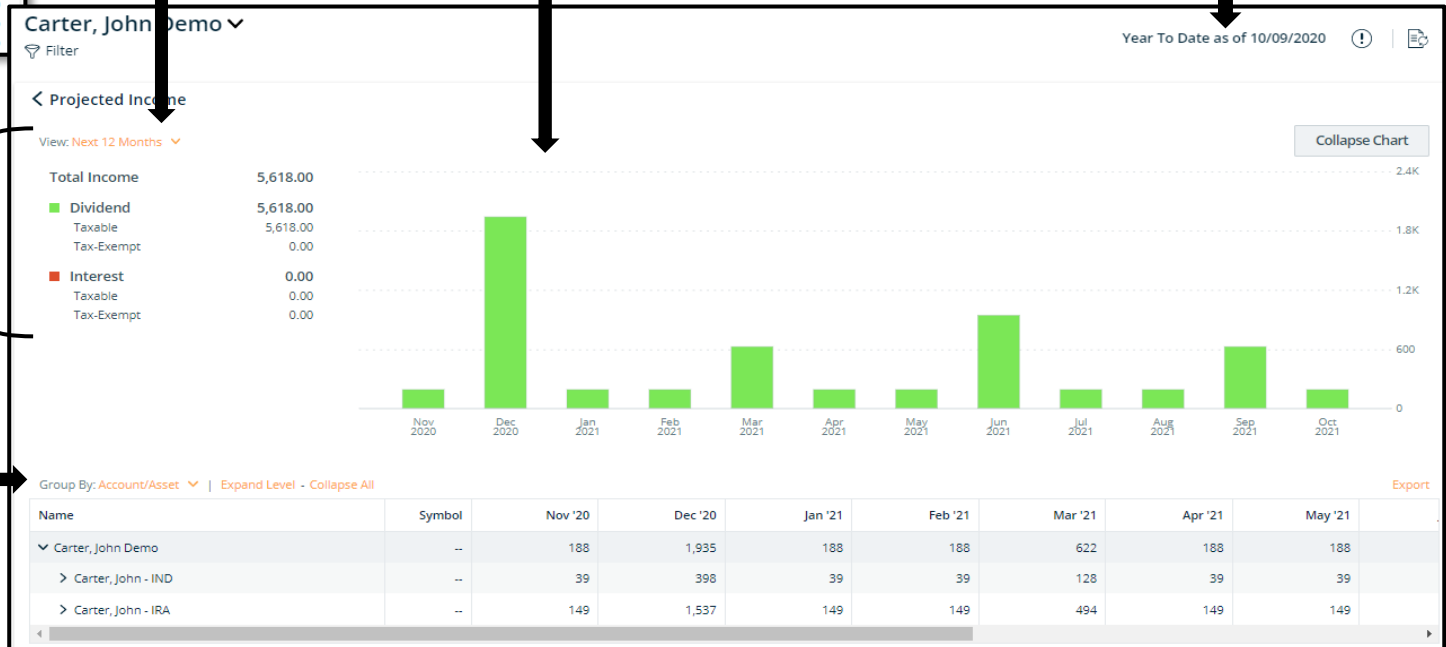
Toggle projected time frame between "next 12 months" or "remainder of the year"

Chart provides a breakout of income on a monthly basis

Toggle the time frame or information "as of date" (default is year-to-date)

Provides a breakout of income streams by dividend income and interest income  
Provides a breakout taxable and tax-exempt income

Table provides a breakout of income on a monthly basis.  
Projected income can be viewed at the account level, asset class level and expanded to the asset level.



