



## **Annual Delivery of Privacy Policy and ADV Material Changes for Forest Asset Management LLC**

The following information is provided, as required by law, to help you understand our privacy policy and how we will handle and maintain confidential personal information as we fulfill our obligations to protect your privacy. "Personal information" refers to the nonpublic financial information obtained by Forest Asset Management LLC (Forest) in connection with providing investment advisory or other services.

### **Information We Collect**

Forest collects personal information as part of our relationship to you as an investment advisor, to provide client services and fulfill legal and regulatory requirements. The type of information Forest collects may include:

- Information Forest receives from you on forms (such as name, address, social security number, assets and income);
- Information you provide Forest directly about your personal finances or personal circumstances or which Forest may receive from brokerage statements or other information you authorize Forest to receive.

### **Information Disclosed In Administering Products and Services**

Forest will not disclose personal information about current or former clients to non-affiliated third parties except as permitted or required for legal and enforcement purposes including but not limited to civil, criminal or regulatory investigation or examination, subpoena, or summons by a government authority or CFP Board. Forest does not sell any personal information about you to any third party. Forest will not disclose personal information without your authorization, except as required or permitted by law.

### **Procedures to Protect Confidentiality and Security of Your Personal Information**

Forest has procedures in place that limit access to personal information to those employees who need to know such information in order to perform business services. Forest educates our employees on the importance of protecting the privacy and security of confidential personal information.

Forest will update our policy and procedures where necessary to ensure that your privacy is maintained and that Forest conducts our business in a way that fulfills our commitment to you. If Forest makes any material changes in our privacy policy, we will make that information available to clients through our Web site and/or other communications.

### **Summary of Material Changes to Form ADV Part 2**

Each year, we are required to provide a list of material changes to our Form ADV Part 2. The last update to our ADV part 2 was March 23, 2023. A historical summary of material changes is enclosed.

A complete Form ADV Part 2 is available in our office. Please contact us if you would like a copy.

If you have any questions about our Privacy Policy or ADV, please call JoAnn May our Compliance Officer, at 708-447-7086.