This brochure supplement provides information about Andrew Joseph Whalen that supplements the Whalen Wealth Management DBA Whalen Financial brochure. You should have received a copy of that brochure. Please contact Andrew Joseph Whalen if you did not receive Whalen Wealth Management DBA Whalen Financial's brochure or if you have any questions about the contents of this supplement.

Additional information about Andrew Joseph Whalen is also available on the SEC's website at www.adviserinfo.sec.gov.

Whalen Wealth Management DBA Whalen Financial

Form ADV Part 2B – Individual Disclosure Brochure

for

Andrew Joseph Whalen

Personal CRD Number: 5179797

Investment Adviser Representative

Whalen Wealth Management DBA Whalen Financial
7160 Rafael Rivera Way, Suite 220
Las Vegas, NV 89113
(702) 878-3900
andrew@whalenfinancial.com

UPDATED: 03/31/2022

Item 2: Educational Background and Business Experience

Name: Andrew Joseph Whalen **Born:** 1987

Educational Background and Professional Designations:

Education:

Bachelors of Science BCM Finance, Purdue University - 2009

Business Background:

01/2021 - Present	Investment Adviser Representative Whalen Wealth Management DBA Whalen Financial
11/2017 – 01/2021	Registered Representative LPL Financial
05/2013 – 11/2017	Registered Representative National Planning Corp.
10/2009 – 05/2013	Registered Representative Financial Advisers of America

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

Item 4: Other Business Activities

Andrew Whalen is a registered Representative with Purshe Kaplan Sterling Investments, a registered broker/dealer. From time to time, he will offer clients advice or products from those activities. Clients should be aware that these services pay a commission or other compensation and involve a conflict of interest, as commissionable products conflict with the fiduciary duties of a registered investment adviser. Whalen Wealth Management DBA Whalen Financial always acts in the best interest of the client, including with respect to the sale of commissionable products to advisory clients. Clients always have the right to decide whether or not to utilize the services of any Whalen Wealth Management DBA Whalen Financial representative in such individual's outside capacities.

Andrew Whalen is an insurance agent with Whalen & Associates Insurance, Inc. From time to time, he will offer clients advice or products from those activities. Clients should be aware that these services pay a commission and involve a conflict of interest, as commissionable products conflict with the fiduciary duties of a registered investment adviser. Whalen Wealth Management DBA Whalen Financial always acts in the best interest of the client, including the sale of commissionable products to advisory clients. Clients always have the right to decide whether or not to utilize the services of any representative of Whalen Wealth Management DBA Whalen Financial in such individual's outside capacities.

Andrew Whalen is the CEO of The Whalen Group, Inc., a tax and accounting preparation firm.

Item 5: Additional Compensation

Andrew Joseph Whalen does not receive any economic benefit from any person, company, or organization, other than Whalen Wealth Management DBA Whalen Financial in exchange for providing clients advisory services through Whalen Wealth Management DBA Whalen Financial.

Item 6: Supervision

As the Chief Compliance Officer of Whalen Wealth Management DBA Whalen Financial, Andrew Joseph Whalen supervises all activities of the firm. Andrew Joseph Whalen's contact information is on the cover page of this disclosure document. Andrew Joseph Whalen adheres to applicable regulatory requirements, together with all policies and procedures outlined in the firm's code of ethics and compliance manual.

This brochure supplement provides information about Di Wu that supplements the Whalen Wealth Management Inc. DBA Whalen Financial brochure. You should have received a copy of that brochure. Please contact Di Wu if you did not receive Whalen Wealth Management Inc. DBA Whalen Financial's brochure or if you have any questions about the contents of this supplement.

Additional information about Di Wu is also available on the SEC's website at www.adviserinfo.sec.gov.

Whalen Wealth Management Inc. DBA Whalen Financial

Form ADV Part 2B – Individual Disclosure Brochure

for

Di Wu

Personal CRD Number: 7194446

Investment Adviser Representative

Whalen Wealth Management Inc. DBA Whalen Financial

7160 Rafael Rivera Way Suite 220

Las Vegas, NV 89113

(646) 239-8280

di@whalenfinancial.com

UPDATED: 09/27/2023

Item 2: Educational Background and Business Experience

Name: Di Wu **Born:** 1989

Educational Background and Professional Designations:

Education:

Business Administration Bachelor's Economics, CUNY Baruch College - 2013

Business Background:

09/2023 - Present	Investment Adviser Representative Whalen Wealth Management Inc. DBA Whalen Financial
01/2022 – 09/2023	Portfolio Trader RFG Advisory
09/2013 - 09/2022	Systems Support Administrator The Frick Collection

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

Item 4: Other Business Activities

Di Wu is not engaged in any investment-related business or occupation (other than this advisory firm).

Item 5: Additional Compensation

Di Wu does not receive any economic benefit from any person, company, or organization, other than Whalen Wealth Management Inc. DBA Whalen Financial in exchange for providing clients advisory services through Whalen Wealth Management Inc. DBA Whalen Financial.

Item 6: Supervision

As a representative of Whalen Wealth Management Inc. DBA Whalen Financial, Di Wu is supervised by Andrew Whalen, the firm's Chief Compliance Officer. Andrew Whalen is responsible for ensuring that Di Wu adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm’s Code of Ethics and compliance manual. The phone number for Andrew Whalen is (702) 878-3900.