



*Registered  
Investment  
Advisor*

Financial Planning • Employee Benefits • Wealth Management

## **Matson Financial Advisors, Inc. (MFA) Privacy Policy**

MFA has adopted this privacy policy recognizing our important responsibility to protect the privacy and security of the personal information we obtain about our clients and potential clients. We also know that our clients expect us to service them in an accurate and efficient manner. To do so, we are required to collect and maintain personal information. We want our clients to know what information we collect and how we use and safeguard this information.

### What Information We Collect

We collect certain nonpublic personal identifying information about our clients such as their:

- name,
- address,
- social security number,
- email address,
- phone number,
- date of birth,
- brokerage accounts and transactions (such as registrations, purchases, sales, account balances, inquiries, etc.).

from information they provide on applications or other forms as well as communications (electronic, telephone, written or in person) with them or their authorized representatives (such as their attorney, accountant, etc.).

### What Information We May Disclose

We do not disclose nonpublic personal information we collect about our clients to anyone except:

- in furtherance of our business relationship with them and then only to those persons necessary to effect the transactions and provide the services that they authorize (such as broker-dealers, custodians, independent managers, etc.) or as otherwise provided by law.
- We are permitted by law to disclose the nonpublic personal information about our clients to other third parties in certain circumstances (such as third parties that perform administrative services on our behalf). These third parties are prohibited to use or share the information for any other purpose. If our clients decide at some point to become an inactive client, we will continue to adhere to our privacy policy, as may be amended from time to time.

### Security of our Clients' Information

We restrict access to our clients' nonpublic personal information to only those employees who need this information to service their account. We maintain physical, electronic and procedural safeguards that comply with applicable federal and state standards to protect nonpublic personal information.

### Changes to our Privacy Policy or Relationship with our Clients

Our policy about obtaining and disclosing information may change from time to time. We will provide our clients with notice of any material change to this policy before we implement the change. Clients may request a copy of the current Matson Financial Advisors, Inc. Privacy Policy by contacting Sue Slater at (203) 743-0131 or [sslater@matsonfinancialadvisors.com](mailto:sslater@matsonfinancialadvisors.com).

Matson Financial Advisors, Inc. Privacy Policy Amended and Approved March 2020

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