

**Item 1: Cover Page
Part 2B of Form ADV: Brochure Supplement
January 2020**

Ronald Thompson, Sr., CFP®, ChFC®, JD, MBA



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Okemos, MI 48864
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This brochure supplement provides information about Mr. Thompson that supplements our brochure. You should have received a copy of that brochure. Please contact Chief Compliance Officer, Brittany Culver, if you did not receive Financial Strategies Group, Inc's brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Thompson is available on the SEC's website at www.adviserinfo.sec.gov by searching CRD # 4732299.

Item 2: Educational Background & Business Experience

Ronald Stephen Thompson, Sr., CFP®, ChFC®, JD, MBA

Year of Birth: 1956

Educational Background:

- 1978: University of Michigan, Bachelor of Science in Business
- 1984: Boston University; Master of Business Administration
- 1985: University of Detroit, Mercy School of Law; Juris Doctor

Business Background:

- 08/2017 – Present Financial Strategies Group, Inc; Investment Adviser Representative
- 08/2017 – Present FSG Insurance Agency; Agent
- 05/2015 – Present Thompson Stewart, P.C.; Attorney
- 11/2011 – 08/2017 Eagle Strategies, LLC (dba Financial Strategies Group, Inc); Financial Advisor
- 09/2011 – 08/2017 NYLIFE Securities, LLC; Registered Representative
- 09/2011 – 08/2017 New York Life Insurance Co; Agent
- 08/2011 – Present Rapid Response; Paramedic
- 06/2010 – 05/2015 Law Office of Ron Thompson; Attorney
- 10/2011 – 05/2014 The Financial Strategies Corporation of Michigan; Associate
- 01/2005 – 08/2011 Progressive Real Estate, Inc; Realtor
- 01/2008 – 06/2011 MML Investors Services, Inc; Registered Representative
- 11/2007 – 06/2011 Mass Mutual; Agent
- 01/2007 – 06/2010 Thompson Platte, P.C; Attorney

Exams, Licenses & Other Professional Designations:

- 2008: Chartered Financial Consultant® (ChFC®)
- 2009: Certified Financial Planner, (CFP®)
- 2007: Series 65 Exam
- 2008: Series 7 & 63 Exams
- Insurance Licensed

Certified Financial Planner (CFP®)

The CFP® certification is obtained by completing an advanced college-level course of study addressing the financial planning subject areas that the CFP® Board's studies have determined as necessary for the competent and professional delivery of financial planning services, a comprehensive certification exam and agreeing to be bound by the CFP® board's *Standard of Professional Conduct*. As a prerequisite, the individual must have a Bachelor's degree from a regionally accredited United States college or university (or foreign university equivalent) and have at least 3 years of full time financial planning experience (or equivalent measured at 2,000 hours per year). This designation requires 30 hours of continuing education every 2 years and renewing an agreement to be bound by the *Standards of Professional Conduct*.

Chartered Financial Consultant® (ChFC®)

The ChFC® designation is offered by The American College. Designation holders are required to serve clients with the highest level of professionalism. The authority to use the ChFC® mark is granted by the Certification Committee of the Board of Trustees of The American College, and that privilege is contingent on adherence to strict ethical guidelines. All ChFC® advisors are required to do the same for clients that they would do for themselves in similar circumstances, the standard of ethical behavior most beneficial for their clients. Each ChFC® has taken 9 or more college-level courses on all aspects of financial planning. The average study time for the program is over 400 hours, and advisors frequently spend years earning this coveted distinction. Each ChFC® must also complete a minimum of 30 hours of continuing education every two years and must meet extensive experience requirements to ensure that you get the professional financial advice you need.

Item 3: Disciplinary Information

Mr. Thompson has no history of any legal or disciplinary events that deems to be material to a client's consideration of Ronald Thompson Sr. to act as their investment adviser representative. FINRA's BrokerCheck® may have additional information regarding the disciplinary history of Mr. Thompson that is not included in this brochure supplement.

(<http://brokercheck.finra.org/Support/TermsAndConditions.aspx>).

Item 4: Other Business Activities

Mr. Thompson is a licensed insurance agent. In such capacity, he offers fixed insurance products to clients and receives normal and customary commissions and trails as a result of any purchases of insurance products made by clients. The potential for receipt of commissions and other compensation gives him an incentive to recommend insurance products based on the compensation received, rather than on the client's needs. To address this, disclosure is made to the client at the time purchase is made, identifying the nature of the transaction or relationship, the role to be played and any compensation (e.g., commissions, trails) to be paid by the client and/or received by the insurance agent. He will at all times act in the best interest of his clients and act as a fiduciary in carrying out services to clients. It should be noted that the client is under no obligation to purchase insurance products through Mr. Thompson.

Mr. Thompson is a licensed attorney in the State of Michigan. Legal services are not offered through Financial Strategies Group, Inc. Should a client of our firm require legal services, they will be referred to Thompson Stewart, P.C. or a separate attorney. Financial Strategies Group, Inc. will not receive any additional compensation for these referrals.

Item 5: Additional Compensation

Mr. Thompson has additional business activities where compensation is received as detailed in Item 4 above. This compensation is in addition to the advisory fees.

Item 6: Supervision

Mr. Thompson is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. Supervision is conducted by the Chief Compliance Officer, Brittany Culver, who is responsible for administering the policies and procedures. Brittany Culver reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation. All policies and procedures of the firm are followed. Brittany Culver can be reached at (517) 347-4337.