



Privacy Disclosure Document

Springboard Asset Management, LLC (Springboard) has adopted this policy recognizing that protecting the privacy and security of the personal information we obtain about our customers is an important responsibility. Springboard is committed to protecting the confidentiality and security of all customer information. The Springboard Privacy Policy applies to both current and former customers of Springboard.

Information We Collect

Springboard collects certain nonpublic, personal, identifying information about you (such as your name, address, social security number, etc.) from information you provide on applications or other forms as well as communications (electronic, telephone, written or in person) with you or your authorized representatives (such as your attorney, accountant, etc.). We also collect information about your investment accounts and transactions (such as purchases, sales, account balance, inquiries, etc.).

Information We Disclose

Springboard does not disclose, reveal or use for our own benefit the nonpublic, personal information we collect about our customers to anyone, except and to the extent disclosure or use is reasonably necessary: (a) To establish an advisory or brokerage account, to effect a transaction for the client, or as otherwise impliedly authorized in order to carry out the client engagement; or (b) To comply with legal requirements or legal process; or (c) To defend the CFP Board designee against charges of wrongdoing; or (d) In connection with a civil dispute between the CFP Board designee and the client. At the customer's request Springboard may also disclose customer information to third parties such as the customer's accountants or attorneys.

Security of Your Information

Springboard maintains physical, electronic, and procedural safeguards to protect customer information. Access to customer information is limited to those employees who need the information to properly service and maintain accounts.

Changes to Our Privacy Policy

If at any time in the future it becomes necessary for Springboard to disclose any nonpublic personal information in any manner inconsistent with this policy, Springboard will provide customers advanced notice of the change to allow customers the opportunity to opt out of any disclosure.