



ENVISAGE FINANCIAL

PRIVACY POLICY

Envisage Financial, LLC (“EF”) recognizes that its relationships with current and prospective clients are based on integrity and trust. EF works hard to maintain your privacy and to preserve the private nature of its relationship with you. EF places the highest value on the information you share with it. EF will not disclose your personal information to anyone unless it is required by law or at your discretion. EF will not sell your personal information. EF will provide the privacy statement to all clients annually.

EF wants its clients to understand what information it collects, how it uses it, and how it protects its responsibility.

WHY EF COLLECTS YOUR INFORMATION

EF gathers information about you so that it can:

- Help design and implement the planning and investment related services it provides you; and
- Comply with the Federal and State laws and regulations that govern it.

WHAT INFORMATION EF COLLECTS AND MAINTAINS

EF may collect the following types of “nonpublic personal information” about you:

- Information from its initial meeting or subsequent consultations about your identity, such as your name, address, social security number, date of birth, and financial situation.
- Information that it generates to service your financial needs.
- Information that it may receive from third parties with respect to your financial profile.

WHAT INFORMATION EF DISCLOSES

EF is permitted by law to disclose nonpublic information about you to unaffiliated third parties in certain circumstances. For example, in order for EF to provide planning or investment management services to you, it may disclose your personal information in limited circumstances to various service providers, such as its clearing firm.

Otherwise, EF will not disclose any personal information about you or your account(s) unless one of the following conditions is met:

- It receives your prior written consent; or
- It has documentation that the recipient is your authorized representative; or
- It is required by law to disclose information to the recipient

Arrangements with companies not affiliated with EF will be subject to confidentiality agreements.

HOW EF PROTECTS YOUR PERSONAL INFORMATION

Privacy has always been important to EF. EF restricts and limits access to client information only to those who need to carry out their business functions. EF educates employees about safeguarding client information and preventing its unauthorized access, disclosure, or use. Employees are required to acknowledge their acceptance and understanding of the privacy policy in writing. EF maintains physical, electronic, and procedural safeguards to protect your confidential personal information.