# Fixed Income

## Provides various data points on individual bonds held within your portfolio

– Please note that if your portfolio does not hold any individual bonds the file will display 'No Data Available'

**Fixed Income >**  
View: Summary

Market Value: 815,977.54

Number of Bonds: 101

Years to Maturity: 5.8

Coupon Rate: 3.442%

S&P Rating: A

Moody's Rating: A1

Yield to Maturity: 1.4

Modified Duration: 3.7

Summary | Analytics

Quick summary metrics of your portfolio of individual bonds

The analytics tab will provide underlying metrics for each bond in the portfolio

Credit Quality of bonds by industry standard credit rating agencies (some bonds will be unrated)

Shows the year of maturity for bonds within the portfolio

Shows the expected coupon rates of bonds within the portfolio

Rating	Market Value	Percentage
A+	31,481.10	4%
BBB+	6,201.85	1%
--	778,294.59	95%

Rating	Market Value	Percentage
Aaa	209,088.33	26%
Aa1	34,584.72	4%
Aa2	44,376.46	5%
Aa3	97,326.46	12%
A1	49,909.12	6%
A2	76,805.57	9%
A3	35,712.46	4%
Baa1	58,472.50	7%
Baa2	61,953.71	8%
Baa3	11,908.00	1%
Ba2	3,517.50	0%
WR	8,755.67	1%
--	123,567.04	15%

Year	Market Value	Percentage
2020	53,043.69	7%
2021	34,538.57	4%
2022	86,247.19	11%
2023	75,331.78	9%
2024	102,630.96	13%
2025	88,979.97	11%
2026	111,047.17	14%
2027	59,851.59	7%
2028	61,151.28	7%
2029	90,171.69	11%
2030+	52,983.65	6%

Coupon Rate	Market Value	Percentage
< 1%	73,982.53	9%
1 - 3%	249,285.02	31%
3 - 5%	227,778.24	28%
5 - 7%	255,212.40	31%
7 - 9%	9,719.35	1%
9 - 11%	0.00	0%
11+%	0.00	0%

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# Net Worth Page & Adding Outside Accounts

HOME NET WORTH ▼ PORTFOLIO ▼ VAULT

**Accounts**

**\$286,116.32**  
Total Value

2 Accounts  
0 Added Institutions

My Accounts: 2 Collapse All

Account Name	Custodian	Value ▼	As of Date	Last Updated
> Carter, John - IRA	Schwab	227,283.15	10/09/2020	--
> Carter, John - IND	Schwab	58,833.17	10/09/2020	--

[Add Account](#)

Add accounts at other institutions.

This includes, but is not limited to, outside investment accounts, bank accounts, and mortgages.

Please note that these accounts do need to have live feeds.



They cannot be manual entered positions.



If you do decide to add outside accounts, you will be prompted to find your institution.



Simply type the institution's name in the search bar.

ADD ACCOUNT

Search for an institution...

ADD ACCOUNT

Sign in to connect

WELLS FARGO  
Wells Fargo  
wellsfargo.com

Username

Password


We use bank-level encryption to keep your data secure. [Learn More](#)

[CONNECT ACCOUNTS](#)

Once you find your institution, you will need to enter your credentials for your online accounts with that institution. Please keep in mind, you need to have an online client portal with the other institution to add accounts.

# Preferences

### My Account

Profile Picture   
[Add Your Profile Picture](#)

Username

First Name

Last Name

Email  ← Update email address

Enable Multifactor Authentication  No

### Phone Numbers

Mobile  [Clear](#) ← Update phone number

Work  Ext.  [Clear](#)

Home  [Clear](#)

### Change Password

New Password  ← Change your password  
Empty

Confirm New Password

Password Requirements  
The password needs to be at least 8 characters long  
The password needs at least 1 upper case letter  
The password needs at least 1 number  
The password needs at least 1 special character

### Change Security Questions

Security Question 1  ← Adjust security questions

Click the bell icon to select reports that have been generated

To access preferences - click your name in the top right - hand corner and select "my preferences"

Note: Multifactor authentication is enabled via text or security question. You will need to enter your cell phone # in the 'mobile' field to access via text. The system will then ask you for your password and send you a verification code.