

## Client Relationship Summary (“CRS”)

### Springboard Asset Management, Inc.

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**Springboard Asset Management, Inc.** is Registered with the Securities and Exchange Commission as an Investment Advisor. There are different ways you can get help with your investments. You should carefully consider which types of accounts and services are right for you. We are an investment advisor and provide advisory accounts and services rather than brokerage accounts and services. This document gives you a summary of the types of services we provide and how you pay. Please ask us for more information. There are some suggested questions on pages 1 & 2. Free and simple tools are available to research firms and financial professionals at <https://Investor.gov/CRS>, which also provides educational materials about broker-dealers, investment advisors, and investing.

#### What investment services and advice can you provide me?

**Investment Management:** Springboard provides investment management services customized to the individual needs of each client. Through personal discussions, Springboard assists the client in developing goals and objectives. Springboard then develops a client’s personal investment policy, creates a portfolio based on that policy and gives continuous investment advice to the client. Portfolio management is guided by the stated objectives of the client.

**Financial Planning:** Personal financial planning includes informal consultations, segmented plans and comprehensive plans. The planning process involves interviews with the client, a review of pertinent documents and, if engaged to do so, the preparation of a written plan based on the client’s goals and objectives.

**Monitoring:** Individual Portfolio Management Services accounts are continually monitored, and these accounts are reviewed at least quarterly. Accounts are reviewed in the context of each client's stated investment objectives, guidelines, and individual circumstances. More frequent reviews may be performed when portfolios are rebalanced, when new funds are added to the portfolios, when unexpected withdrawals are requested, and when portfolios are reviewed for tax efficiency opportunities. Charles M. Green, CFP® and Rebecca D. Green CFP® review all accounts.

**Limitations:** Generally, our investment recommendations include advice regarding the following securities: Cash and Cash Equivalents including money market funds and short term bank certificates of deposit; Fixed Income (bond mutual funds, exchange traded funds, individual bonds, and/or bank certificates of deposit) which may include U.S. government and agency, municipal, international, inflation protected and corporate bonds; U.S. large, mid/small growth and value equities (stock mutual funds, exchange traded funds and/or individual stocks); International large, mid/small growth and value equities and emerging markets equities (stock mutual funds, exchange trades funds and/or individual stocks); and Real assets (REIT and/or real asset mutual funds or exchange traded funds). Clients will have the opportunity to place reasonable restrictions on the types of investments which will be made on the client’s behalf. As warranted, any restrictions will be disclosed on the client’s investment management, financial planning agreements, and/or investment policy statements.

**Additional Information:** more detailed information about services is available on our Form ADV, Part 2A Brochure.

**Ask your financial professional:** Given my financial situation, should I choose an investment advisory service? Why or why not? How will you choose investments to recommend to me? What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?

#### What fees will I pay?

Financial Planning fees

Flat	Details
\$500 - \$5,000	Depending on the scope and complexity of project

## What fees will I pay (continued)?

### Portfolio Management fees

Breakpoint	Range	AUM% (annual fee)
first \$250,000	(\$0 to \$250,000)	1.00%
next \$250,000	(\$250,001 to \$500,000)	0.90%
next \$500,000	(\$500,001 to \$1,000,000)	0.80%
next \$1,000,000	(\$1,000,001 to \$2,000,000)	0.60%
remainder	(\$2,000,001 and up)	0.50%

**Minimum Portfolio Size:** \$250,000 for investment management services.

**Conflicts of Interest:** the more assets there are in a retail investor's advisory account, the more a retail investor will pay in fees, and the firm may therefore have an incentive to encourage the retail investor to increase the assets in his or her account.

**Other Fees:** All fees paid to Springboard Asset Management, Inc. for investment advisory services is separate and distinct from the fees and expenses charged by mutual funds, ETFs and REITs to their shareholders. *Brokerage fees:* clients are also responsible for the fees and expenses charged by custodians and imposed by broker dealers, including, but not limited to, any transaction charges imposed by a broker dealer with which an independent investment manager effects transaction for the client's account(s).

**Note:** You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

**Ask your financial professional:** Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

**What are your legal obligations to me when acting as my investment advisor?** We must abide by certain laws and regulations in our interactions with you. In addition, we are held to a fiduciary standard that covers our entire investment advisory relationship with you.

**How else does your firm make money and what conflicts of interest do you have?** When we act as your investment advisor, we must act in your best interest and not put our interests ahead of yours. At the same time, the way we make money creates some conflicts with your interest. You should understand and ask us about these conflicts because they can affect the services and investment advice, we provide you. Here are some examples to help you understand what this means. **Third-Party Payments:** We may receive compensation from third party manager in connection with the recommend or advisory services that we provide our clients.

**Ask your financial professional:** How might your conflicts of interest affect me, and how will you address them? **Note:** Additional Information on our conflicts of interest is listed on our Form ADV, Part 2A brochure.

**How do your financial professionals make money?** Your Financial Professional makes money based on the amount of assets they manage for you.

**Do you or your financial professionals have legal or disciplinary history?** No. Please visit <https://Investor.gov/CRS> for a free and simple search tool to research your financial professionals.

**Additional Information:** This Client Relationship Summary ("Form CRS/ADV Part 3") brochure provides information about the qualifications and business practices of Springboard Asset Management, Inc. If you have any questions about the contents of this brochure, please contact Charles M. Green at 203-651-7110 or [charles@springboardasset.com](mailto:charles@springboardasset.com). If you would like to receive up-to-date information about our advisory service and/or to request the latest copy of this summary. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority. Registration with the Securities and Exchange Commission ("SEC") does not imply a certain level of skill or training. Additional information about Springboard Asset Management, Inc. is also available on the SEC's website at [www.advisorinfo.sec.gov](http://www.advisorinfo.sec.gov).

**Ask your financial professional:** Who is my primary contact person? Is he or she a representative of an investment advisor or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?