

PRIVACY STATEMENT

Peace of Mind Financial Planning, Inc., an independent fee-only financial planning firm, is committed to safeguarding the confidential information of its clients. We hold all personal information provided to our firm in the strictest confidence. These records include all personal information that we collect from you in connection with any of the services provided by Peace of Mind Financial Planning, Inc. We do not disclose information to nonaffiliated third parties without your permission and do not anticipate doing so in the future. If we were to anticipate such a change in firm policy, we would be prohibited under the law from doing so without advising you first. As you know, we use personal and financial information that you provide us to help you meet your personal financial goals while guarding against any real or perceived infringements of your rights of privacy. Our policy with respect to personal information about you is listed below.

☐ We limit employee and agent access to information only to those who have business or professional reason for knowing, and only to nonaffiliated parties as permitted or required by law. This includes access by our accounting firm, Bradshaw & Associates, and our bookkeeping firm, LaCresta, Inc. (For example, federal regulations permit us to share a limited amount of information about you with a brokerage firm in order to open accounts and execute a securities transactions on your behalf, or so that our firm can discuss your financial situation with your accountant or your lawyer.)

☐ We maintain a secure office and computer environment to ensure that your information is not placed at unreasonable risk.

☐ The categories of nonpublic personal information that we collect from a client depend upon the scope of the client engagement. It will include information about your personal finances, information about your health to the extent that it is needed for the planning process, information about transactions between you and third parties, and information from consumer reporting agencies.

☐ For unaffiliated third parties that require access to your personal information, including financial service companies or auditors, we also require strict confidentiality in our agreements with them and expect them to keep this information private. Federal and state regulators also may review firm records as permitted under law.

☐ We do not provide your name or personally identifiable information to mailing list vendors or solicitors for any purpose.

☐ Personally identifiable information about you will be maintained during the time you are a client, and for the required time thereafter that such records are required to be maintained by federal and state laws, insurance coverage requirements, and consistent with the CFP Board Code of Ethics and Professional Responsibility. After this period of record retention, all such information will be destroyed.