

# **BROCHURE SUPPLEMENT**

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## **Apollon Wealth Management, LLC**

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**This brochure supplement provides information about Robert Gorman that supplements the Apollon Wealth Management, LLC (“Apollon”) Brochure. You should have received a copy of that Brochure. Please contact the Advisor at 843-277-3287 or if you did not receive Apollon’s Brochure or if you have any questions about the contents of this supplement.**

**Additional information about Robert Gorman is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

**Advisor Name:** Robert Gorman

**Year of Birth:** 1980

### Formal Education After High School:

Name of School	Degree Obtained	Year Start	Year End
The American College	MS, Financial Services	2012	2015
Wake Forest University	BS, Management Information Systems	1998	2002

### Business Background:

Name of Company	Position Held	Year Start	Year End
Apollon Wealth Management, LLC	Advisor	2018	Present
Northwestern Mutual Wealth Management	Wealth Management Advisor	2005	2018

### Designations:

**Chartered Life Underwriter (CLU) designation:** issued from The American College. To earn the CLU designation, candidates must: (1) have three years of full-time business experience within the five years preceding the awarding of the designation; and (2) complete eight required courses and three elective courses representing an average study time of 400 hours. To maintain the designation, designees must complete 30 continuing education credits every two years.

**Chartered Financial Consultant (ChFC) designation:** issued from The American College. To earn the ChFC designation, candidates must: (1) have three years of full-time business experience within the five years preceding the awarding of the designation (an undergraduate or graduate degree from an accredited educational institution qualifies as one year of business experience); (2) complete six core and two elective courses; and (3) successfully complete a final proctored exam for each course. To maintain the designation, designees must complete 30 continuing education credits every two years.

**Certified Financial Planner (CFP) -** CFP certificants must pass the comprehensive CFP Certification Examination, pass CFP Board's Candidate Fitness Standards, agree to abide by CFP Board's Code of Ethics and Professional Responsibility which puts clients' interests first and comply with the Financial Planning Practice Standards which spell out what clients should be able to reasonably expect from the financial planning engagement. In addition to completing courses that cover the financial planning topics required for CFP certification, a bachelor's degree (or higher), or its equivalent (in any discipline, from an accredited college or university) is required to attain CFP certification. The bachelor's degree requirement is a condition of initial certification; it is not a requirement to be eligible to take the CFP Certification Examination.

**Chartered Advisor for Senior Living (CASL) designation:** Candidates for the CASL® designation must meet the experience requirements for The College's ChFC® designation, or have three years of experience within the five years preceding the awarding of the designation advising clients on financial and/or practical matters relating to their retirement years or on issues dealing with aging. There are five required courses, with final exams required for each course. After earning the designation, the holder must complete fifteen hours of continuing education credits every two years.

**Accredited Estate Planner (AEP) designation:** graduate level specialization in estate planning. AEP is issued from the National Association of Estate Planners and Councils. To earn the AEP designation, a candidate must meet all of the following requirements: (1) Must be an attorney (JD), accountant (CPA), insurance professional and financial planner (CLU/ChFC, CFP) or trust officer (CTFA); (2) Must be in good standing with their professional organization and not be subject to disciplinary investigation; and (3) Must have a minimum of 5 years experience in estate planning in one or more of the prerequisite professions. a graduate level specialization in estate. To maintain the designation, the designee must satisfy a minimum of thirty (30) hours of continuing education during the previous twenty-four (24) months, of which at least fifteen (15) hours must have been in estate planning.

## **DISCIPLINARY INFORMATION**

Robert Gorman does not have any material disciplinary history to disclose.

## **OTHER BUSINESS ACTIVITIES**

Your advisor makes investment decisions for clients. Where permitted by law, your advisor may receive mutual fund 12b-1 fees, service fees, due diligence fees, marketing reimbursements, or other payments relating to your investment(s) in or otherwise supporting your advisor's activities regarding the securities and insurance products recommended, purchased, or held in your investment advisory program. You should be aware that these fees, payments, and other compensation presents a conflict of interest because your advisor may have a greater incentive to recommend those investment advisory products or programs or make investment decisions regarding investments that provide such additional compensation to your advisor.

### Insurance Agency Affiliations

Robert Gorman is also a licensed insurance professional. Implementations of insurance recommendations are separate and apart from Robert Gorman's role with Apollon. As an insurance professional, Robert Gorman receives customary commissions and other related revenues from the various insurance companies whose products are sold. Robert Gorman is not required to offer the products of any particular insurance company. Commissions generated by insurance sales do not offset regular advisory fees. This practice presents a conflict of interest in recommending certain products of the insurance companies. Clients are under no obligation to implement any recommendations made by Robert Gorman or the Firm.

In addition to the activities described above, your advisor is also engaged in the following business activities:

- Robert Gorman is the owner of two real estate rental properties (Willowdale Court Mount Pleasant, SC 29466 and 24 Maplecrest Dr. Charleston, SC 29412), real estate investment, investment-related.
- Robert Gorman is an active member of the Screen Actors Guild. In this capacity, Robert Gorman earns income from previous acting jobs.
- Robert Gorman is an owner of CDG Wharfside, a partnership that owns real estate, including the Firm's office location in Charleston, SC. In this capacity, Robert Gorman receives passive rental income.

## **ADDITIONAL COMPENSATION**

Robert Gorman does not currently have any arrangements where he receives substantial economic benefit from someone other than a client for providing investment advice.

## **SUPERVISION**

Robert Gorman serves as an Advisor of Apollon and is supervised by Steven Mills, the Chief Compliance Officer. Mr. Mills can be reached at (843) 277-3287.

Apollon has implemented a Code of Ethics, an internal compliance document that guides each Supervised Person in meeting their fiduciary obligations to Clients of Apollon. Further, Apollon is subject to regulatory oversight by various agencies. These agencies require registration by Apollon and its Supervised Persons. As a registered entity, Apollon is subject to examinations by regulators, which may be announced or unannounced. Apollon is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.