

# **BROCHURE SUPPLEMENT**

Andrew Jaffee  
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## **Apollon Wealth Management, LLC**

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**This brochure supplement provides information about Andrew Jaffee that supplements the Apollon Wealth Management, LLC (“Apollon”) Brochure. You should have received a copy of that Brochure. Please contact the Advisor at 843-277-3287 or if you did not receive Apollon’s Brochure or if you have any questions about the contents of this supplement.**

**Additional information about Andrew Jaffee is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

**Advisor Name:** Andrew Jaffee

**Year of Birth:** 1983

### Formal Education After High School:

Name of School	Degree Obtained	Year Start	Year End
College of Charleston	BS, International Business and Spanish	2001	2005

### Business Background:

Name of Company	Position Held	Year Start	Year End
Apollon Wealth Management, LLC	Advisor	2018	Present
Northwestern Mutual Investment Services	Representative	2011	2018
Northwestern Mutual Life Insurance Company	Representative	2010	2017
Northwestern Mutual Life Insurance Services	Representative	2014	2016

### Designations:

**Certified Financial Planner (CFP):** CFP certificants must pass the comprehensive CFP Certification Examination, pass CFP Board's Candidate Fitness Standards, agree to abide by CFP Board's Code of Ethics and Professional Responsibility which puts clients' interests first and comply with the Financial Planning Practice Standards which spell out what clients should be able to reasonably expect from the financial planning engagement. In addition to completing courses that cover the financial planning topics required for CFP certification, a bachelor's degree (or higher), or its equivalent (in any discipline, from an accredited college or university) is required to attain CFP certification. The bachelor's degree requirement is a condition of initial certification; it is not a requirement to be eligible to take the CFP Certification Examination.

**Chartered Life Underwriter (CLU) designation:** issued from The American College. To earn the CLU designation, candidates must: (1) have three years of full-time business experience within the five years preceding the awarding of the designation; and (2) complete eight required courses and three elective courses representing an average study time of 400 hours. To maintain the designation, designees must complete 30 continuing education credits every two years.

**Retirement Income Certified Professional (RICP):** issued from The American College. To earn the RICP designation, candidates must have three years of professional experience and complete the education requirements (three required course, equivalent of 9 semester credit hours and final course exam for each course). To maintain the designation, the designees must complete 15 hours of continuing education every two years.

## DISCIPLINARY INFORMATION

Andrew Jaffee does not have any material disciplinary history to disclose.

## OTHER BUSINESS ACTIVITIES

Your advisor makes investment decisions for clients. Where permitted by law, your advisor may receive mutual fund 12b-1 fees, service fees, due diligence fees, marketing reimbursements, or other payments relating to your investment(s) in or otherwise supporting your advisor's activities regarding the securities and insurance products recommended, purchased, or held in your investment advisory program. You should be aware that these fees, payments, and other compensation presents a conflict of interest because your advisor may have a greater incentive to recommend those investment advisory products or programs or make investment decisions regarding investments that provide such additional compensation to your advisor.

### Insurance Agency Affiliations

Andrew Jaffee is also a licensed insurance professional. Implementations of insurance recommendations are separate and apart from Mr. Jaffee's role with Apollon. As an insurance professional, Mr. Jaffee receives customary commissions and other related revenues from the various insurance companies whose products are sold. Mr. Jaffee is not required to offer the products of any particular insurance company. Commissions generated by insurance sales do not offset regular advisory fees. This practice presents a conflict of interest in recommending certain products of the insurance companies. Clients are under no obligation to implement any recommendations made by Mr. Jaffee or the Firm.

In addition to the activities described above, your advisor is also engaged in the following business activities:

- Andrew Jaffee is the president of the Charleston Alumni Chapter of Pi Kappa Phi Fraternity, non-profit, start date: 07/21/2016, oversee organization vision
- Andrew Jaffee is the president of PlayToday! Foundation, non-profit, start date: 07/27/2016, oversee organization vision, fundraising, signer on organization bank account along with treasurer.

### **ADDITIONAL COMPENSATION**

Andrew Jaffee has additional business activities where compensation is received that are detailed above.

### **SUPERVISION**

Andrew Jaffee serves as an Advisor of Apollon and is supervised by Steven Mills, the Chief Compliance Officer. Mr. Mills can be reached at (843) 277-3287.

Apollon has implemented a Code of Ethics, an internal compliance document that guides each Supervised Person in meeting their fiduciary obligations to Clients of Apollon. Further, Apollon is subject to regulatory oversight by various agencies. These agencies require registration by Apollon and its Supervised Persons. As a registered entity, Apollon is subject to examinations by regulators, which may be announced or unannounced. Apollon is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.