Join Garrett Investment Advisors, LLC, a Fee-Only SEC registered investment advisor, and let us take many of the compliance, operations, billing, software/tool selection functions off your plate so you can spend more time focusing on what truly matters–your clients. Build your own local brand as a DBA office of Garrett Investment Advisors using the platform outlined below:

MAJOR COMPONENTS	PROVIDER	COMMENTS
Firm Registration of 1 Office with 1 IAR U-4, Form ADV Part 2 and Form ADV Part 2B	GARRETT INVESTMENT ADVISORS	Initial compliance review of marketing materials (website, business cards, brochures, etc.), not to exceed 2 hours of review, is included. Compliance and financial planning review for up to first 2 financial plans created by advisor, is included.
Back Office Compliance Support Privacy Policy Compliance Manual Policies and Procedures Manual Client Service Agreements	GARRETT INVESTMENT ADVISORS	Note: Additional compliance and financial planning reviews may be required by GIA, costs to be passed on to advisor.
Complete Billing Solution	GARRETT INVESTMENT ADVISORS	All billing is processed by GIA. Advisors are paid on 1st and 15th of each month.
Flexibility of Multiple Service Models Fee-Only Hourly, Fee-Only Hourly and Retainer, Fee-Only Hourly and AUM or Fee-Only Hourly and Retainer and AUM	GARRETT INVESTMENT ADVISORS	Advisors may offer services on a Fee-Only, hourly basis (which includes project and fixed fee pricing) and add retainer and/or AUM services, if desired.
Markel E&O Insurance	MARKEL Markel Cambridge Alliance	Professional liability (E&O) insurance is provided.
Cognito Forms	Cognito FORMS	Online confidential client questionnaires are provided.
Redtail CRM	REDTAIL TECHNOLOGY	Customer Relationship Management (CRM) software is provided.
Smarsh Email	smarsh	Email archiving software is provided. 1 email address will be covered, for additional email addresses, costs to be passed on to advisor.
Smarsh Archiving	smarsh	Email, website and social media archiving software is provided.
Egnyte	EGNYTE	Document Management Software (DMS) is provided.
Adobe Sign	Adobe Sign	Electronic signature tool is provided.
Morningstar Morningstar Office Morningstar ByAllAccounts Morningstar Back Office Services	MORNINGSTAR	Access to Morningstar Office for $650/year. Access to Back Office Services (for TD Ameritrade-managed investments and ByAllAccounts held-away assets) for $40/account/year.
TD Ameritrade Institutional	TD Ameritrade Institutional	Access to an institutional custodian is provided.
Dimensional Fund Advisors (DFA)	Dimensional	Garrett Investment Advisors, LLC, is a Dimensional-approved firm.

Current licensing fee to join Garrett Investment Advisors:
$2500 1-time affiliation fee ($500 non-refundable if GIA cannot accept advisor into firm (based on background check, etc.), full amount non-refundable if advisor decides not to proceed).
$800/month ongoing fee (first month pro-rated based on application date).

Note: Garrett Planning Network Membership eligibility is required to join Garrett Investment Advisors, specifically:
-Advisor will obtain CFP® certification within five (5) years from the date of initial registration with the firm. GIA advisors satisfy CFP work experience under 2-year Apprenticeship Pathway.
-Advisor shall provide financial advice on an as-needed basis to individuals from all walks of life, which for purposes hereof means to provide services to more than 50% of all engagements without any minimums as to income, assets, net worth, length of engagement or revenues generated.

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