

Leap Wealth Management, LLC Privacy Notice May 2020

Leap Wealth Management has policies and procedures in place to safeguard the personal information of its current and former clients and their families, businesses or other entities. The Privacy policies were adopted to comply with the US Securities and Exchange Commission's Regulation S-P (Privacy of Consumer Financial Information). Below we explain how Leap Wealth Management collects, uses, retains, discloses and secures personal information about our clients.

Information We Gather

Since the mission of Leap Wealth Management is providing our customers with investment management services, we collect and store detailed personal information about our clients. The types of information we collect include, but are not limited to, names, addresses, phone numbers, social security numbers, personal financial information (e.g. income, tax status, net worth, personal assets, insurance coverage, estate planning documents, etc.) and a wealth of other personal and family information. This information is derived from the following sources:

- client information forms, portfolio appraisals, financial account statements, gain/loss ledgers, income tax returns, personal financial records, financial planning documents and estate planning documents provided to us by you or your trusted advisors;
- interviews with you and members of your family and/or management team, interviews or discussions with various trusted advisors including, but not limited to, your tax preparation professional, attorney, financial planner, insurance advisor, estate planning professional, broker or other trusted advisors;
- information about transactions which we execute on your behalf; and
- information received from you, your family or your trusted advisors in written, telephonic or electronic form.

Our Customer Privacy Policy

Leap Wealth Management protects clients' personal information by maintaining physical, electronic and procedural safeguards that meet applicable law. We do not sell, share or divulge confidential information pertaining to our clients to any unaffiliated third party except as outlined in the three categories below. We do not share client information with affiliated entities for marketing purposes.

Disclosure of Information Required to Conduct Business

In the normal course of business acting as a fiduciary on behalf of our clients, we must share or disclose some data about our clients to custodial organizations (who usually also possess detailed personal information about you as their client), brokerage firms who may be selected to execute transactions on behalf of our clients, clearing agent firms and law firms pursuing shareholder class action lawsuits. All of these organizations have their own privacy and customer confidentiality obligations and policies, and many are subject to the SEC's Regulations S-P and Regulation S-AM (Affiliate Marketing). It is important for you to understand that we would not be able to conduct business on your behalf without disclosing certain limited information regarding you and your account to these unaffiliated third parties.

Disclosure of Information with Your Consent

In the normal course of our business, clients request company associates to provide confidential client information including, but not limited to, gain/loss ledgers, portfolio appraisals, asset cost basis and market value data etc. to accountants, lawyers, financial planners/advisors or brokers. We are happy to comply with such client requests. However, clients must provide written or electronic confirmation or authorization before the information can be released by Leap Wealth Management.

Confidentiality and Security

Your information's security is important to us. Only those persons who need your information to perform their job have access to it. In addition, we maintain physical, electronic, and procedural security measures. All employees are instructed to protect the confidentiality of your personal information as described in these policies, which are strictly enforced.

Disclosure of Information to Legally Empowered Regulatory Entities

Leap Wealth Management is subject to registration requirements with The Securities and Exchange Commission and state securities regulators. Both Federal and State authorities are empowered to perform certain audit functions to ensure our companies comply with federal, state and local laws governing Registered Investment Advisory Firms. In the course of performing such audit functions, these regulatory authorities may request data and information regarding our clients. We will take all possible steps to protect your information. We could also be required to provide information about you without your consent, as permitted by law, to respond to a subpoena or court order or in connection with proposed or actual sale, merger or transfer of ownership of our businesses.

Former Clients

If you end your relationship with Leap Wealth Management, we will continue to adhere to the policies and procedures described in this notice.

Updating Your Information

To help us keep your customer information up-to-date and accurate, please contact us, at the address below, if there is any change in your personal information.

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Firm Contact: Trey Taylor- Chief Compliance Officer