

UMA SMA FSP Systematic Withdrawal

1. Search for the account via quick search or Client Tab



2. Navigate to the **Service Tab**

- Click the “+” icon next to the registration from which you are raising cash
- Select **Systematic Withdrawal – Set Up New**

Valued Family Account					
Investments	General	Service	Billing	Documents	Policy
Goal / Registration / Account			Value	Product	
▼ Goal Valued Family Account Individual			+	\$ 3,204,512.88	
▼ Reg 1398321 IRA - Traditional			+	2,936,174.53	
DEMO-1508833				2,936,174.53	Model #:
▼ Reg 1398322 Individual			+	268,338.35	
DEMO-1508824				268,338.35	NEW Mo
▼ Goal Valued Family Account Joint			+	1,531,300.37	
▼ Reg 1398323 Joint			+	1,531,300.37	
DEMO-1508832					Model #:
Service Request		Request Type			
▶ Submitted					
▶ Not Yet Submitted					
▶ Completed					

Create Service Request


- Raise Cash
- Invest Contribution
- Harvest Gains/Losses
- Terminate (Liq/Hold)
- Systematic Withdrawal - Set Up New
- DCA Set Up New
- Trade Hold


3. **Properties:** Enter the SW Type, Frequency, First Distribution Date, End Date, Amount, and Trading Method.

- **Systematic Withdrawal Tips:**
 - If the account already has enough cash to cover the distribution, the system will not place the trades.
 - For annual distributions, go ahead and set up the SW, then do a Modify Systematic Withdrawal once you know the upcoming years RMD amount.
 - First Distribution Date – Choose the exact date that is set up at the custodian level.
- Click **Save + Next**

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Properties


 Delete An Element


 Additional Information



Properties



SR # **1262238**

Owner Valued Client , Demo Family Member-2


SW Type Fixed Amount Distribution ▼

Frequency Monthly ▼

First Distribution Date 03/24/2018  

End Date 24-Mar-2019  

Amount \$4,000.00


Trading Method Trade across registration ▼ 


Cancel
Save
Save + Next


- Trading Method:
 - Trade Across Registration (recommended) – Based on the registration’s goal (target allocation), the system will raise cash to bring accounts closer to target
 - Add a Note (if necessary) to the Service Request via **Manage Service Request** dropdown
 - Click **Submit Request**


Systematic Withdrawal - Set Up New | SR# 1250938 | Amount | \$ 1,000.00
 Valued Family Account - Reg 1398323 - Joint


Properties
Review & Submit



Delete An Element


Column Manager


Saved Layout


Additional Information


Generate A CSV File


Manage Service Request ▼

Add Note

Investment	Value	Cash	Prot. Cash	Trade Value
▼ DEMO-1508832	\$ 1,531,300.37	\$ 38,765.59	\$ 0.00	\$ 0.00
Model #357003: Moderate	\$ 1,531,300.37			\$ 0.00

Submit Request



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- Trade Exact Amounts per Accounts – Based on the registration’s goal (target allocation), the system will suggest trades. You may over ride the suggested amounts if there are multiple investments. Enter the specific dollar amounts to be invested in each product by filling in the Trade Value boxes via the **Edit** option. If you do not have discretion to update the trade values, then continue below.
- Add a Note (if necessary) to the Service Request via **Manage Service Request** dropdown
- Click **Continue**, then **Submit Request**

Systematic Withdrawal - Set Up New | SR# 1250938 | Amount | \$ 1,000.00
Valued Family Account - Reg 1398323 - Joint

Properties **Review & Submit**

ⓧ Delete An Element 📊 Column Manager 📁 Saved Layout ⓘ Additional Information 📄 Generate A CSV File ⚙️ Manage Service Request

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