

FACTS**WHAT DOES Droms Strauss Advisors, Inc.
d/b/a Droms Strauss Wealth Management
DO WITH YOUR PERSONAL INFORMATION?****Why?**

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

What?

The types of personal information we collect and share depends on the product or service you have with us. This information can include:

- Social Security Number
- Income
- Assets
- Investment Experience
- Account Transactions
- Investment Objectives

When you are *no longer* our customer, we continue to share your information as described in this notice.

How?

All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information, the reasons **Droms Strauss Wealth Management** chooses to share, and whether you can limit this sharing.

Reasons we can share your personal information	Does Droms Strauss share?	Can you limit this sharing?
For our everyday business purposes – such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	YES	NO
For our marketing purposes – to offer our products and services to you	YES	NO
For joint marketing with other financial companies	NO	We Don't Share
For our affiliates' everyday business purposes – information about your transactions and experiences	NO	We Don't Share
For our affiliates' everyday business purposes – information about your creditworthiness	NO	We Don't Share
For our affiliates to market to you	NO	We Don't Share
For nonaffiliates to market to you	NO	We Don't Share

Questions?

Call **314/862-9100** or go to

www.droms-strauss.com

Who we are

Who is providing this notice?

Droms Strauss Advisors, Inc., an investment adviser registered with the U.S. Securities and Exchange Commission ("SEC")

What we do

How does Droms Strauss Wealth Management protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

We maintain safeguards that comply with federal standards to protect nonpublic personal information of our customers, including procedures to assure appropriate access to and use of, information about our customers.

How does Droms Strauss Wealth Management collect my personal information?

We collect your personal information, for example, when you

- Seek advice about your investments
- Show your driver's license
- Tell us about your investment or retirement portfolio
- Enter into an investment advisory contract
- Give us your contact information

We also collect your personal information from others, such as custodians, broker-dealers, or third-party investment managers.

Why can't I limit all sharing?

Federal law gives you the right to limit only

- sharing for affiliates' everyday business purposes-information about your creditworthiness
- affiliates from using your information to market to you
- sharing for nonaffiliates to market to you

State laws and individual companies may give you additional rights to limit sharing.

Definitions

Affiliates

Companies related by common ownership or control. They can be financial and non-financial companies.

- **None.**

Nonaffiliates

Companies not related by common ownership or control. They can be financial and non-financial companies.

- **Droms Strauss nonaffiliates include custodians, broker-dealers, and third-party investment managers.**

Joint Marketing

A formal agreement between nonaffiliated financial companies that together market financial products or services to you.

- **Droms Strauss does not jointly market with nonaffiliates.**

Other important information

State Laws: We will automatically limit sharing of your information in accordance with applicable state law.